

# Environmental and economic assessment of traffic-related air pollution using aggregate spatial information: A case study of Balneário Camboriú, Brazil

## Abstract

### Introduction

Transportation is one of the main determinants of atmospheric pollutant emissions in urban areas. This externality has direct environmental, economic and public health consequences. This paper aims at investigating the space-time patterns of traffic air pollution in Balneário Camboriú (Brazil) over projected temporal scenarios and at estimating the damage costs of traffic air pollution to support transport policy-making.

### Methods

To estimate the emission rates of pollutants, emission factors and traffic data were jointly used, whereas the pollutant concentrations were estimated using the Gaussian plume dispersion model. To identify the affected areas as well as possible spatial heterogeneity patterns of air pollution within clustered areas, an exploratory spatial analysis was also conducted. To assess the economic impact of air pollution, damage costs were calculated for various pollutants.

### Results

The modeling results show that oxides of nitrogen (NO<sub>2</sub>) and oxides of sulphur (SO<sub>2</sub>) pollutants exceed the limits of air quality legislation, especially at a distance up to 10 meters from the roads, while 60% and 71% of the intersections are found to yield pollutant concentrations above the thresholds, primarily during peak hours. The analysis also confirmed that homogeneous traffic zones with similar emission rates are spatially clustered exhibiting positive autocorrelation patterns. The results of the economic appraisal showed that the estimated costs of traffic-related emissions were \$0.89, \$1.38 and \$1.43 million/year, respectively, for the current, short-term and long-term scenarios.

### Conclusions

This study serves as the first comprehensive analysis of traffic air pollution for the specific study region, providing implications and modeling tools that can be leveraged in public policies focusing on the elimination of the transportation-generated health burden. The developed analysis framework can also serve as a supporting tool for Public Agencies focusing on the high-level evaluation of traffic-related air pollution using limited and aggregate spatial and traffic data.

## Keywords

Air pollution; Emission Factors; Exploratory spatial data analysis; Damage Costs; Traffic; Brazil

## 1 Introduction

Road transport and surface traffic constitute one of the major sources of environmental pollution in urban areas (Anastasopoulos et al., 2017; WHO, 2018; Shekarzifard et al., 2018;

42 Bigazzi and Rouleau, 2017). In Brazilian cities, the appraisal of air pollution levels has been  
43 little explored by health Agencies and Public Authorities, sometimes not receiving due  
44 importance. Among other social impacts, contaminants from the vehicular emissions can be  
45 quite burdensome for public health and local economies (Luo et al., 2018; Dey et al., 2018,  
46 Hyland and Donnelly, 2015).

47 Since the enactment of the Resolution of the Brazilian National Environment Council  
48 (CONAMA) 018/1986, the Program for the Control of Air Pollution by Motor Vehicles  
49 (PROCONVE) was established, with the objective of reducing the emission levels of pollutants  
50 by motor vehicles, especially in urban centers, among other provisions (Brazil, 1986).  
51 According to Andrade et al. (2017) Proconve was established in stages, as a program aiming to  
52 reduce traffic pollutants through increasingly restrictive standards. The emission limits for  
53 automotive vehicles were set with respect to the Otto cycle and diesel.

54 In 1989, the National Air Quality Control Program (PRONAR) was established by  
55 resolution 05/1989 (Brazil, 1989), which sets the national limits of emissions by source  
56 typology and priority pollutants. This resolution is complemented by Resolution 491/2018, by  
57 which air quality standards and classes have been determined (Brazil, 2018). This legal  
58 framework has set specific upper concentrations of pollutants, which, if exceeded, can affect  
59 the health, safety, and well-being of the population, as well as cause damage to the flora and  
60 fauna, materials and the environment, in general. The reference contaminants mentioned in the  
61 regulations are CO, SO<sub>2</sub>, NO<sub>2</sub>, ozone, fume, and lead (Pb).

62 Most of the studies available in the literature consider the municipal fleet as the main  
63 source of traffic-related pollutant emissions (MMA, 2011, 2013; CETESB, 2015). Although  
64 this consideration can provide a reasonable approximation of the municipal, state or national  
65 emissions, such an approach does not allow the identification of the emissions' distributional  
66 characteristics within the urban network. In addition, this approach does not account for actual  
67 traffic flows at a given moment. On the contrary, instantaneous forecasting models allow  
68 emissions to be resolved spatially, and, therefore have the potential to provide more accurate  
69 predictions of air pollution. In this context, over the last decades, there is a growing need to  
70 estimate and apply disaggregate models of air pollution using detailed measurements of vehicle  
71 volumes (Boulter et al., 2007).

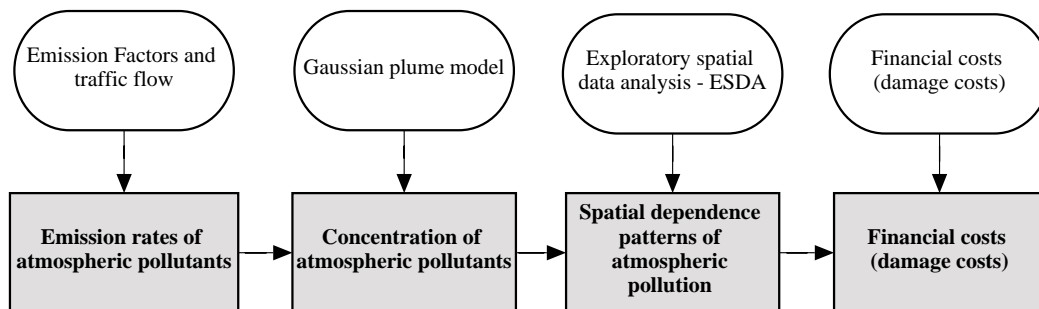
72 In general, many developing countries, such as Brazil, have low quality and quantity of  
73 data available for both air quality and traffic monitoring. Traffic monitoring systems, which  
74 allow the development and maintenance of databases with counts of urban traffic flows, are  
75 available in a limited extent, and primarily, in large metropolitan areas (Pacheco et al., 2017).  
76 However, more accurate databases can support more complex analyses, such as mathematical  
77 modeling of air pollution; the latter can result in significant cost reduction, especially when  
78 compared to air quality monitoring (Lacava, 2003). In addition, mathematical modeling  
79 approaches may enable simulation of different scenarios under various conditions, estimation  
80 of concentrations in areas where monitoring is unfeasible, simulation of emergency actions,  
81 predictions of possible effects on natural and built environment, urban planning analyses as  
82 well as the development and evaluation of strategies for controlling air pollution (Derisio,  
83 1992).

84 In this context, this study seeks to investigate the environmental and economic impacts  
85 of traffic air pollution in Balneário Camboriú, Brazil over projected scenarios as well as the  
86 possible damage costs arising from the traffic-related air pollution. The main contribution of  
87 the study is to understand the environmental and socioeconomic impacts of air pollution  
88 generated by urban vehicular traffic, considering a low-cost data acquisition to subsidize  
89 strategies for the improvement of urban air quality.

90 To identify and appraise the geographic distribution of these impacts, maps of air  
 91 pollution dispersion were developed, and a spatial analysis was conducted. Due to variations in  
 92 the socio-demographic, traffic and built environment characteristics across the areas of the  
 93 studied metropolitan area, the possible influence of spatial heterogeneity has been accounted  
 94 for in the employed methodological framework.

95 The work was conceived in four distinct, yet interrelated stages (Figure 1): the first stage  
 96 aims at estimating emission rates of pollutants in the municipalities using emission factors of  
 97 pollutants and traffic flow data. The second stage refers to the estimation of the concentrations  
 98 of these pollutants, which was performed by means of mathematical simulation using the  
 99 Gaussian plume dispersion model. To investigate whether the actual concentrations match or  
 100 exceed the legislation thresholds, the calculated concentrations are spatialized through thematic  
 101 maps made using a Geographic Information System (GIS). The third stage constitutes a policy  
 102 support appraisal, which is based on the identification of spatial dependence patterns of air  
 103 pollution across the various homogeneous traffic zones of the studied metropolitan area. To that  
 104 end, an exploratory spatial data analysis (ESDA) is conducted, with its findings assisting in  
 105 shaping appropriate environmental countermeasures and harm-reduction policies. In the last  
 106 stage, the financial cost of transport-related air pollution is estimated and evaluated using a  
 107 wide variety of international case studies on damage costs, which allowed the calculation of the  
 108 cost by each specific pollutant.

109



110

111 **Figure 1.** Four steps of the research carried out

## 112 2 Background literature review

### 113 2.1 Pollution forecasting emission models using traffic data

114 Air pollution dispersion modeling has been widely employed by practitioners and  
 115 researchers, due to its capability to simulate concentrations of pollutants for different scenarios  
 116 using traffic data, land use, and road system features as basic input. The merits of such a  
 117 modeling approach are particularly evident when monitoring data of air quality are hardly  
 118 available (Berkowicz et al., 2006). An alternate approach to estimating emissions from mobile  
 119 sources is by correlating the traffic parameters referring to specific traffic situations – which  
 120 are already known to the model user – and then, developing models based on emission factors.

121 Emission factors represent emitted quantities of specific pollutants per vehicle. These  
 122 factors, as previously determined by specialized agencies, are generally expressed in mass  
 123 emitted by distance traveled from the pollutant (g /km/vehicle) (USEPA, 1995). These factors  
 124 are typically weighted considering road links and traffic conditions such as volume, traffic  
 125 speed, and vehicle typology. According to Pan et al. (2016), the emission rate of a road link can  
 126 be calculated as:

$$127 \quad E_i = R_i \sum_{j=1}^n F_j Q_j^i \quad (1)$$

128 where  $E_i$  is the emission rate of road link  $i$  (g/h),  $F_j$  is the emission factor of vehicle type  $j$   
129 (g/km), calculated on the basis of the link-based average traffic speed,  $n$  represents the total  
130 number of vehicle types,  $Q_j^i$  denotes the volume of vehicles per type  $j$  in road link  $i$  (veh/h) and  
131  $R_i$  represents the length of the road link  $i$  (km).

132 Since 1972, USEPA (1995) has published a Compilation of Air Pollutant Emission  
133 Factors. The latter includes emissions factors and process information for more than 200 air  
134 pollution source categories. It should be noted that emission factors and emission inventories  
135 have long been fundamental tools for air quality management. In Brazil, the Environmental  
136 Authority developed a methodology based on emission factors, the application of which can  
137 shed more light on the relationships between traffic emissions and the resulting environmental  
138 concentrations. Upon their spatial calibration, such relationships can assist in the establishment  
139 of policies and actions that enable air quality standards to be respected (MMA, 2011).

