

1 **Prolonged activity of a recombinant manganese superoxide dismutase through a**  
2 **formulation of polymeric multi-layer nanoassemblies targeting cancer cells.**

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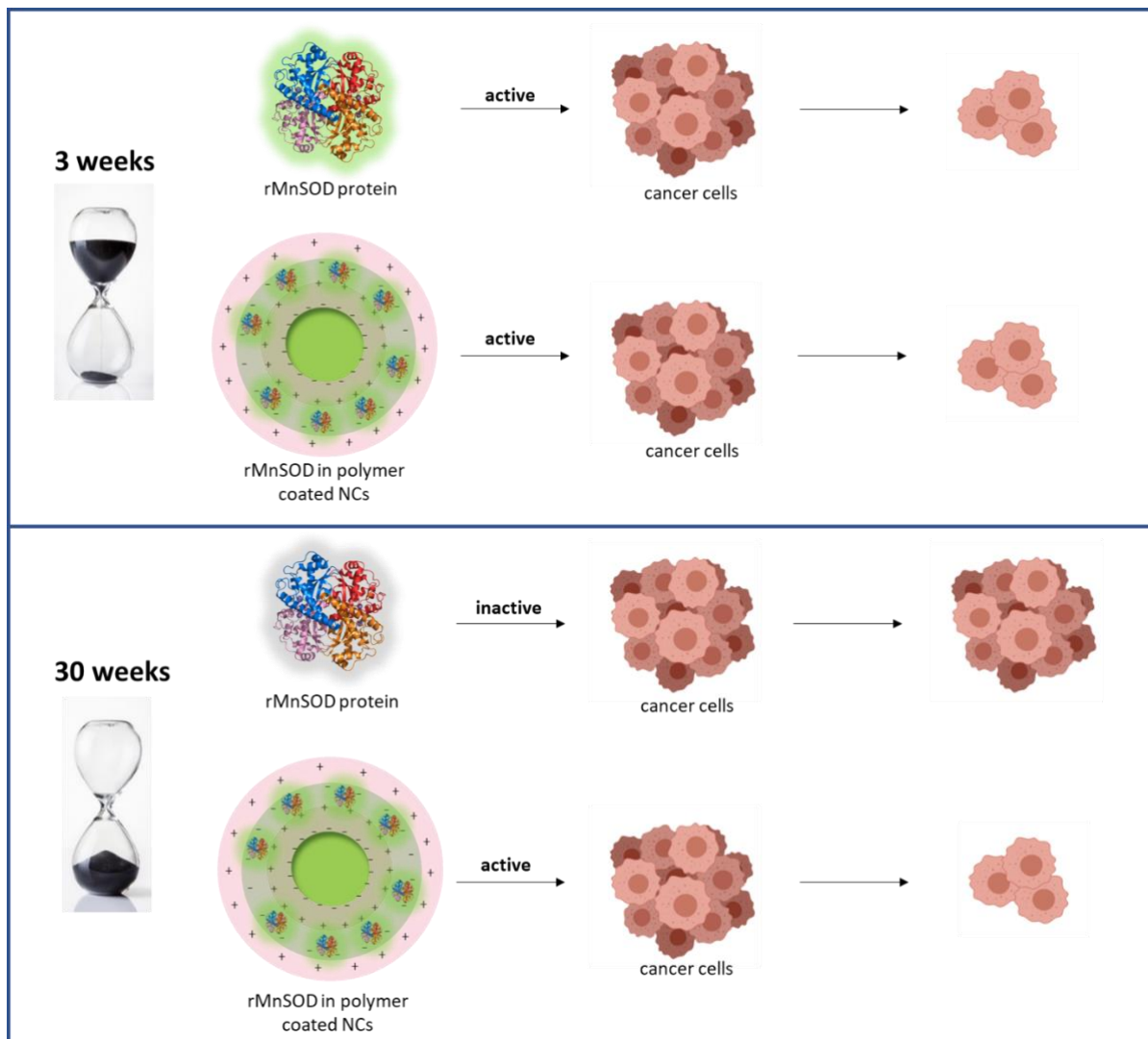
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50

51 Graphical abstract



53 **Abstract**

54 A new isoform of human manganese superoxide dismutase (SOD) has been recently isolated  
55 and obtained in a synthetic recombinant form and termed rMnSOD. As compared to other  
56 SODs, this isoform exhibits a dramatically improved cellular uptake and an intense antioxidant  
57 and antitumoral activity. Unfortunately, its use is severely hampered as this active  
58 pharmaceutical ingredient (API) in solution suffers from remarkable instability, which realizes  
59 as an interplay of unfolding and aggregation phenomena. This leads the API to be ineffective  
60 after three weeks only when stored at 4 °C.

61 A formulation strategy was undertaken to mitigate this instability. This was based on the  
62 incorporation of the API in hyaluronic acid and its layer-by-layer deposition over a chitosan-  
63 n-acetyl cysteine- monolayer nanoemulsion (NE) and its subsequent coverage with a further  
64 external interface of a chitosan-n-acetyl cysteine. The obtained constructs were tested over  
65 a selected panel of healthy and cancerous cell lines. The undertaken formulation strategy  
66 enhanced the API's effect and selectivity *in vitro* already at time zero, maintaining and  
67 extending the efficacy of this anticancer agent until up to 30 weeks when stored at 4°C.

68

69 *Keywords:* Superoxide dismutase; cancer cells; antiproliferative effect; protein stability;  
70 nanocarrier; layer-by-layer deposition; nanofabrication.

71

72 **1. Introduction**

73

74 Although over a hundred recombinant proteins are clinically used as therapeutic agents, their  
75 massive implementation is nowadays severely hampered by their remarkable physical and  
76 chemical instability, which affect the shelf-life, thus the efficacy, of most of these active  
77 pharmaceutical ingredients (APIs) (Krause and Sahin, 2019).