140 Typically, manuals relate emission factors to different types of vehicles with substantial  
141 differences; for example, heavy vehicles have significant differences with passenger vehicles  
142 in terms of pollutant emissions (Jain et al., 2016; Bukowiecki et al. 2010). Furthermore, these  
143 factors are highly associated with specific traffic conditions. For application purposes, the  
144 model user typically defines a variable referring to the type of traffic situation to which an  
145 emission factor is applicable (i.g. free-flow, stop-and-go), instead of defining a specific speed  
146 variation (INFRAS, 2004).

147 Air pollution models constitute important tools for air quality management systems and  
148 can be employed by environmental authorities to support the development of effective  
149 strategies to reduce harmful atmospheric issues (USEPA, 2009). Paoli (2006) affirms that their  
150 use is more practical, reduces costs, and allows the simulation of scenarios as well as the  
151 determination of appropriate actions for tackling the patterns of air pollution in the short- and  
152 long-term future.

153 The study of Costabile and Allegrini (2008) has shown that the real-time integration of  
154 modeling results with actual measurements can act as a validation source and further enhance  
155 the real-time assessment of traffic-related air pollution. This makes the use of emission factors  
156 very appealing, regardless of their limitations (USEPA, 1995). However, there may remain gaps  
157 in the understanding of the relationship between road traffic and emission of pollutants (cause  
158 and effect mechanisms), especially in cases where traffic conditions may vary from location to  
159 location (Boulter et al., 2007). These gaps may arise from location-specific variations in terms  
160 of built-environment characteristics or land use, resulting, thus, in additional uncertainties with  
161 respect to the air pollution prediction.

162 Another group of studies provides emission factors using real conditions such as tunnel  
163 experiments (Martins et al., 2006; Sánchez-Ccoyllo, 2009; Pérez-Martínez et al., 2014; Alves  
164 et al., 2015). Smit et al. (2009) pointed out, the majority of air pollution models use emission  
165 factors that have been developed from vehicle emission tests in laboratories; the latter typically  
166 reflect controlled conditions of the vehicle use. It should be acknowledged that such a controlled  
167 environment may introduce considerable uncertainties in traffic emission models leading  
168 potentially to inaccurate predictions (e.g., underestimates of traffic emissions).

## 169 **2.2 Damage cost of emissions**

170 The damage caused by air pollution can be economically quantified by firstly assessing  
171 the environmental and public health impacts. Damage costs methods are used to estimate  
172 control and risk costs required to reduce emissions, either by preventing or mitigating them  
173 (VTPI, 2018). Such methods can also facilitate environmental decision making and support the  
174 identification of the most effective public policies (Shindell, 2015). Note that the use of damage

175 cost methods serves as an alternative to the limited availability of primary monitoring data.  
 176 Damage costs are expressed in monetary values per ton of pollutant, with their calculation being  
 177 based on the emission reduction or increase and the associated values of benefit or harm,  
 178 respectively (DEFRA, 2011).

179 Damage costs approximate the marginal costs caused by the additional emission (or  
 180 reduction) of some mass of pollutants. The main goal of this approach is to support the  
 181 assessment of environmental impacts and the choice of harm reduction alternatives and policies  
 182 (DEFRA, 2011). In the UK, for example, these costs are used to evaluate national policies,  
 183 programs, and projects, simplify appraisals on changes in pollutant emissions, and infer the  
 184 non-internalized costs of pollution to society (UK-Government, 2015).

185 According to the New Zealand's guidelines, the damage cost approach is more  
 186 straightforward compared to the exposure modeling (NZTA, 2013). Specifically, for the latter,  
 187 a thorough understanding of the influential factors (such as sources, terrain, meteorology, and  
 188 others) is essential to reach a reliable prediction of pollutant concentrations (NZTA, 2013). In  
 189 the context of the program "Clean Air for Europe", monetized damage costs per ton of pollutant  
 190 (PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>2</sub>, NH<sub>3</sub>, and VOC) have been estimated for each European Union country taking  
 191 care, at the same time, for possible variations across the sites of emission. For example, for  
 192 NO<sub>2</sub>, an average damage cost of €4,107 was calculated, with values ranging from €530-9,600,  
 193 whereas for SO<sub>2</sub>, an average damage cost of €5,368 was identified, with values ranging from €  
 194 1,400-13,000 (AEA-TE, 2015). It is worth mentioning that specific aspects of the air pollution's  
 195 effect, such as impacts on ecosystems and cultural heritage, were not included in this calculation  
 196 of the damage cost.

197 Table 1 provides examples of damage costs that were used to value environmental  
 198 externalities and assess national policies, programs, and projects of different countries. The  
 199 values provided are presented in the country's currency as well as in equivalent US dollar (\$)   
 200 amounts per ton of emission change.

201 **Table 1.** Average damages per ton of emission. Source: UK-DEFRA, 2015, NZTA (2013),  
 202 Austroads, 2012, AEA-TE (2005).  
 203

Location	Pollutant	Central value	Central sensitivities	
			Low	High
United Kingdom	NO <sub>2</sub> (Transport average)	£21,044 (\$27,329.9)	£8,417	£33,670
	PM (Transport urban medium)	£66,264 (\$86,057.1)	£51,881	£75,300
	SO <sub>2</sub>	£1,956 (\$2,540.3)	£1,581	£2,224
	NH <sub>3</sub>	£2,363 (\$3,068.8)	£1,843	£2,685
Location	Pollutant	Costs in NZD/ton	Costs in US\$/ton	
New Zealand	PM <sub>10</sub>	460,012.00	308,208.0	
	NO <sub>2</sub>	16,347.00	10,952.5	
	CO	4.13	2.78	
	HC	1,310.00	877.7	
Location	Pollutant	Costs in AU\$/ton	Costs in US\$ /ton	
Australia	CO	3.3	2.38	
	NO <sub>2</sub>	2,089.2	1,504.2	
	PM10	332,505.9	239,418.6	
Location	Pollutant	Costs in €/ton	Costs in US\$/ton	
Europe	NO <sub>2</sub>	4,400	5,016.0	
	PM <sub>2.5</sub>	26,000	29,600	
	SO <sub>2</sub>	5,600	6,384.0	

### 204 3 Data collection and preliminary analysis

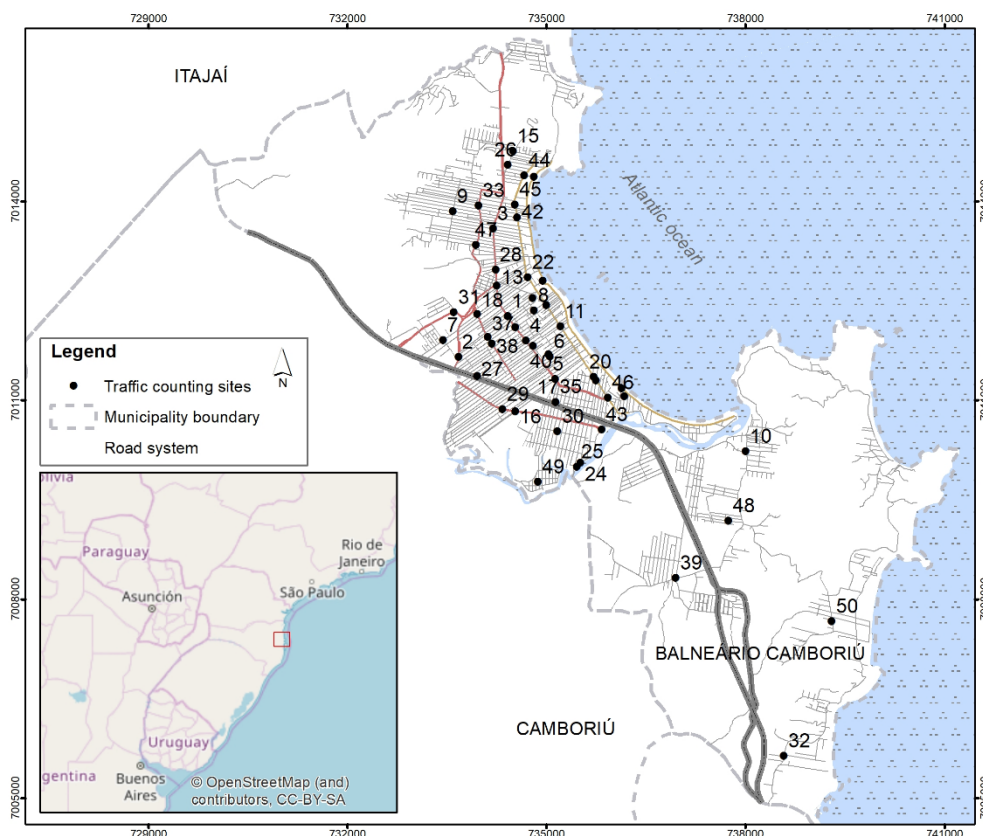
205 The available data that were used in this study refer to samples of traffic volumes  
206 collected at road intersections in the city of Balneário Camboriú, which is located in the State  
207 of Santa Catarina in southern Brazil. The population of the city is about 150,000, with the vast  
208 majority of inhabitants being located in highly urbanized areas. The city constitutes a regional  
209 economic hub as well as an important relevant tourist destination of the country (IBGE, 2016),  
210 mainly due to its extensive coastline. The city currently faces severe issues related to urban  
211 mobility, such as traffic congestion, transit inefficiencies, air and noise pollution, being, thus,  
212 one of the most significant urban mobility challenges in the State.

213 Although Balneário Camboriú is a medium-size city, its urban mobility problems are  
214 similar to those encountered by large metropolitan areas of the country. According to 2010  
215 census (IBGE, 2010), there are 151 municipalities with a population between 100-200k  
216 inhabitants, and 95 municipalities with a population between 200-500k inhabitants,  
217 representing – in total – a population of more than 64 million people (33.7% of the country's  
218 population). In this context, the medium-sized cities may constitute a significant generator of  
219 traffic-related emissions; hence, the reduction of socio-economic and environmental  
220 externalities arising from urban mobility patterns in such settings is of strategic importance,  
221 region-wise and country-wise.

222 Figure 2 shows the location of the 50 intersections that were included in our analysis.  
223 The data collection was conducted only on weekdays (Monday to Thursday) between March  
224 2017 and November 2017. The specific period was selected to avoid bias possibly stemming  
225 from seasonal effects and summer tourism. Traffic counts were conducted at intersections  
226 through an iterative process<sup>1</sup>. Since the City Council has not employed any traffic monitoring  
227 system, the collection of citywide traffic data was a challenging task. Interestingly, we  
228 combined traffic data available from studies and reports of the City Council (Studies of Impacts  
229 on Neighborhood - Estudos de Impacto de Vizinhança) and on-site counts using video  
230 recordings from traffic cameras positioned at intersections. It should be noted that our sample  
231 primarily consists of on-site traffic counts. On a daily basis, the volumes were measured from  
232 7.00 through 9.00 in the morning slot, and from 17.00 through 19.00 in the evening slot. These  
233 time slots were strategically selected to capture the prevailing traffic patterns during peak hours.

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<sup>1</sup> At least three traffic counts were conducted at the same intersection.



234

235 **Figure 2.** Location of traffic samples intersections.

236 To verify daily and seasonal changes in traffic flow, radar traffic data were drawn from  
 237 six monitoring points. The radar data were made available by the Balneário Camboriú City  
 238 Council (PMBC, 2017). Note that detailed time series of historical traffic data were not  
 239 available by the local reporting system. In line with previous studies (Capraz et al., 2016; Fang  
 240 et al., 2017), to identify statistical variations of traffic flow patterns over time, multiple F-tests  
 241 were conducted. According to the formulation of the specific statistical test, the test statistic is  
 242 assumed to follow the F-distribution; for further details on the statistical assumptions  
 243 underpinning the F-test, see also Washington et al. (2011). The statistical analysis of the radar  
 244 data showed that, although there is a significant variation of traffic volumes between season  
 245 and off-season months – possibly due to higher tourist flows – the traffic volumes exhibit  
 246 overall consistent patterns. Specifically, statistically significant differences (with greater than  
 247 99% level of confidence, since the average p-value was equal to 0.009) were identified between  
 248 the summer season months (January-March) and the off-season months (August-November).  
 249 On a weekly basis, Fridays are found to be associated with statistically different traffic patterns  
 250 relative to the other weekdays (with greater than 95% level of confidence since the average p-  
 251 value was equal to 0.004). Taking into account the aforementioned findings, the traffic  
 252 characteristics of weekdays during off-season months are considered as a baseline for the  
 253 interpretation of the outcomes of this study.

254 In order to estimate the effects of air pollution throughout the city, it was necessary to  
 255 extrapolate the traffic flows to the entire urban network. For the extrapolation, two fundamental  
 256 criteria were used: (i) road hierarchy; and (ii) homogeneous traffic zones (HTZ), as conceived  
 257 by Tischer (2017). Homogeneous Traffic Zones are defined using socioeconomic criteria and  
 258 represent locations classified with respect to their potential in generating and attracting trips.  
 259 Following an ordinal scale, High-level zones (e.g., Z5) are overall associated with high  
 260 demographic density, income level, and economic potential. On the contrary, low-level zones  
 261 (Z1) are associated with low demographic density, income level, and economic potential.

262 The hourly vehicle flow was separated in peak hour and average daytime hour,  
 263 composing, thus, two matrices. Table 3 provides the split of peak hour traffic and average  
 264 daytime hour traffic per traffic zone and road hierarchy type. Note that the values arranged in  
 265 the matrix were obtained by the average of the hourly vehicle flow, which was available from  
 266 the traffic count samples. Table 3 shows that the higher the traffic zone rank (traffic zones range  
 267 from Z1 to Z5) and the road hierarchy (ranging from Local roads to Arterial roads – see also  
 268 the “Material and Methods” section for further information), the higher is the traffic generated  
 269 by that zone.

270 **Table 2.** Estimated vehicle flows per lane for peak and average daytime hours in the city of  
 271 Balneário Camboriú, Brazil.

Vehicle per hour per lane per road hierarchy and HTZ										
Hierarchy Traffic Zone	Peak time					Average daytime hour				
	Arterial 1	Arterial 2	Collector 1	Collector 2	Local	Arterial 1	Arterial 2	Collector 1	Collector 2	Local
Z1	710	733	575	297	18	547	375	462	180	10
Z2	837	773	611	463	144	621	429	458	343	95
Z3	931	791	704	438	100	586	477	520	275	82
Z4	795	756	486	444	165	644	550	450	334	135
Z5	1,042	799	775	446	146	734	585	514	341	98

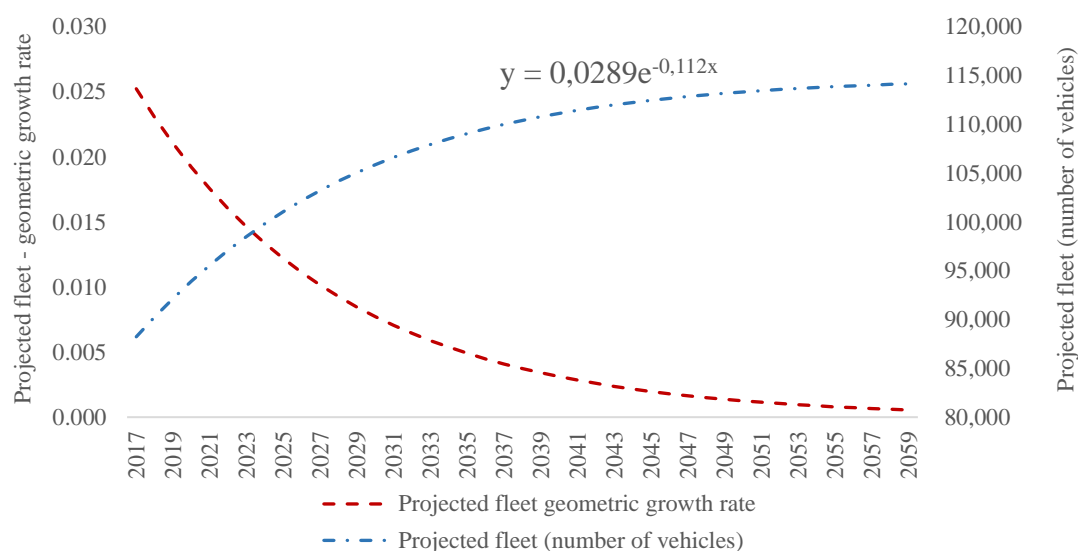
273 Regarding the composition of the observed traffic, Table 4 shows that there is a  
 274 predominance of passenger cars representing more than 68% of the traffic flow. Motorcycles  
 275 represent about 19% of the traffic flow, whereas heavy vehicles (trucks and buses) represent  
 276 approximately 2.9 and 3.5% of the flow (corresponding to daytime average and peak hour,  
 277 respectively).

278 **Table 3.** Average composition of the traffic in the Balneário Camboriú, Brazil.

Reference Hour	Cars	Motorcycles	Buses	Trucks	Bicycles
Peak	69.2%	19.3%	1.5%	1.4%	8.6%
Daytime Average	68.0%	19.0%	1.7%	1.8%	9.4%

280 To compare future trends based on the demographic dynamics of the municipality, the  
 281 emissions of pollutants were also considered over a 20-year and a 40-year time horizon. For the  
 282 investigation of such future trends, we did not consider the implementation of measures that  
 283 could change abruptly the operating conditions of the transportation network. To enable a  
 284 projection over time, an exponential trend equation of growth rates relating to the vehicle fleet  
 285 was established, using data from 2002 through 2018 (Detran/SC, 2018). The exponential  
 286 equation (trend line) allowed the projection of the growth rate for the requested time horizons,  
 287 as shown in Figure 3, the exponential form provided the best adjusted values against other curve  
 288 forms (linear, logarithm, power), which were also investigated and found to project the rates at  
 289 very high levels. For further details on statistical inference using fitted trend lines, see also  
 290 Washington et al. (2011). Despite the anticipated increase of the vehicle fleet over the next  
 291 decades, it is evident that the growth rate exhibits a substantially declining trend.





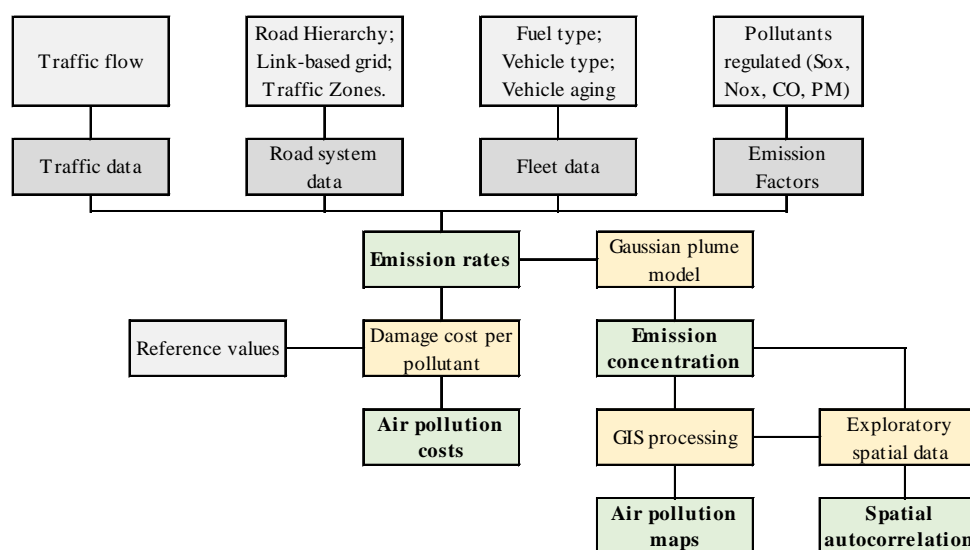
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293 **Figure 3.** Projected geometric growth rate of the vehicle fleet in Balneário Camboriú. Source:  
 294 Registered vehicle data Detran/SC (2018)

#### 295 4 Material and Methods

296 In order to evaluate the impact of pollution caused by mobile sources in the  
 297 municipality, highly disparate input data (traffic data, road characteristics, fleet data, and  
 298 emission factors) were collated in one comprehensive dataset. The latter allows the estimation  
 299 of the emission rates, which, in turn, are transformed into emission concentrations.  
 300 Subsequently, such values enable the comparison with the legislative environmental thresholds.