78 Superoxide dismutases (SODs)(Holley et al., 2011) are ubiquitous antioxidant metalloenzymes  
79 catalyzing the O<sub>2</sub><sup>-</sup> free radical dismutation of hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>). Their action prevents  
80 the accumulation of these reactive oxygen species (ROS), which detrimentally affect cellular

81 homeostasis leading in some cases to cancer development. At least three types of SODs are  
82 present in human tissues, including cytoplasmic Cu/Zn-SOD, extracellular Cu/Zn-SOD, and  
83 mitochondrial manganese (Mn) SOD (MnSOD). The isoform 2 of this manganese-dependent  
84 MnSOD-2 is characteristic of aerobic organisms and is composed of four homologous 24-kDa  
85 subunits (Holley et al., 2011). MnSOD-2 is synthesized in the cytoplasm and then driven into  
86 the mitochondrial matrix *via* its leader sequence, consisting of 24 amino acids. This peptide is  
87 subsequently cleaved, resulting in a mature and enzymatically active protein that plays a  
88 fundamental role within the cell. SODs appear to control multiple reactions, essential to the  
89 determination of cell fate, particularly for cancer cells (Folz et al., 1997; Wan et al., 1994).  
90 Unfortunately, none of the commercially available SODs can enter cells and, consequently,  
91 they are rapidly inactivated or eliminated from the blood stream.

92 A new isoform of human MnSOD was isolated and obtained in a synthetic recombinant form  
93 and termed rMnSOD (Borrelli et al., 2009; Mancini et al., 2008; Mancini et al., 2006). This  
94 isoform differs from the others because of its capability to enter cells by means of its  
95 uncleaved 24-aa leader peptide which acts as a molecular carrier and its intense antioxidant  
96 and antitumor activity. rMnSOD appears to be very effective at O<sub>2</sub><sup>-</sup> scavenging both intra-  
97 and extracellularly and at improving pathological conditions associated with increased  
98 oxidative stress. rMnSOD also provides protection to rat kidneys treated with cyclosporine A,  
99 allowing for the recovery of 80% of their glomerular filtrate (Damiano et al., 2013). Moreover,  
100 rMnSOD is radio protective for healthy cells (Grumetto et al., 2015) and radiosensitive for  
101 cancer cells and displays a specific and selective cytotoxic activity against tumour cells  
102 overexpressing the estrogenic receptor (ER) (Borrelli et al., 2009; Ceelen and Flessner, 2010;  
103 Greenlee et al., 2000; Momenimovahed et al., 2019; Ye et al., 2015; Zhidkov et al., 2013) like  
104 ovarian cancer that is currently one of the greatest clinical challenges in gynecologic oncology  
105 (Greenlee et al., 2000; Momenimovahed et al., 2019).

106 Unfortunately, rMnSO, as protein, is extremely unstable in water solution, especially when  
107 exposed to environmental light, temperature and humidity (Krause and Sahin, 2019). In this  
108 work we propose a strategy to enhance the API shelf life by creating polymer multilayer nano-  
109 assemblies aimed at preventing aggregation and unfolding mechanisms. We show two  
110 possible configurations of layer-by-layer nanocapsules (NCs) differing in their external layer,  
111 which is hyaluronic acid in one case (thereafter referred to as rMnSOD bilayer NCs) and

112 chitosan-n-acetylcysteine in another case (thereafter referred to as rMnSOD trilayer NCs). The  
113 latter design is meant for a superior residence time in the cancerous lesion thanks to its well-  
114 known mucoadhesive properties (Vecchione et al., 2014) laying the foreground to a sustained  
115 delivery *in situ*. The second configuration is meant for the active targeting towards cancer  
116 cells by a molecular recognition of the cluster of differentiation 44 (CD44) (Vecchione et al.,  
117 2016), a ubiquitously glycoprotein present on the surface of most mammalian cells and over-  
118 expressed in a variety of solid tumours.

119 We investigated the biological activity of the naked rMnSOD and colloidal suspension of the  
120 nano-encapsulated API on a selected panel of cell lines in standard storage conditions to  
121 evaluate their effect on cell viability at different times after their fabrication.

122

123

## 124 **2. Materials and Methods**

### 125 *2.1 Materials*

126 Both soybean oil (density at 20 °C of 0.922 g/mL) and surfactant Lipoid E80 (egg lecithin  
127 powder 80%–85% enriched with phosphatidyl choline (PC) and 7%–9.5% content in  
128 phosphatidyl ethanolamine (PE)) were purchased from Lipoid (Ludwigshafen, Germany) and  
129 used without further purification. Millipore Milli-Q water (resistivity of 18.2 MΩ.cm (at 25°C)  
130 and a TOC value below 5 ppb) was used for the preparation of all formulations. Glacial acetic  
131 acid, chitosan-n-acetylcysteine (CT-NAC) (LMW 190 kDa) and Hyaluronic acid sodium salt from  
132 bovine vitreous humor were purchased from Sigma Aldrich (Milan, Italy). A 1 mg/mL stock  
133 solution of rMnSOD in Milli Q water was generously donated by Prof. Aldo Mancini, Istituto  
134 Nazionale Tumori IRCCS Fondazione G. Pascale, Naples, Italy. This solution was kept at -20 °C  
135 long term storage, and at 4 °C for daily use.

136

### 137 *2.2 Formulation: design and development*

138 The preparation of primary emulsions that represented the starting point for sub sequential  
139 polymer network coating was accomplished as already described in (Vecchione et al., 2014)  
140 and further expanded in (Vecchione et al., 2016).

141 The progressive deposition of alternating layers of polyelectrolyte polymers was carefully  
142 checked by constant measurements of particle size and  $\zeta$ -potential of the diluted suspensions.  
143 Nanoemulsions featuring standard deviation greater than 5% in both parameters were  
144 discarded. The deposition took place as detailed elsewhere (Vecchione et al., 2016). The nano  
145 assemblies were fabricated by aid of two syringe pumps (HARVARD APPARATUS 11 PLUS,  
146 Holliston, Massachusetts, USA) and an ultrasonic bath (FALC INSTRUMENTS, Treviglio (BG),  
147 Italy). In particular, a secondary nanoemulsion, based on an oil concentration of 14 % (v:v),  
148 was mixed 1:1 (v:v) with and a 0.14 % CT-NAC solution in a 20 mM acetic acid solution. This  
149 was prepared by weighting 10.0 mg of CT-NAC, which was slowly dissolved in 20 mL of a 100  
150 mM acetic acid solution in Milli Q water under gentle magnetic stirring. The two liquid phases  
151 were injected simultaneously at the same flow rate (0.4 mL/min) through two micrometric  
152 capillaries interfaced at their extremities (force level 80%). Due to the high surface tension,  
153 each microvolumes released from the two capillaries coalesced to form one drop containing  
154 the very first instants of the deposition process. Each drop of the infused solution was then  
155 collected at the bottom of a glass tube, avoiding that any contact between sample and the  
156 glass wall took place. The glass tube was immersed in the ultra-sonic bath at room  
157 temperature, a frequency of 59 kHz and 100% power for the whole duration of the deposition  
158 process, *i.e.*, until all the volumes of both working solutions poured out from both syringes.  
159 Subsequently, the same syringe pump set up was used to stratify and incorporate the rMnSOD  
160 in a hyaluronic acid network *via* deposition of a 0.048% (w/w) hyaluronic acid and a 0.012%  
161 (w/w) rMnSOD aqueous solution, which were again mixed 1:1.

162 This mixture was then deposited on the monolayer assemblies previously fabricated and led  
163 to the fabrication of rMnSOD bilayer NCs. The final step implied the coating of the nano-  
164 assemblies with an external shell of CT-NAC by mixing equal volumes (500  $\mu$ L) of a 0.018 %  
165 (w:w) CT-NAC in 20 mM acetic acid and the bilayer NCs previously fabricated, thus achieving  
166 rMnSOD trilayer NCs.

167

168 *2.3 Characterization of the nano assemblies*

169 Size distribution and  $\zeta$ -potential of all the suspensions were measured by Zeta- sizer  
170 (NanoSeries, Malvern, Malvern, Worcestershire, United Kingdom) using the laser Dynamic  
171 Light Scattering (DLS) ( $\lambda = 632.8$  nm) and particle electrophoresis techniques, respectively.

172 All the suspensions were diluted to a droplet concentration of approximately 0.025 (wt%),  
173 using Milli-Q water in the case of primary nanoemulsions and nanocapsules terminating with  
174 hyaluronic acid, and acetic acid Milli-Q water solution (pH 4,  $6.5 \times 10^{-4}$  M) for nanocapsules  
175 terminating with CT-NAC. A default refractive index ratio (1.52) and five runs for each  
176 measurement (one run lasting 100 s) were used in the calculations of the particle size  
177 distribution.

178  $\zeta$ -potential measurements of macroparticles able to scatter optical light are standard and  
179 validated (Stetefeld et al., 2016). Prior to analysis, all the suspensions were diluted in the same  
180 conditions as for the size measurement. 50 runs for each measurement were set to carry out  
181 the  $\zeta$ -potential analysis.

182

#### 183 *2.4 Electron cryotomography (CryoET) characterization*

184 The morphology of the secondary nanomulsions and multilayer nanocapsules were observed  
185 by cryo-EM. Frozen hydrated samples were prepared by applying a 3  $\mu$ L aliquot to a previously  
186 glow-discharged 200 mesh holey carbon grids (Ted Pella, USA). Before plunging into nitrogen  
187 cooled liquid ethane, the grid was blotted for 1.5 s in a chamber at 4 °C and 90% humidity  
188 using a FEI Vitrobot Mark IV (FEI company, the Netherlands). The particles were imaged using  
189 a Tecnai G2 F20 transmission electron microscope (FEI company, the Netherland) equipped  
190 with a Schottky field emission gun operating at an acceleration voltage of 200 kV and recorded  
191 at low dose with a 2 k  $\times$  2 k Ultrascan (Gatan, USA) CCD camera.

192

#### 193 *2.5 Scanning Electron Microscopy (SEM)*

194 For SEM measurements a small amount of solution containing nanocapsules was dropped  
195 onto the surface of an aluminum stub covered by a glass plate. The sample was then sputter-  
196 coated with a thin Pt/Pd or gold layer (10 nm) in a Cressington sputter coater 208 HR. The  
197 aluminum stub containing the Pt/Pd or gold – coated sample was then placed in a FEG-SEM



198 scanning electron microscope and imaged using 20 kV accelerating voltage. For imaging  
199 analysis of cells, samples were first fixed with a sodium cacodylate 0.1 M in glutaraldehyde  
200 solution (2.5% wt) at room temperature for 2 h. The sample was then washed with a solution  
201 of 0.1 M sodium cacodylate – 0.1 M sucrose (3 times in an ice bath for 10 min). Samples were  
202 then fixed with OsO<sub>4</sub> (1% wt. in 0.1 M sodium cacodylate – 0.1 M sucrose) and washed again  
203 with a solution of 0.1 M sodium cacodylate – 0.1 M sucrose (3 times in an ice bath for 10 min).  
204 In the end samples were dehydrated by replacing the water solution with a series of ethanol  
205 solutions (30%, 50%, 75%, 95%, 95%, 100%, 100%, 100% (v/v)) and dried with a Critical Point  
206 Drier (Leica EM CPD300). As for NCs, the samples were then sputter-coated and imaged as  
207 previously described.