301 Using the Geographic Information System (GIS) environment, a geographic dispersion  
 302 analysis is conducted. In the context of this analysis, the pollutant concentrations are counter-  
 303 imposed against the legislation thresholds. Furthermore, the possibility of spatial  
 304 autocorrelation between the studied intersections is also investigated. Besides the  
 305 environmental and health implications, the outcomes of the developed methodological  
 306 framework include an economic appraisal focusing on damage costs of air pollution. Figure 4  
 307 provides a comprehensive flowchart with all the stages, steps and outcomes of the  
 308 methodological framework.



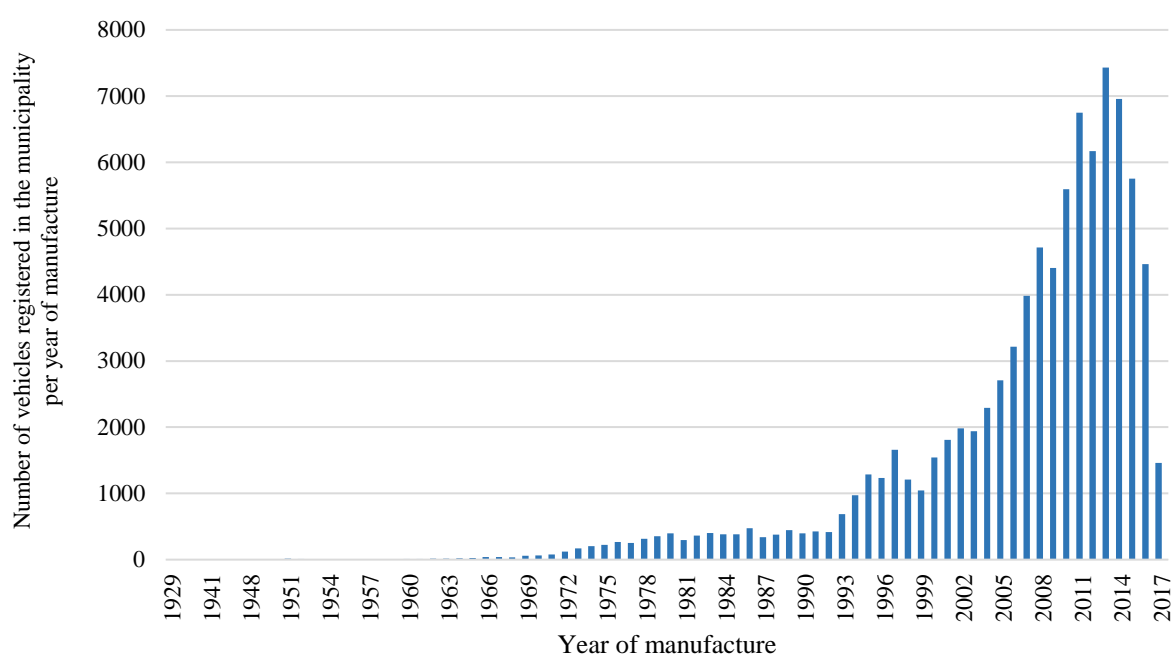
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310 **Figure 4.** Comprehensive synthesis of the analysis steps.

#### 311 4.1 Fuel type and average age of vehicles

312 Fuel is an important factor in measuring pollutants rates. According to Gualtieri and  
 313 Tartaglia (1998) and Londono et al. (2011), it is typically assumed that light vehicles use  
 314 predominantly gasoline whereas the heavy vehicles use diesel.<sup>2</sup>

315 Regarding the age of the vehicles, we adopt assumptions about the municipal fleet age,  
 316 which were based on data from the State Department of Traffic (Detran, 2017). Specifically,  
 317 the analysis of the latter data verified that the average service life of the city's vehicles is  
 318 approximately 8 years, with 5 years being the most frequently observed vehicle age (mode of  
 319 the vehicle age). Figure 5 provides a graph with the historical evolution of the fleet size of  
 320 Balneário Camboriú over the last 90 years. Following the considerations of the CETESB  
 321 manuals (CETESB, 2009; CETESB, 2017), the vehicle age was drawn from Figure 5 and used  
 322 for weighting the emission factors of pollutants.



323  
 324 **Figure 5.** Number of vehicles registered in the municipality of Balneário Camboriú, Brazil per  
 325 year of manufacture. Source: Detran/SC, 2017.

#### 326 4.2 Determination of links

327 Vehicle emission inventories in Brazil estimate the emission of pollutants for vehicle  
 328 trips as a function of the registered fleet and the average distance traveled by the specific fleet  
 329 (as in the MMA, 2011). Herein, it is not possible to adopt this fleet-based method for traffic

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<sup>2</sup> It should be noted that a large part of the light vehicles fleet in Brazil consists of flex vehicles, which can run on gasoline and ethanol. However, in the southern region of Brazil, this fuel has been little used, as the operation cost of these vehicle is higher compared to gasoline-based vehicles. The use of such vehicles is much more evident in the Southeast and Northeast regions, which constitute producing regions of ethanol. Interestingly, according to the association of merchants of fuel (Sindópolis) (DC, 2018), the gas stations in the case study region are stopping selling this fuel due to low demand. In addition, data from the National Agency of Petroleum, Natural Gas and Biofuels indicate that the consumption of ethanol in the municipality of Balneário Camboriú represents 3.25% of the total fuel consumption. That is 2,493.6m<sup>3</sup> of ethanol, as opposed to 74,164.1m<sup>3</sup> of gasoline, in the year 2016 (ANP, 2019). Hence, due to the low demand in the case study area, and due to limitations related to the estimation of the shares of these vehicles in the traffic fleet and the estimation of the corresponding fuel consumption, the specific group was not considered.

330 flow data, since the same vehicle is likely to cross multiple, adjacent intersections; possible  
 331 over-counting of the flows in the intersections may introduce significant bias in the analysis.  
 332 To account for the fact that the circulation of vehicles at one point can influence the flows at  
 333 adjacent points, linked-based techniques are employed. In inventory emissions appraisals, link-  
 334 based studies are considered advantageous because of providing spatial perspectives to the  
 335 analysis (Yao and Song, 2013, Pan, 2016, Borge et al., 2012, Zhang et al., 2016, Gualtieri and  
 336 Tartaglia, 1998; and Gois et al., 2007).

337 GIS procedures were used to obtain the extensions of the road links. Following the  
 338 approach of Zhang et al. (2016), the roads were classified in 5 categories on the basis of their  
 339 hierarchy: arterial 1 and 2, collector 1 and 2 and local roads. Arterials serve major areas and  
 340 provide a high degree of mobility. Minor arterials serve geographic areas that are smaller  
 341 compared to those served by the major arterials. Collectors gather traffic from local roads and  
 342 funnel them to the arterial network. Local roads are not designated for long-distance trips, apart  
 343 from providing access at the origin or destination of the trip. Table 5 provides the number of  
 344 links as well as the average link length per each road hierarchy type.

345 **Table 4. Average link extension of the road system per road hierarchy**  
 346

Road hierarchy	Links	L (m)	Average link extension (km)
Arterial 1 - A1	173	11,794	0.068
Arterial 2 - A2	524	35,288	0.067
Collector 1 - C1	419	47,500	0.113
Collector 2 - C2	164	17,388	0.106
Local - L	1,823	239,820	0.132
<b>Total</b>	<b>3,103</b>	<b>351,790</b>	<b>0.097</b>

### 347 4.3 Pollutants and Emission Factors

348 Brazilian air quality legislation (Conama 491/2018) provides a set of indicators that  
 349 need to be monitored in order to maintain environmental and public health. Out of the pollutants  
 350 listed by that resolution, CO, NO<sub>2</sub>, Particulate Matter, and SO<sub>2</sub> have been previously studied  
 351 in-depth by various environmental authorities (MMA, 2014, Ibama, 2014, CETESB, 2017)  
 352 using the emission factor-based approach. The emission factors used in this study were drawn  
 353 from CETESB (2017). The emission factors that were used in this study are presented in Table  
 354 6. These factors were weighted according to the year of vehicle manufacture to CO, NO<sub>2</sub> and  
 355 PM contaminants. SO<sub>2</sub> factor was used from CETESB (2009), due to the absence of yearly  
 356 reference value in the recent reports.

357 **Table 5.** Employed emission factors for air pollutants. Source: CETESB, 2017, CETESB  
 358 (2009)

Vehicle data		Emission factors (g/km)			
Type	Fuel	CO <sup>3</sup>	NO <sub>2</sub> <sup>3</sup>	PM <sup>3</sup>	SO <sub>2</sub> <sup>4</sup>
Light	Gasoline	2.71-	0.02	0.0012	0.07
Motorcycles	Gasoline	1.28	0.09	0.0042	0.02
Heavy	Diesel	0.82	4.68	0.20	0.13

359

### 360 4.4 Emission and concentration of pollutants

361 The emission – typically expressed as a ratio of mass per time – is a function of the type  
 362 and volume of vehicles as well as of the emission factors, which are intrinsic to each type of

<sup>3</sup> Emission factor weighted accordingly to the year of the vehicle manufacture.

<sup>4</sup> CETESB (2009).

363 pollutant. However, air quality measures are typically expressed in concentration units (mass  
364 per volume). Consequently, to estimate the dispersion of the contaminant through the air as  
365 well as its concentration at the receptor, the application of a mathematical model is necessary.  
366 In this study, the Gaussian plume dispersion model is employed. This modeling approach  
367 attempts to describe and solve physical processes within a distinct mathematical and numerical  
368 framework, although it employs simplified flows over flat terrain (Tripathi et al., 2018). For  
369 model implementation, the following default values were used:  $h=0.4$  m; diameter of  
370 release= $0.05$ m;  $u=1$  m/s; ambient temperature =  $25^{\circ}\text{C}$ ; Atmospheric condition category = slight  
371 unstable. Note that the default climate values for the Gaussian plume dispersion model were to  
372 based on the study of Araujo et al. (2009). Although the model considers general characteristics  
373 of atmospheric stability class, specific climatic characteristics such as ocean breeze, winds, and  
374 rainfall were not included in the model specification; the latter should be taken into account  
375 when interpreting the results.

376 For the implementation of the Gaussian plume dispersion model, the tool developed by  
377 NCSEC (<http://www.ncsec.org/>) was used, where the Gaussian routine method is incorporated  
378 in an Excel-based application. The rates and concentrations (in kg/h and  $\mu\text{g}/\text{m}^3$ , respectively),  
379 were estimated per each intersection of the study area. The consideration of different  
380 homogeneous zones and hierarchy levels (as presented in Table 3) allowed us to extrapolate the  
381 concentration values for the entire road network of the municipality. After the calculation of  
382 the concentrations, a GIS analysis was then undertaken to map the spatial distribution of  
383 concentrations per each contaminant considered in the study. The latter helped obtain isolines  
384 of concentrations and perform, then, linear interpolation for the emitting points (intersections).  
385 The concentrations values were, in turn, aggregated in nominal classes using the legal  
386 concentration thresholds for each pollutant type as a reference basis.