208

## 209 *2.6 Cell cultures*

210 Epithelial-like type human breast adenocarcinoma cells MCF-7 (Endocrine-Responsive, ER)  
211 and human HaCaT keratinocytes were grown in DMEM (Invitrogen, Paisley, UK)  
212 supplemented with 10% fetal bovine serum (FBS, Cambrex, Verviers, Belgium), L-glutamine  
213 (2 mM, Sigma Aldrich, Milan, Italy), penicillin (100 units/mL, Sigma Aldrich) and streptomycin  
214 (100 µg/mL, Sigma Aldrich). Human ovarian carcinoma cells A2780 were grown in RPMI 1640  
215 medium (ATCC modification) supplemented with 10% fetal bovine serum (FBS, Cambrex,  
216 Verviers, Belgium), L-glutamine (2 mM, Sigma Aldrich, Milan, Italy), penicillin (100 units/mL,  
217 Sigma Aldrich) and streptomycin (100 µg/mL, Sigma Aldrich, Milan, Italy). MCF 10A, a non-  
218 tumorigenic mammary gland epithelial cell line, was maintained in DMEM (Invitrogen,  
219 Carlsbad, CA) supplemented with 5% horse serum (Invitrogen, Carlsbad, California, USA), 500  
220 ng/mL hydrocortisone (Sigma-Aldrich, St. Louis, MO), 100 ng/mL cholera toxin (Sigma-Aldrich,  
221 Milan Italy), 10 µg/mL insulin (Invitrogen, Carlsbad, California, USA), 20 ng/mL epidermal  
222 growth factor (EGF, Sigma Aldrich, Milan, Italy), penicillin (100 units/mL, Sigma Aldrich, Milan,  
223 Italy) and streptomycin (100 µg/mL, Sigma Aldrich, Milan, Italy). All cell lines have been  
224 cultured in a humidified 5% carbon dioxide atmosphere at 37 °C. MCF-10A and A2780 cell  
225 lines were generously donated by Dr. Antonella Borelli, Istituto Nazionale Tumori IRCCS  
226 Fondazione G. Pascale, Naples, Italy.

227

## 228 2.7 Bioscreens *in vitro*

229 The cytotoxic effects of blank formulation, the rMnSOD either formulated in NCs or  
230 solubilized in aqueous solution were investigated through the estimation of a “cell survival  
231 index”, arising from the combination of cell viability evaluation with cell counting, as  
232 previously reported (Piccolo et al., 2019b). The cell survival index was calculated as the  
233 arithmetic mean between the percentage values derived from the MTT assay and the  
234 automated cell count. Cells were inoculated in 96-microwell culture plates at a density of  $10^4$   
235 cells/well and allowed growing for 24 h. The medium was then replaced with fresh medium  
236 and cells were treated for additional 48 h with blank formulation, the formulated and not  
237 formulated rMnSOD. Cell viability was evaluated using the MTT assay procedure as previously  
238 described (Piccolo et al., 2019a) Cell number was determined by TC20 automated cell counter  
239 (Bio-Rad, Milan, Italy), providing an accurate and reproducible total count of cells and a  
240 live/dead ratio in one step by a specific dye (trypan blue) exclusion assay. The calculation of  
241 the concentration required to inhibit the net increase in the cell number and viability by 50%  
242 ( $IC_{50}$ ) is based on plots of data ( $n = 6$  for each experiment) and repeated five times (total  $n =$   
243  $30$ ).  $IC_{50}$  values were calculated from a dose response curve by nonlinear regression using a  
244 curve fitting program, GraphPad Prism 7.0, and are expressed as mean values  $\pm$  SEM ( $n = 30$ )  
245 of five independent experiments.

246

## 247 2.8 Statistical Analysis

248 All data were presented as mean values  $\pm$  SEM. The statistical analysis was performed using  
249 Graph-Pad Prism (Graph-Pad software Inc., San Diego, CA) and ANOVA test for multiple  
250 comparisons was performed followed by Bonferroni’s test.

251

252

## 253 3. Results and Discussion

### 254 3.1 Characterization and effects of naked rMnSOD

255 As previously stated, while the wild-type SOD constitutively expressed in the mitochondrial  
256 matrix cannot permeate cells, the rMnSOD is effectively up taken by means of its 24 AA leader

257 peptide (Borrelli et al., 2016). A high degree of sequence homology between these two forms  
258 has been observed, leading to an overlap of their enzymatic activities. However, sequence  
259 heterology is instead responsible not only of rMnSOD cell's internalization, but also of a  
260 superior liability of this protein to undergo chemical and physical instability, negatively  
261 affecting pre-formulative expedients to improve its activity. In fact, circular dichroism  
262 experiments (Mancini et al., 2008) indicate that the unfolding temperature for rMnSOD is 59  
263 °C, which stands about 30 °C below that of the wild-type SOD. At this temperature, the protein  
264 undergoes permanent unfolding, which precedes irreversible aggregation. The decreased  
265 thermal stability of rMnSOD with respect to the wild-type protein suggests that the subunit  
266 fold is heavily destabilized because of both the insertion of the leader peptide at the N-  
267 terminus and the sequence of the binding sites accommodating metal ions. Nevertheless,  
268 both these elements are essential for a possible implementation of rMnSOD as therapeutic  
269 agent due to its demonstrated antiproliferative effect on cancerous cells expressing  
270 estrogenic receptor (ER) (Borrelli et al., 2016). Before setting any endpoints, we studied the  
271 activity of unformulated rMnSOD, stored at 4°C, over a 10-weeks period. A 0.985 µM rMnSOD  
272 aqueous solution was tested on a selected panel of healthy and cancerous cell lines at fixed  
273 time ranges under the experimental conditions described in 2.2 and the results are reported  
274 in Figure 1.

275 At  $t_0$  the rMnSOD exerts an antiproliferative effect on cancerous cell lines, *i.e.* A2780 and  
276 MCF-7, being generally less cytotoxic on healthy cell line, *i.e.*, HaCaT. The antiproliferative  
277 effect of rMnSOD on MCF10A cells was previously evaluated, showing no significant cytotoxic  
278 effect (Mancini et al., 2006). However, rMnSOD effectiveness in inhibiting cell proliferation  
279 decreases after three weeks on both A2780 and MCF-7 cells. The loss of effectiveness remains  
280 almost consistent and stable in a 3-10-weeks range. These results support a limited  
281 therapeutic use of this API, due to its poor shelf-life. Therefore, our first endpoint was the  
282 increase of this API shelf-life by preventing any protein instability, thus preserving the  
283 biological activity.

284 Since the layer-by-layer fabrication process, is based on the progressive coating of  
285 nanoemulsions which is mainly driven by electrostatic forces, the first step to undertake was  
286 the  $\zeta$ - potential measurement of the API. In fact, to achieve nanoassemblies of suitable  
287 dimensions and with a narrow size distribution, the polymeric concentric layers should expose

288 opposite superficial electric charges to allow homogeneous coverage of the NC. We decided  
289 to use a 0.985  $\mu\text{M}$  rMnSOD for *in vitro* assay, which is very close to the concentration used by  
290 Mancini and co-workers, *i.e.*, 1.5  $\mu\text{M}$  (Mancini et al., 2008). To plan a suitable fabrication  
291 strategy, a 0.985  $\mu\text{M}$  rMnSOD solution was tested by DLS and its  $\zeta$ - potential was found to be  
292 positive (+18.23 mV  $\pm$  1.01). Therefore, we decided to incorporate the protein in a hyaluronic  
293 acid polymeric network, which features negative  $\zeta$ - potential because of its free carboxyl  
294 groups, which are predominantly dissociated at the physiological pH. The size and  $\zeta$ - potential  
295 of the NCs were checked throughout the fabrication process and no significant variation was  
296 recorded. The average sizes, polydispersity index (PDI) and  $\zeta$ -potential are listed in Table 1.  
297 The DLS data indicate that the trilayer NCs were fabricated with dimensions that are only  
298 slightly above 100 nm, featuring a positive superficial charge and with reasonable PDI. This is  
299 relevant as Gonda and co-workers (Gonda et al., 2019) estimated the ideal range of NCs for  
300 cancer applications to be within a range of  $\sim$ 10–200 nm. As shown in Figure 2 the progressive  
301 coating of the NCs and the inclusion of the protein in the vehicles do not lead to any  
302 appreciable modification in their size and morphology. A scheme regarding the fabrication  
303 and morphology of NCs is reported in Figure 3.

304

### 305 **3.2 Characterization of the formulation**

306 Besides DLS analysis, which is an indirect technique for particle size assessment, as it is based  
307 on how particles interplay with a scattered light, the final NCs were morphologically  
308 characterized by both cryo-TEM and SEM. TEM provides valuable assets on the inner structure  
309 of the sample, such as crystal structure, morphology, and stress state information, while SEM  
310 offers insights on the sample's surface and its composition. Moreover, it is important to point  
311 out that TEM requires observation of samples cooled to cryogenic temperatures and  
312 embedded in an environment of vitreous water, whereas DLS allows observation of sample  
313 in the solvated state, in which solvent molecules are associated with the particles. Solvent  
314 molecules interact with the particle *via* a variety of non-covalent interactions, *e.g.*, hydrogen  
315 bonding, van der Waals interactions, pi-pi stacking. The solvation properties depend upon the  
316 solvent chemistry and it is quite common that the particles suspended in different solvents  
317 or mixtures thereof exhibit slightly different sizes as recorded by DLS.

318 As already stated, trilayer NCs had the following composition: lecithin nano emulsion 0.25%  
319 (w:w), chitosan-n-acetylcysteine 0.0025% (w:w), a mixture of hyaluronic acid 0.006% (w:w)  
320 and rMnSOD 0.0015% (w:w) and an external interface featuring chitosan-n-acetylcysteine  
321 0.009% (w:w). Bilayer NCs featured the same composition except for the external interface,  
322 which was not applied.

323 The SEM images show that the final NCs containing the protein have spheroidal morphology  
324 and do not present any noticeable irregularity on their surfaces (Figure 4). Their size seems  
325 to be on average of around 100 nm, as recorded by DLS measurements (Figure 3). The  
326 comparison with empty trilayer NCs supports that the inclusion of rMnSOD does not result in  
327 any evident modification of their morphological structure. This suggests a rather  
328 homogeneous packing of the protein into the polymer multilayer nanoassembly.

329 The cryo-EM images showed electron-dense circular spots clearly attributable to our NCs  
330 (Figure 5). These experiments strongly confirmed the spheroidal morphology and revealed a  
331 continuous and homogenous build-up of the particles. Their size equalled or fell behind 100  
332 nm and this was consistent in all the sampling sections observed. Furthermore, the sampling  
333 sections looked clean and no impurities or free-floating polymer were evident. This is a further  
334 indication of the suitability and overall quality of the nanofabrication process.

335

### 336 **3.3 Cellular response to rMnSOD formulations *in vitro***

337 The prepared rMnSOD NCs were then tested for their biological activity on a selected panel  
338 of healthy and cancerous cells. We selected the cancerous cell lines MCF-7 (epithelial-like type  
339 human breast adenocarcinoma cells), previously used to characterize the *in vitro* activity of  
340 rMnSOD (Mancini et al., 2008) and A2780 (human ovarian cancer cells) as *in vitro* models of  
341 endocrine responsive cells. Moreover, MCF-10A (human breast epithelial cells) and HaCaT  
342 (human keratinocytes) cell lines were used as healthy control cells. The cell viability assay was  
343 performed for all tested formulations (empty monolayer, bilayer, trilayer NCs, rMnSOD in  
344 aqueous solution and encapsulated rMnSOD in bilayer and trilayer NCs), all stored under the  
345 same conditions, *i.e.*, 4°C. The obtained results are reported as “Cell survival index” in Figure  
346 6. The cell viability data for A2780 cell line clearly indicated that freshly empty prepared NCs  
347 are safer to use, leading to a low cellular toxicity (12%). After 24 and 30 weeks, a toxicity, no