387

#### 388 **4.5 Air Pollution spatial analysis**

389 Initially, all the intersections of the city roads were determined and classified with  
390 respect to the traffic zone and the road hierarchy associated with each of them, following the  
391 approach of Tischer (2017). That was accomplished in a Geographic Information System (GIS)  
392 environment. Using the homogeneous traffic zones established within the studied municipality  
393 as well as the measured traffic flows, the methodology aims at identifying intersection-specific  
394 factors that will enable the appraisal of the air pollution level at a specific location. The  
395 calculations of the concentration of the contaminants were combined with the attribute  
396 worksheet of the intersections in the GIS environment. This allowed the development of  
397 thematic maps by each type of pollutant. The maps were classified by reference to the threshold  
398 concentrations of the Brazilian air quality legislation Conama 491/2018 (Brazil, 2018).

399 The previously mentioned legislation establishes air quality standards based on the  
400 effects on health, safety, and well-being of the population and the environment. To that end, it  
401 determines primary and secondary quality standards. In this study, we considered a day-based  
402 scale of concentrations for the first stage of implementation. The upper concentrations are  
403 specified as:  $\text{SO}_2$ :  $125 \mu\text{g}/\text{m}^3$  (24-h),  $\text{NO}_2$ :  $260 \mu\text{g}/\text{m}^3$  (1-h), particulate matter:  $240 \mu\text{g}/\text{m}^3$ (24-  
404 h), and CO: 9ppm (converted to  $9,000\mu\text{g}/\text{m}^3$ ) (8-h) (Brazil, 2018).

405 The development of thematic maps of dispersion of pollutants requires the use of  
406 generalized functions, due to the need for extrapolating the pollutants concentration data, which  
407 were calculated for the intersections, to the entire urban area. For this purpose, the Natural  
408 Neighbor interpolation algorithm was employed. This approach identifies groups  
409 geographically close to the interpolated points and creates values by applying weights

410 proportional to the distance between them (Sibson, 1981; Arcgis, 2018). In this context, maps  
 411 were developed for the four pollutants by considering the peak and average daytime hours of  
 412 the vehicle flows.

413 Besides the geographic analysis of pollutants dispersion, an Exploratory Spatial Data  
 414 Analysis (ESDA) was also conducted to identify clusters with similar air pollution patterns in  
 415 the municipality. This type of analysis can assist in the formation of land use and transportation  
 416 policies and the preliminary identification of spatial dependence of transportation-related air  
 417 pollution that may warrant further investigation.

#### 418 4.5.1 Exploratory Spatial Data Analysis (ESDA)

419 Due to its dispersion, air pollution can be expressed in spatial terms. The spatial  
 420 variations of the traffic or built environment characteristics that determine the air pollution  
 421 levels may introduce spatial heterogeneity in the distribution of air pollution across the city  
 422 districts (Lin and Ge, 2006; Sun et al., 2017). Thus, the possible presence of geographically  
 423 associated clusters or spatial differentiations between specific points should be taken in account  
 424 to evaluate the validity of air pollution predictions and to possibly identify social gradients that  
 425 may have an influence on pollutants' exposures (Jerrett et al., 2005, Briggs et al., 2000).

426 One of the fundamental hypotheses of this study is the spatial relationship between air  
 427 pollution and traffic zones. According to Anastasopoulos et al. (2010), spatial dependence  
 428 constitutes a significant spatial effect and can be defined as the co-variation of properties  
 429 inserted in a spatial system. This relationship is also called spatial autocorrelation and can be  
 430 used to identify similar patterns that can be joined in clusters. Specifically, autocorrelation  
 431 considers the sample points, focusing on their locations and the values associated with them  
 432 (Ord and Getis, 1995). Spatial autocorrelation allows hypotheses to be tested to evaluate the  
 433 relationship between variables in space resulting, thus, in a better understanding of the effects  
 434 among each other within the same geographical context (Getis, 2007). The clustering of similar  
 435 values of a variable in adjacent spatial units indicates the presence of positive spatial  
 436 autocorrelation; when geographic areas tend to be surrounded by neighbors with very different  
 437 values, there is strong evidence for the presence of negative spatial autocorrelation  
 438 (Khomiakova, 2008). The study of Lorant et al. (2001) has shown that the use of spatial  
 439 autocorrelation in regression models may affect the relationship between pollutant emissions  
 440 and traffic zones. To that end, the possibility of autocorrelation likely underpinning the spatial  
 441 structure of the data should be investigated in order not to lead to erroneous conclusions.

442 In this study, the geographical connections between the traffic zones were specified on  
 443 the basis of the contiguity indicator, which assumes that interactions are present only if two  
 444 zones share a common border (Anselin, 2018), considering up to 10 neighboring zones. To  
 445 explore whether spatial dependence patterns of air pollution are statistically evident across the  
 446 traffic zones, the Moran's  $I$  test was conducted. The test statistic can be defined as  
 447 (Anastasopoulos et al., 2010; Tang et al., 2013; Zou et al., 2014):

$$448 \quad I = \left( \frac{n}{E} \right) \cdot \left( \frac{z'Wz}{z'z} \right) \quad (3)$$

449 Where  $z$  is a vector containing  $n$  observations measured in deviation from the mean,  $W$   
 450 is a spatial weights matrix with  $n \times n$  elements representing the spatial topology of the system,  
 451 and  $E$  denotes the sum of elements of the  $W$ . Moran's  $I$  local can provide insights regarding the  
 452 degree of spatial autocorrelation at each specific location; for its calculation, 999 permutations  
 453 were used (see also Anastasopoulos et al., 2010; Anselin, 2018).

454 To identify possible spatial autocorrelation patterns, the exploratory analysis of spatial  
 455 data was performed through the software Geoda (Anselin, 1996). Along with Moran's  $I$  test,

456 the ESDA analysis can assist in identifying possible spatial relationships between clusters or  
 457 unobserved heterogeneity effects associated with the traffic air pollution and corresponding  
 458 traffic zones. For further insights with regard to possible sources and statistical implications of  
 459 unobserved heterogeneity see: Mannering et al., 2016; Fountas and Anastasopoulos, 2017;  
 460 Fountas et al., 2018a; Cai et al., 2018; Fountas et al., 2018b; Mannering, 2018; Fountas and  
 461 Anastasopoulos, 2018; Agüero-Valverde, 2018; Fountas and Rye, 2019; Pantangi et al., 2019,  
 462 Fountas et al., 2019; Barbour et al., 2019.

#### 463 4.6 Damage costs of emissions

464 Due to the inclusion of daytime traffic flows in the database, the projected costs reflect  
 465 daytime flows across business days on a yearly basis (i.e., 240 days/year). The emissions were  
 466 weighted on the basis of 1 peak hour and 11 hours representing the average daytime flow; as  
 467 such, 12 hours per day were considered in total. Table 7 presents a compilation of damage cost  
 468 values by each pollutant considered in this study. Various organizations as well as previous  
 469 studies have suggested several cost ranges as reference values (to name a few, NZTA, 2013,  
 470 Austroads, UK-DEFRA, 2015, European Commission, AEA, Krewitt et al., 1999; Rabl and  
 471 Spadaro, 2000; Rabl et al. 2005; Mirasgedis et al. 2008; Gu et al., 2012). To avoid possible  
 472 overestimation of the damage cost, we employed values corresponding to the lower limits of  
 473 the reference ranges that were provided in Table 1. Table 7 summarizes the exact values that  
 474 were used in this study.

475 **Table 6.** Compilation of marginal damage costs per ton of emissions of pollutants considered  
 476 for each organization.

477

Reference	Values per ton					
	CO		NO <sub>2</sub>		PM	SO <sub>2</sub>
NZTA (2013)	NZD	4.13	NZD	16,347.00	n/a <sup>5</sup>	n/a
Austrroads	AU\$	3.30	AU\$	2,089.20	n/a	n/a
UK-DEFRA, 2015	n/a		£	8,417.00	£ 51,881.00	£ 1,581.00
European Commission	n/a		€	2,500.00	n/a	€ 3,700.00
AEA (Europe average)	n/a		€	4,107.14	n/a	€ 5,367.86

## 478 5 Results

### 479 5.1 Exploratory spatial data analysis (ESDA)

480 Table 8 shows that the Moran's *I* was found to vary from 0.103 to 0.264, depending on  
 481 the pollutant type. For all the pollutant types, the p-value is equal to 0.05 or less, implying that  
 482 homogeneous traffic zones with similar emission rates are spatially clustered (positive  
 483 autocorrelation) with greater than 95% level of confidence.

484 **Table 7.** Moran's *I* results for each pollutant.

485

Pollutant	Moran's I	Mean	Sd	z-value	Pseudo p-value
SO <sub>2</sub>	0.264	-0.0104	0.0647	4.239	0.001
CO	0.103	-0.0037	0.0620	1.732	0.043
Particulate Matter	0.238	-0.0113	0.0645	3.870	0.001
NO <sub>2</sub>	0.144	-0.0038	0.0622	2.372	0.010

486 Figure 6 and Figure 7 and provides the Moran's *I* scatterplots along with maps of the  
 487 study area. Both Figures show the spatial dependence patterns of pollutants per intersection.

<sup>5</sup> Not applicable. Blank cells indicate that the institution has not provided applicable values for the specific pollutant.

488 Specifically, the first (upper-right) quadrant HH (High–High) of the scatterplot shows zones  
489 with high values of pollutant emissions surrounded by zones with high values of pollutants. The  
490 second quadrant LH (Low–High) shows zones with low values of pollutant emissions  
491 surrounded by zones with high values of pollutant emissions. The third quadrant LL (Low-  
492 Low) illustrates zones with low pollutant rates surrounded by zones with low rates of pollutants,  
493 whereas the fourth quadrant HL (High-Low) depicts zones with high rates of pollutants  
494 surrounded by zones with low rates. Quadrants HH and LL exhibit positive spatial  
495 autocorrelation indicating, thus, spatial clustering of similar magnitude and sign. In opposite  
496 manner, quadrants LH and HL exhibit negative spatial autocorrelation reflecting spatial  
497 clustering of opposite magnitude and sign.