348 more than 20%, was observed for the empty NCs. This circumstance may be due to the long-  
349 term storage which might have triggered rancidity, oxidation, and peroxide formation, reason  
350 why antioxidants are routinely added to oils used in pharmaceutical preparations. Regarding  
351 the rMnSOD, its therapeutic effect is completely abolished after only 3 weeks (Figure 1), and  
352 even more after 24 and 30 weeks (Figure 6). Nevertheless, concerning the unformulated and  
353 formulated rMnSOD, the cytotoxic activity at  $t_0$ , resulted enhanced in the bilayer and further  
354 promoted in the trilayer NC formulation. However, comparing the effects of rMnSOD in  
355 aqueous solution on cellular viability to those of the protein in bilayer and trilayer NCs, the  
356 difference becomes striking. Indeed, after 24 and 30 weeks, the formulated protein reduced  
357 the cell viability more than the unformulated API, which clearly lost its activity. Both rMnSOD  
358 bilayer and trilayer NCs did not lose their efficacy over time, but rather increased it, even if  
359 marginally. Between rMnSOD-loaded bilayer and trilayer NCs, the latter exhibit a higher  
360 antitumoral activity against the A2780 cell line, most likely due to the supplementary external  
361 CT-NAC shell that further protects the protein. These results indicate that when the API is  
362 embedded in concentric layer of biocompatible polymers, its antitumoral activity *in vitro* is stronger  
363 at  $t_0$  and is preserved after at least 30 weeks.

364 In MCF-7 human breast cancer cell line, the empty bilayer NCs are less safe than the empty  
365 trilayer NCs. This is in agreement with a number of scientific reports, suggesting that carriers  
366 exposing anionic interfaces are more likely to induce cytotoxicity (Goodman et al., 2004),  
367 although this is still a matter of debate. Nevertheless, cytotoxicity assay indicates that empty  
368 trilayer NCs do not impair cell viability to a relevant extent. When the antitumoral activity of  
369 the naked rMnSOD is compared to that of the protein formulated in bilayer and trilayer NCs,  
370 conclusions analogous to those ones inferred above can be drawn. Indeed, the biocompatible  
371 constructs can preserve the antitumoral activity of the protein up to 30 weeks. Although, the  
372 rMnSOD bilayer and trilayer NCs seem to reduce the cell viability to a similar extent, trilayer  
373 NCs should be preferred due to a less cytotoxicity of the vehicle. The rMnSOD antitumor  
374 activity in the trilayer NCs should be considered  $\approx 10\%$  stronger. This can be justified by a  
375 further shielding that an additional layer could offer.

376 To evaluate the effects of the formulations on non-cancerous cell lines, the cell viability of  
377 non-tumorigenic breast epithelial cell line MCF-10A and in human HaCaT keratinocytes after  
378 treatments was assessed, as reporting in Figure 7. Indeed, the antiproliferative effect of

379 rMnSOD on MCF10A cells was previously evaluated, showing no significant cytotoxic effect.  
380 The release of the enzyme lactate dehydrogenase from MCF-10A cells exposed to a 1.5  $\mu$ M  
381 unformulated rMnSOD was only of 10% and growth inhibition was less to 2% after 24 hours,  
382 suggesting a very negligible effects on cell viability (Mancini et al., 2006). Analogously to that  
383 observed in MCF-7 cells, the bilayer NCs were less safe than the empty trilayer NCs.  
384 Nevertheless, the cell survival index never fell below 80% in either cases. Consistently, when  
385 the API was included in bilayer NCs, a decrease in cell viability by approximatively 30% was  
386 observed. However, the rMnSOD-loaded trilayer NCs exerted a lower *in vitro* effect than the  
387 bilayer NCs.

388 Moreover, when the activity of rMnSOD-loaded trilayer NCs is compared on both breast cells,  
389 *i.e.*, MCF-7 and MCF-10A, the cell viability resulted reduced at least to 50% in cancerous cells  
390 whereas this was decreased only to 20% in healthy cells. This is consistent with the previous  
391 studies performed on the pharmacological activity of rMnSOD (Borrelli et al., 2011; Borrelli et  
392 al., 2009) that selectively targets cancerous cells expressing the estrogenic receptor and  
393 containing low amount of catalase enzyme.

394 The formulations were also tested on normal human keratinocytes, HaCaT cell line (Figure 7).  
395 Surprisingly, the unformulated rMnSOD caused a slight reduction of cell viability especially at  
396  $t_0$ . This was unexpected as the HaCaT cells were selected as a control non-cancerous cell line.  
397 However, Frank *et al.* previously reported a cytostatic/antiproliferative effect of Copper/Zinc  
398 SOD in human HaCaT keratinocytes (Frank et al., 2000). However, when the API is included in  
399 the trilayer NCs, its activity seems weaker, at least when the formulation is freshly prepared.  
400 This effect disappeared after 24 and 30 weeks.

401

#### 402 **4. Concluding remarks**

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404 rMnSOD can be an extremely powerful and selective API designed for antitumoral treatment,  
405 targeting specifically endocrine responsive cancer cells, such as ovarian and breast cancer  
406 cells. However, its use is severely hampered by the remarkable protein instability, due to an  
407 interplay of unfolding and aggregation phenomena, which leads the API to be ineffective after

408 three weeks when stored at 4°C. The incorporation of the protein in concentric alternate  
409 layers of chitosan-N-acetylcysteine and hyaluronic acid enhanced the API's effect and  
410 selectivity *in vitro* already at time zero, maintaining and extending the efficacy of this  
411 anticancer agent even up to 30 weeks when stored at 4°C. The formulation strategy was  
412 implemented considering a possible future exploitation of these constructs in animal testing.  
413 Due to this, NCs exposing hyaluronic acid interfaces were designed because of the active  
414 targeting of CD44, largely expressed by solid tumours. At the same time, chitosan-N-  
415 acetylcysteine interfaces provide mucoadhesivity, possibly increasing the residence time of  
416 these constructs within the cancerous lesions. The improved activity of rMnSOD loaded  
417 NCs could be due the protective action of the vehicle, which probably delay rMnSOD protein  
418 denaturation. The results of our investigation broaden the commercial exploitation and  
419 clinical applicability of these rMnSOD loaded NCs *in vivo*.