498 The spatial autocorrelation of the pollutant dispersion provides evidence of low  
499 concentrations in zones of low potential for trip generation (i.e., low-order homogeneous traffic  
500 zones). These zones mainly form the cluster LL of neighboring areas, which are associated with  
501 low concentration values for the pollutants CO, SO<sub>2</sub>, and NO<sub>2</sub>. Interestingly, in peripheral areas  
502 of the city, there is a predominance of low-level roads and areas of low population density; the  
503 latter factors may reduce the potential for pollutant generation. An inverse relationship between  
504 trip generation potential and pollutant concentrations was observed in the cluster LH, where  
505 low concentrations of pollutants are associated with high-order homogeneous traffic zones.  
506 This may be attributed to the significant presence of roads with less intense flow patterns (i.e.,  
507 roads of lower hierarchy) in the specific zones. The opposite is observed in the HL cluster, with  
508 points of high pollutant concentrations being located in low-order homogeneous traffic zones.  
509 This finding may be capturing the relatively high traffic flows in roadways of higher road  
510 hierarchy.

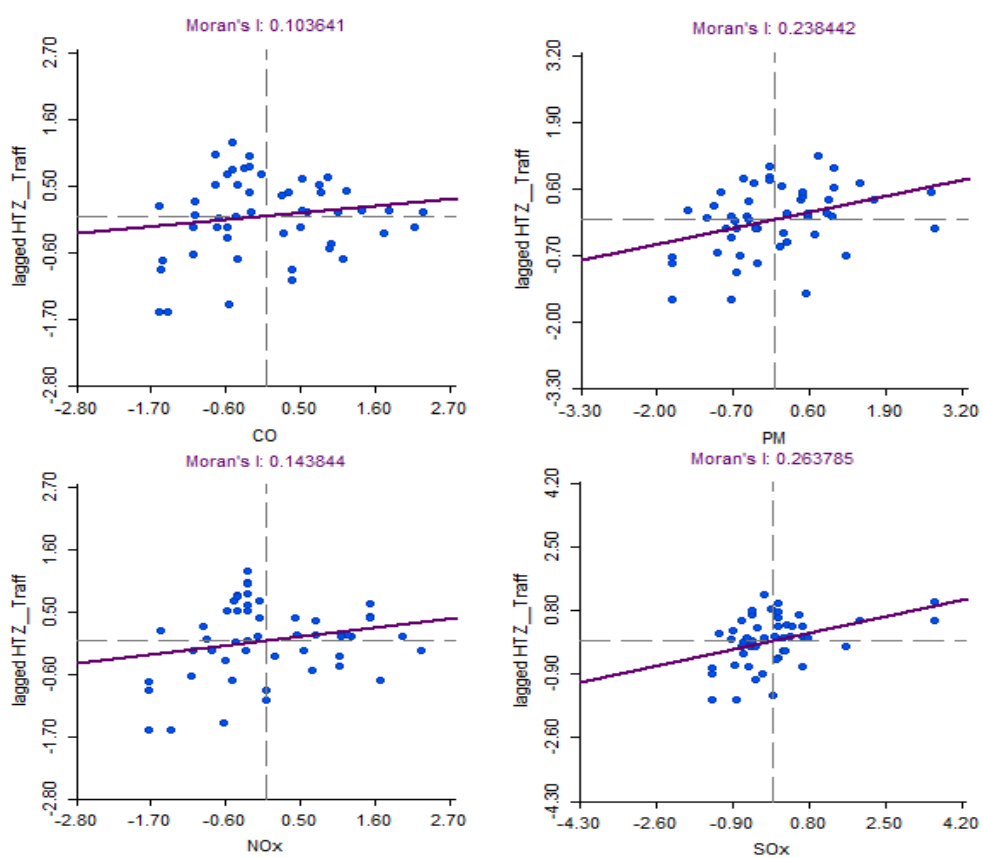
511 Regarding the high-high (HH) pattern of autocorrelation, the largest cluster formation  
512 was observed for the SO<sub>2</sub> pollutant, with four points of high correlation being identified in a  
513 high-order homogeneous traffic zone<sup>6</sup>. In addition, a HH cluster was observed for the  
514 particulate matter with three points of high correlation as well as for the CO with one point of  
515 high correlation. Those areas coincide with the southwest portion of the municipality consisting  
516 of densely populated areas with high-flow road network. In contrast, about 30 intersections  
517 (60% of the total) were not found to have statistically significant autocorrelation. This can be  
518 attributed to the absence of a relationship between neighboring intersections in terms of  
519 pollutant emissions. In these areas, there is a diversity of land uses, while the presence of  
520 different HTZ types and vehicles flows is evident. The diverse nature of these factors may not  
521 allow the establishment of clusters with similar patterns.

522 The results of the exploratory spatial data analysis showed that intersections located in  
523 major roads near high-dense traffic zones might constitute hot spots of air pollution, as indicated  
524 by the strong, positive spatial autocorrelation. This finding is intuitive since these areas are  
525 subject to the effect of traffic congestion, traffic flows fluctuations as well as their air pollution-  
526 related implications. Overall, this appraisal provides a preliminary, yet descriptive overview of  
527 the underlying spatial effects, with the identification of the specific sources of spatial

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<sup>6</sup> In Figure 7, red points indicate sources of high pollutant concentrations, which are also highly correlated.

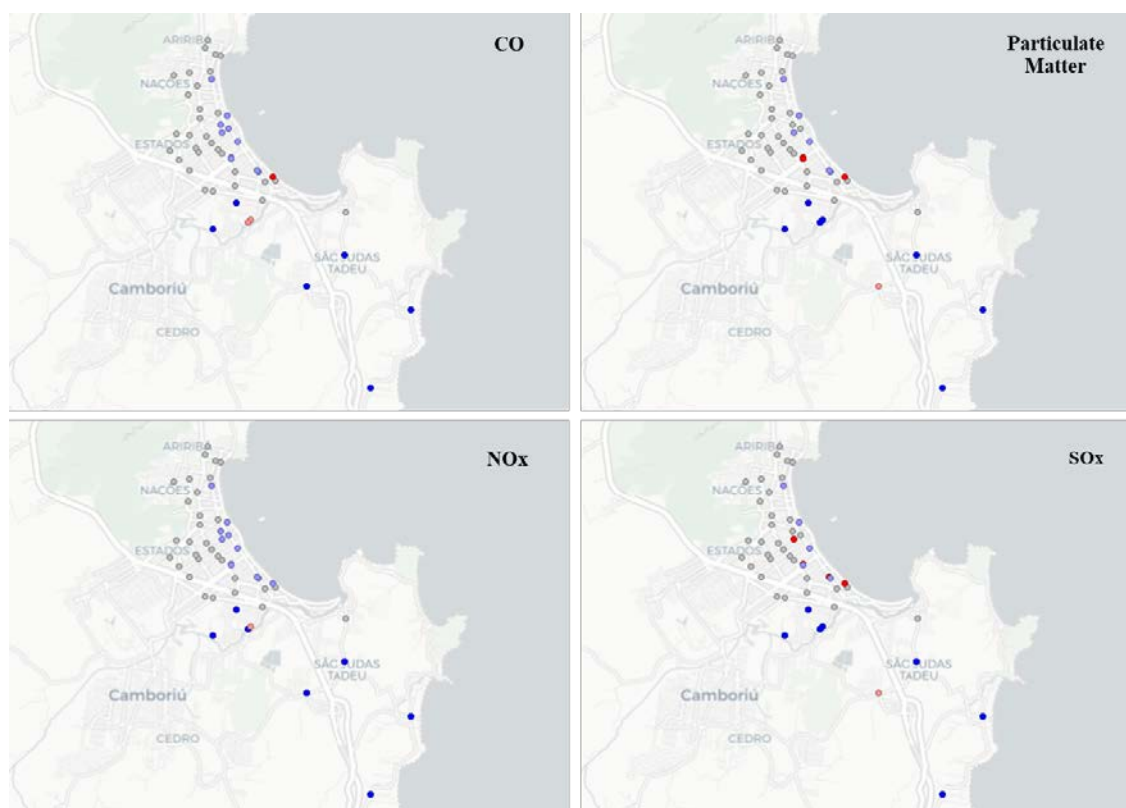
528 heterogeneity warranting further investigation, possibly through spatial econometric modeling  
529 approaches (Fountas et al., 2018c; Cai et al., 2018; Agüero-Valverde, 2018).



530

531 **Figure 6.** Moran's  $I$  Scatterplot for pollutants CO, PM, NO<sub>2</sub> and SO<sub>2</sub>.





532

533 **Figure 7.** Moran's  $I$  map for CO, Particulate Matter, NO<sub>2</sub> and NO<sub>2</sub> pollutants.

534

535 **5.2 Emission rate and concentration of pollutants**

536 The emission rates of pollutants constitutes a fundamental cohort of results, since it  
 537 serves as the input for the calculation of the concentrations of the studied pollutants. These rates  
 538 were calculated for each type of intersection; note that a total of 25 types of intersections were  
 539 identified using the road hierarchy and the homogeneous traffic zones as defining criteria (see  
 540 Table 9 for a comprehensive overview of the emission rates per intersection type).

541 **Table 8.** Emission rates of pollutants ( $E$ ) per Homogeneous Traffic Zone (HTZ) and Road  
 542 Hierarchy.

543

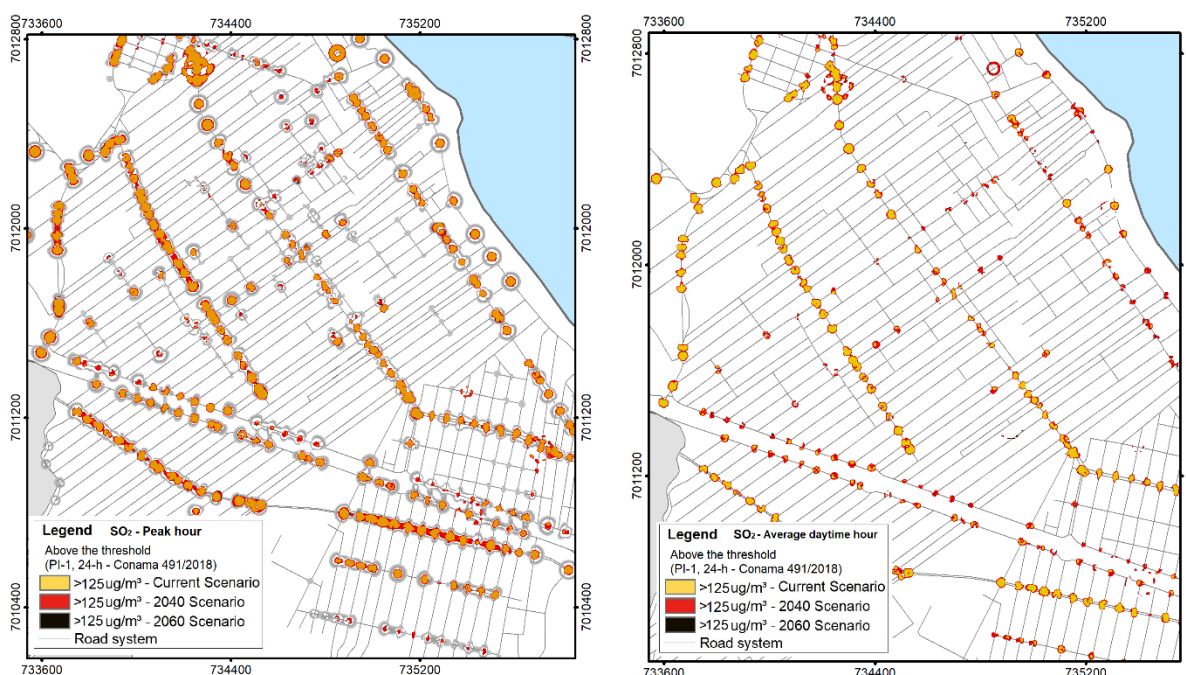
HTZ	Road Hierarchy	Peak Hour					Average Daytime Hour				
		Veh/h	Emission rate $E$ (Kg/h)				Veh/h	Emission rate - $E$ (Kg/h)			
			CO	NO <sub>2</sub>	MP	SO <sub>2</sub>		CO	NO <sub>2</sub>	MP	SO <sub>2</sub>
1	A1	710	0.0260	0.0130	0.00029	0.0046	547	0.0201	0.0117	0.00025	0.0035
	A2	772	0.0283	0.0142	0.00032	0.0050	375	0.0138	0.0080	0.00017	0.0024
	C1	575	0.0211	0.0106	0.00024	0.0037	462	0.0170	0.0099	0.00022	0.0030
	C2	297	0.0109	0.0055	0.00012	0.0019	180	0.0066	0.0039	0.00008	0.0012
	L	18	0.0007	0.0003	0.00001	0.0001	10	0.0004	0.0002	0.00000	0.0001
2	A1	837	0.0307	0.0154	0.00035	0.0054	621	0.0228	0.0133	0.00029	0.0040
	A2	773	0.0283	0.0142	0.00032	0.0050	429	0.0158	0.0092	0.00020	0.0028
	C1	611	0.0224	0.0112	0.00025	0.0039	458	0.0168	0.0098	0.00021	0.0030
	C2	463	0.0170	0.0085	0.00019	0.0030	343	0.0126	0.0073	0.00016	0.0022
	L	144	0.0053	0.0026	0.00006	0.0009	95	0.0035	0.0020	0.00004	0.0006
3	A1	788	0.0289	0.0145	0.00033	0.0051	586	0.0215	0.0126	0.00027	0.0038
	A2	791	0.0290	0.0145	0.00033	0.0051	477	0.0175	0.0102	0.00022	0.0031
	C1	704	0.0258	0.0129	0.00029	0.0045	520	0.0191	0.0112	0.00024	0.0034
	C2	419	0.0154	0.0077	0.00017	0.0027	275	0.0101	0.0059	0.00013	0.0018
	L	100	0.0037	0.0018	0.00004	0.0006	82	0.0030	0.0018	0.00004	0.0005

HTZ	Road Hierarchy	Peak Hour					Average Daytime Hour				
		Veh/h	Emission rate <i>E</i> (Kg/h)				Veh/h	Emission rate - <i>E</i> (Kg/h)			
			CO	NO <sub>2</sub>	MP	SO <sub>2</sub>		CO	NO <sub>2</sub>	MP	SO <sub>2</sub>
4	A1	795	0.0292	0.0146	0.00033	0.0051	644	0.0237	0.0138	0.00030	0.0041
	A2	739	0.0271	0.0136	0.00031	0.0048	550	0.0202	0.0118	0.00026	0.0035
	C1	486	0.0178	0.0089	0.00020	0.0031	450	0.0165	0.0096	0.00021	0.0029
	C2	444	0.0163	0.0081	0.00018	0.0029	334	0.0123	0.0072	0.00016	0.0022
	L	165	0.0060	0.0030	0.00007	0.0011	135	0.0050	0.0029	0.00006	0.0009
5	A1	1,042	0.0382	0.0191	0.00043	0.0067	734	0.0270	0.0157	0.00034	0.0047
	A2	793	0.0291	0.0146	0.00033	0.0051	585	0.0215	0.0125	0.00027	0.0038
	C1	775	0.0284	0.0142	0.00032	0.0050	514	0.0189	0.0110	0.00024	0.0033
	C2	446	0.0164	0.0082	0.00018	0.0029	341	0.0125	0.0073	0.00016	0.0022
	L	146	0.0053	0.0027	0.00006	0.0009	98	0.0036	0.0021	0.00005	0.0006

544 The modeling results have shown that NO<sub>2</sub>, SO<sub>2</sub>, and CO are the pollutants that exceed  
 545 the limits of air quality legislation. It should be noted that the estimated values come from  
 546 simulated data rather than from a primary data collection; thus, such estimated concentrations  
 547 serve as reference values within the context of a preliminary investigation of air pollution levels,  
 548 since there is no emission data available, nor any monitoring program, to the study area.

549 These concentrations derived by the model are mainly observed up to 20 meters  
 550 (approximately) from the road network, with the peak of concentrations being observed at a  
 551 distance near 10 meters from the road network. At this distance, for example, about 60%, 71%  
 552 and 41% of the intersections during the peak hours are associated with concentrations exceeding  
 553 the thresholds, for NO<sub>2</sub>, SO<sub>2</sub>, and CO, respectively; the same is observed for 50% and 65% of  
 554 the intersections during the average daytime hours, for SO<sub>2</sub> and NO<sub>2</sub>, respectively (see also  
 555 Figure 8-11). The 20-year projections show that 61%, 83% and 58% of the intersections during  
 556 peak hours (for NO<sub>2</sub>, SO<sub>2</sub>, and CO, respectively), and 53% and 83% of the intersections during  
 557 daytime average hours (for NO<sub>2</sub> and SO<sub>2</sub>, respectively) are associated with exceeding  
 558 concentrations. It is worthwhile to mention that local roads, and, in some districts, secondary  
 559 collector roads do not generally exhibit concentrations over legislation thresholds.

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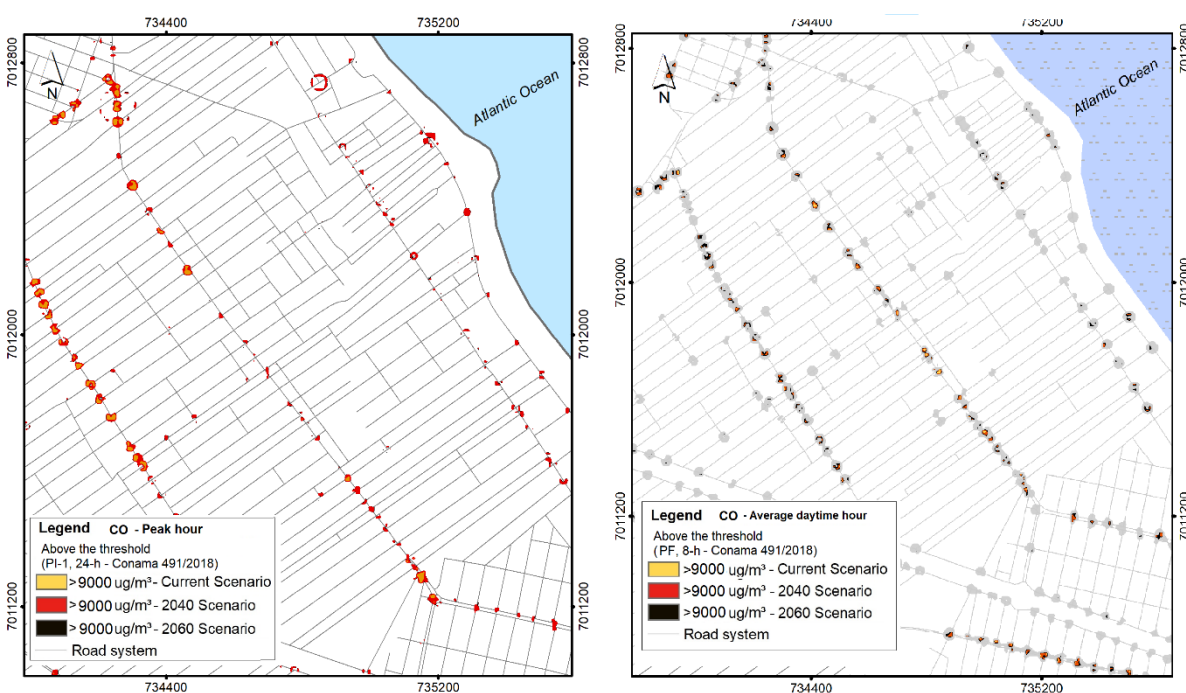
**Figure 8.** Map of SO<sub>2</sub> concentrations for the average daytime and peak hour.



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**Figure 9.** Map of NO<sub>2</sub> concentration for the average daytime and peak hour.