420

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425 multidisciplinare per un approccio tecnologico innovativo alle oncoterapie-Campania  
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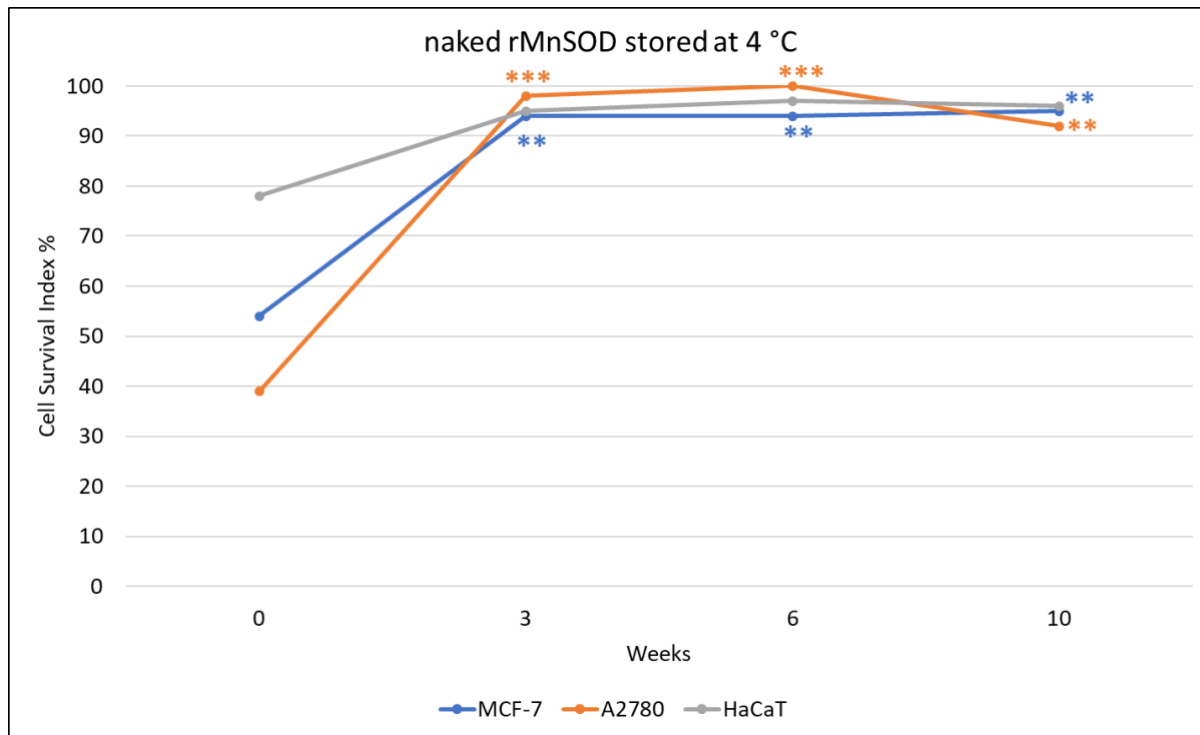
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459 **Figures**

460 **Figure 1.**

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462

463 **Figure 1.** Effects of unformulated rMnSOD (0.985  $\mu$ M aqueous solution) on MFC-7 (blue),  
464 A2780 (orange) and HaCaT (grey) cell viability. Values are expressed as a percentage of  
465 untreated control cells and are reported as the mean of five independent experiments  $\pm$  SEM  
466 (n = 30). \*\*\* p < 0.001 vs. cells treated at  $t_0$ ; \*\* p < 0.01 vs cells treated at  $t_0$ .

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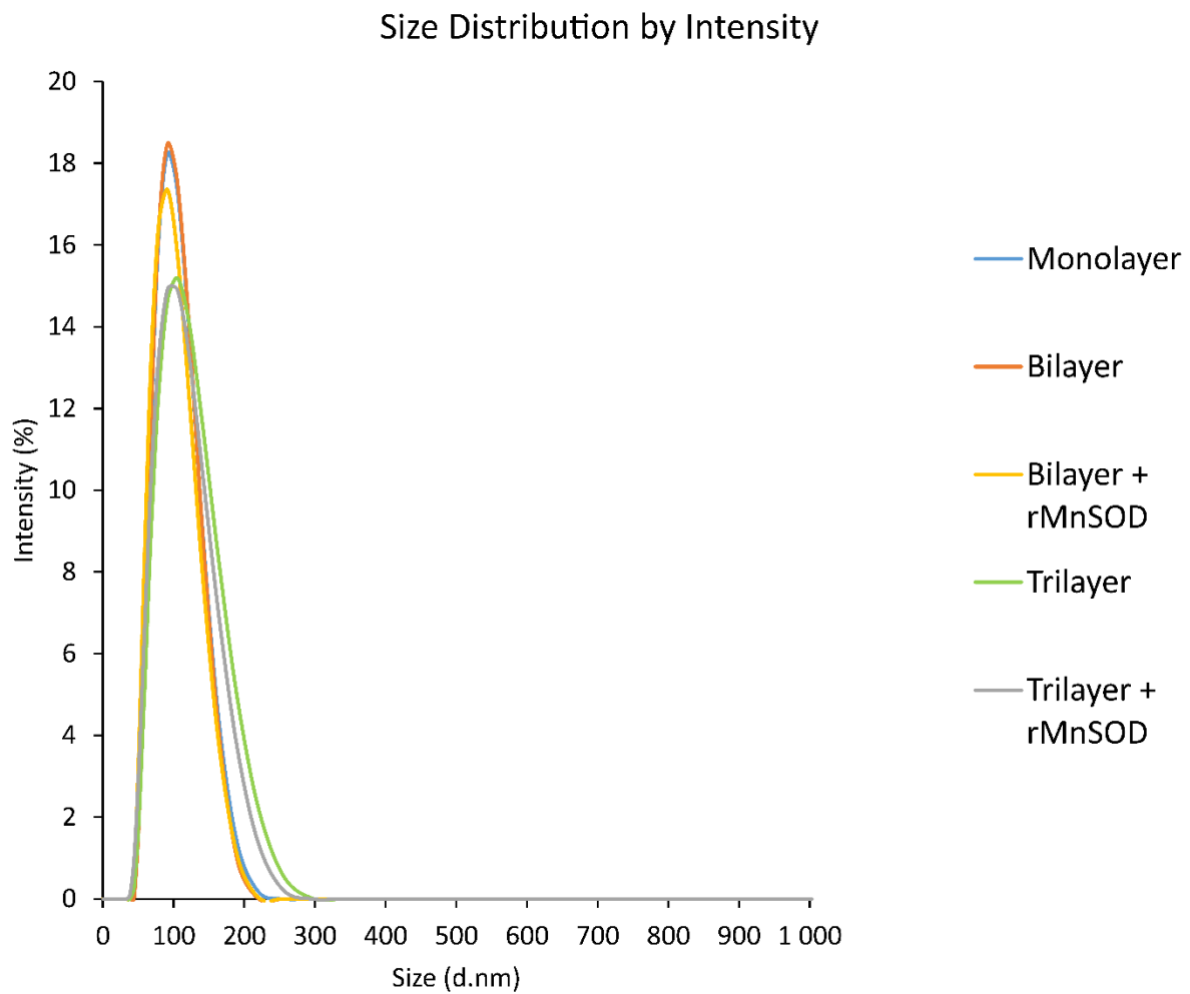
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475 **Figure 2.**