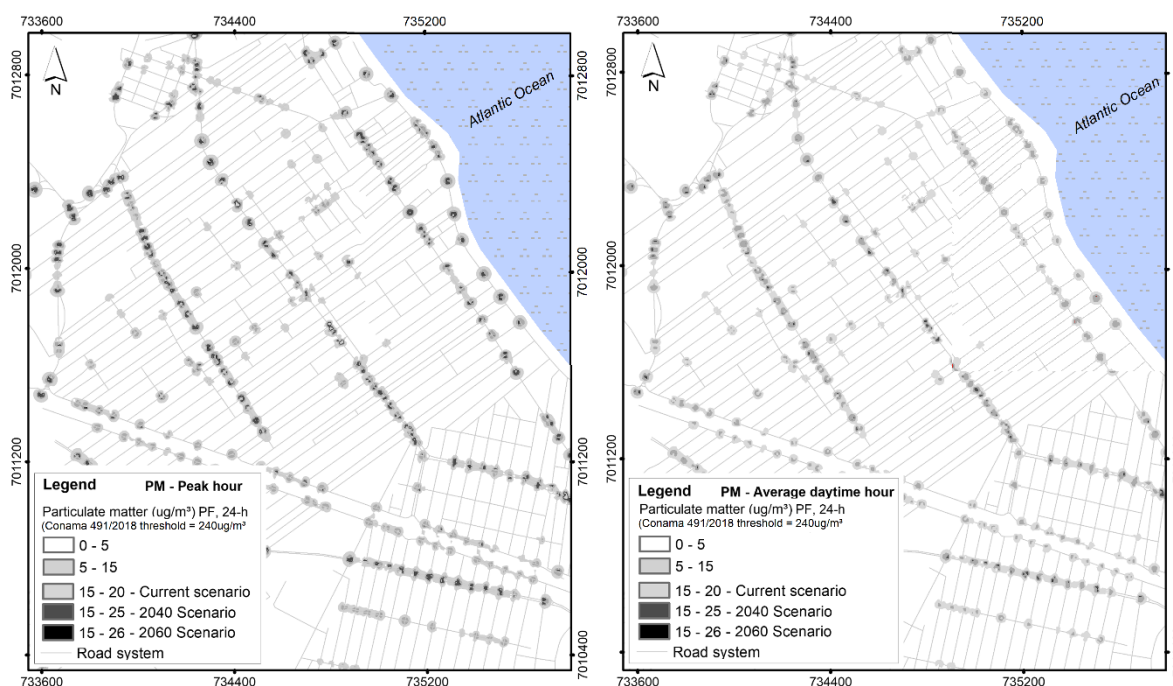
The upper limits of concentrations were not reached by the contaminant Particulate Matter in the conducted simulation, even when considering future scenarios. The highest value was about 26µg/m<sup>3</sup> (the considered upper limit was 240µg/m<sup>3</sup> - see Figure 11 for a mapping overview of the concentrations).



572  
573

**Figure 10.** Map of CO concentration for the average daytime and peak hour.

574



575

576 **Figure 11.** Map of Particulate Matter (PM) concentration for the average daytime and peak  
 577 hour.

578 Table 10 presents the areas affected by pollutants that exceed the primary quality  
 579 standards (i.e., SO<sub>2</sub> and NO<sub>2</sub>) and are liable to cause damage to the population's health. An area  
 580 of about 1.45 million m<sup>2</sup> was estimated to be affected by SO<sub>2</sub> during peak hours; for NO<sub>2</sub>, the  
 581 corresponding estimate is about 4.86 million m<sup>2</sup>, and for CO, 0.21 million m<sup>2</sup>. During average  
 582 daytime hours, an area of 0.71 million m<sup>2</sup> was estimated to be affected by SO<sub>2</sub>, whereas an area  
 583 of 4.03 million m<sup>2</sup> was estimated to be affected by NO<sub>2</sub>. The estimates for future scenarios  
 584 indicate a mixed growth trend for the affected areas, possibly due to the projected rate of  
 585 increase in traffic flows. Focusing on the SO<sub>2</sub> emissions during peak hours, the growth rate of  
 586 the affected areas is around 87.4% considering the 20-year scenario, whereas for the remaining  
 587 20 years of the 40-year scenario, the growth rate decreases to 3.4%. During the average daytime  
 588 hours, the affected area increases approximately 109.1% for SO<sub>2</sub> and 29.1% for NO<sub>2</sub>,  
 589 considering the 20-year scenario. For the remaining 20 years of the 40-year scenario, the  
 590 affected area increases by 5.2% for SO<sub>2</sub> and by 1.9% for NO<sub>2</sub>.

591 The identified affected areas are adjacent to the road network, with the emissions arising  
 592 from the latter having a direct impact on the adjacent households, in terms of possible health  
 593 burden. Considering a reference size of 300 m<sup>2</sup> per property (PMBC, 1974), it is estimated that  
 594 approximately 1.0k households are exposed to excessive emissions of SO<sub>2</sub>, approximately 4.6k  
 595 households are exposed to excessive emissions of NO<sub>2</sub>, and 72 households are exposed to  
 596 excessive emissions of CO, during peak hours. During the average daytime hours,  
 597 approximately 445 and 3.7k households are exposed to excessive emissions of SO<sub>2</sub> and NO<sub>2</sub>,  
 598 respectively (see also Table 10 for the exact values).



599 **Table 9.** Estimated area and number of households affected by pollutants exceeding legislative  
 600 thresholds.  
 601

Pollutant	Scenario: 2040	Peak hour			Average daytime hour		
		Affected area (m <sup>2</sup> )	% variation	Affected properties*	Affected area (m <sup>2</sup> )	% variation	Affected properties*
SO <sub>2</sub>	Current	302,090	-	1,007	133,556	-	445
	Scenario: 2040	566,202	87.4%	1,887	279,320	109.1%	931
	Scenario: 2060	585,449	3.4%	1,951	293,883	5.2%	980
NO <sub>2</sub>	Current	1405515	-	4.685	1,116,866	-	3,723
	Scenario: 2040	1714966	20.2%	5.717	1,441,874	29.1%	4,806
	Scenario: 2060	1743421	1.5%	5.811	1,469,070	1.9%	4,897
CO	Current	21,715	-	72	-	-	-
	Scenario: 2040	93,067	328.6%	310	-	-	-
	Scenario: 2060	102,844	10.5%	343	-	-	-

602 \* A typical area size of urban property is considered equal to 300m<sup>2</sup>.

### 603 5.3 Damage costs of the emissions

604 Table 11 provides the calculated damage costs per pollutant for the current and future  
 605 scenarios. The pollutants with the highest damage values are NO<sub>2</sub>, PM, SO<sub>2</sub>, and CO (the rank implies  
 606 a descending order). The total damage cost is approximately equal to US\$ 886k for the current  
 607 scenario. For the 20-year time horizon, the total cost is expected to increase to approximately US\$  
 608 1,381k per year, possibly due to the accelerated growth of vehicle fleet, whereas it does stabilize in  
 609 the long run (40-year horizon) to approximately US\$ 1,433k per year.

610 Despite their preliminary nature, such damage cost values highlight the need for a deeper  
 611 investigation of the methodological approaches focusing on their calculation and evaluation.  
 612 However, what it can be inferred from this preliminary analysis, is that these values reflect a high  
 613 social cost, which goes far beyond the purely economic value and more importantly, involves direct  
 614 implications on the quality of life and level of health of the urban population.

615 **Table 10.** Damage costs results (in US\$)

616

Scenario	Damage Cost (US\$) per year per pollutant				
	CO	NO <sub>2</sub>	PM	SO <sub>2</sub>	Total
Current	\$ 1,096.07	\$ 396,192.26	\$ 387,987.69	\$ 101,212.62	\$ 886,488.64
Future 2040	\$ 1,707.84	\$ 617,327.23	\$ 604,543.59	\$ 157,704.87	\$ 1,381,283.53
Future 2060	\$ 1,772.42	\$ 640,669.46	\$ 627,402.45	\$ 163,667.96	\$ 1,433,512.28

## 617 6 Summary and Conclusions

618 This study provides a comprehensive, yet preliminary approach towards the quantification  
 619 and evaluation of air pollution patterns from mobile, transportation-related sources. This integrated  
 620 approach may contribute to the municipal environmental management and the formulation of public  
 621 policies as well as support the decision making process of Public Authorities, especially from an  
 622 environmental and economic perspective. The potential of this approach to approximate the extent of  
 623 the population's exposure to possible environmental and health risks using very limited data  
 624 highlights its applicability in urban settings lacking systematic monitoring of the transportation-  
 625 related air pollution. The city of Balneário Camboriú, Brazil falls within this category, as such, the  
 626 evaluation of its air pollution dynamics formed the basis for the development of this integrated  
 627 approach.

628 With regard to the outcomes of this approach, the generation of dispersion maps of pollutant  
 629 concentrations allows the initial evaluation of strategies for the improvement of urban air quality.

630 Since urban traffic constitutes a significant determinant of the air pollution patterns, remedies for air  
 631 pollution reduction should also account for separate or interrelated sources of pollution within the  
 632 transportation network. To that end, spatial autocorrelations of air pollution were also identified,  
 633 where the clustering of various urban districts with similar air pollution patterns was found to be  
 634 interrelated with the presence of homogeneous traffic zones. Even though the spatial autocorrelation  
 635 analysis cannot thoroughly explain the underlying mechanism of the spatial dependence, it does  
 636 provide a preliminary identification of the sources that may induce spatial heterogeneity (such as,  
 637 land use activities, interactive effect of congestion and road hierarchy, diversity of activities across  
 638 homogeneous traffic zones). Furthermore, the spatial dependence patterns can shed more light on  
 639 possible “hotspots” of air pollution that need to be addressed by local policies.

640 It should be mentioned that the findings of this study have intrinsic limitations, which should  
 641 be carefully considered by traffic and environmental modellers when interpreting them. The need for  
 642 extrapolation of the traffic flows to the entire network, the use of mathematical models and reference  
 643 values that allow a satisfying, yet empirical approximation of the transportation-generated  
 644 externalities constitute some of these limitations. However, all these limitations stem from the very  
 645 limited availability of environmental and transportation data, which is commonly observed in the  
 646 developing countries of Latin America. In this context, this work should be viewed as a  
 647 methodological alternative for assessing the air pollution dynamics using aggregate data; the findings  
 648 of this assessment can potentially serve as input for appraisals of the health implications of  
 649 transportation-related activities.

650 Despite its potential, the evaluation of the aggregate patterns of air pollution cannot provide  
 651 practice-ready insights to stakeholders and public Agencies without an *a priori* quantification of the  
 652 interrelationship between various public health aspects and externalities of transportation. In Brazil,  
 653 this quantification can be expressed in terms of reference values of emissions at a state or country  
 654 level, with the specification of these values requiring deeper and more disaggregate analyses. Using  
 655 detailed datasets of real-time traffic flows and emissions, future endeavors can lead not only to the  
 656 validation or modification of the findings of the specific study but also to significant methodological  
 657 and empirical advances. The latter may include the application of more robust modeling approaches  
 658 (e.g., spatial econometric models), the provision of more accurate predictions and the identification  
 659 of effective countermeasures for areas susceptible to transportation-generated air pollution.

660

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