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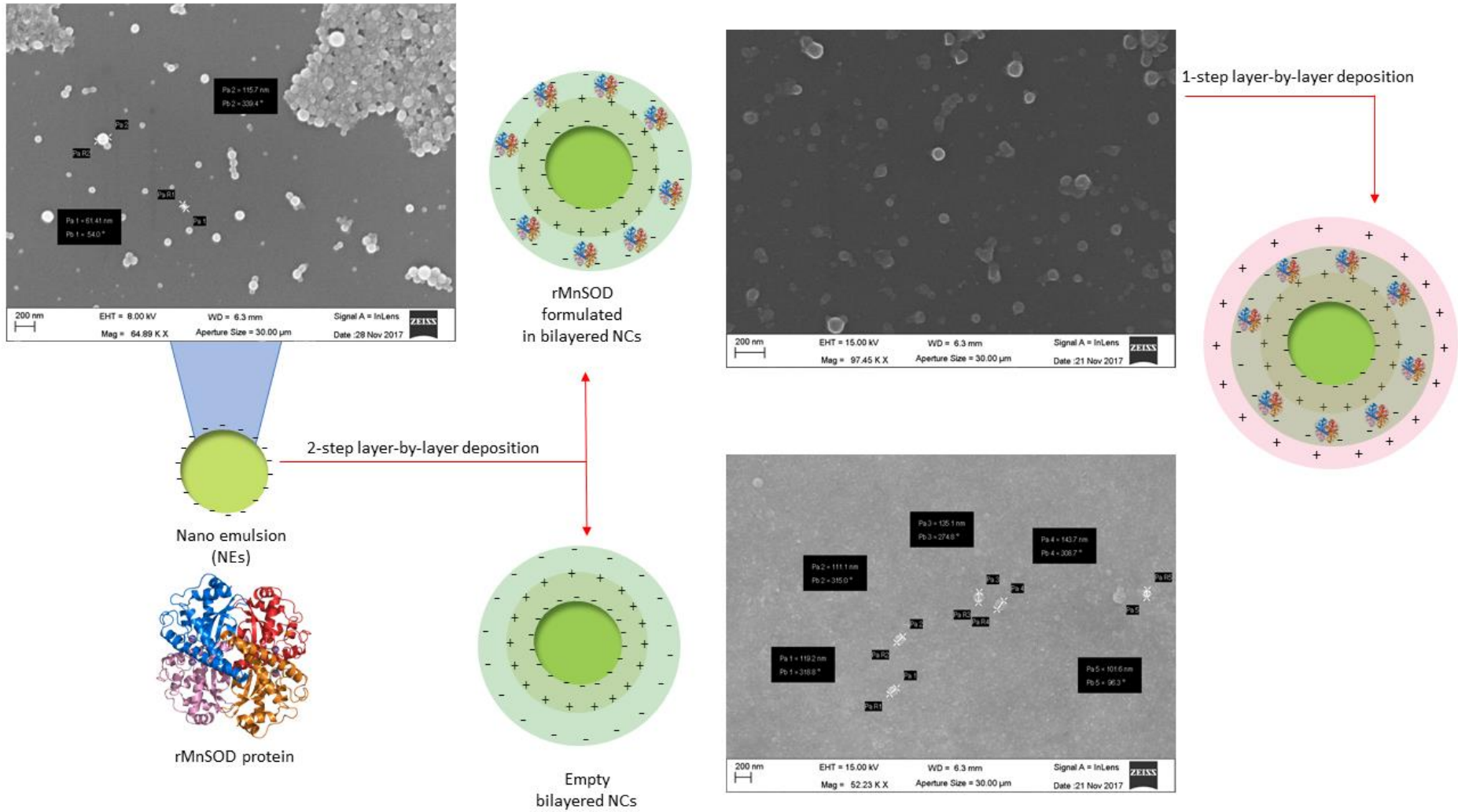
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481 **Figure 2.** Size distribution (nm in diameter) by intensity measured by Dynamic Light Scattering  
482 of monolayer (blue), bilayer (orange), trilayer (green), bilayer + rMnSOD (yellow) and trilayer  
483 + rMnSOD (grey).

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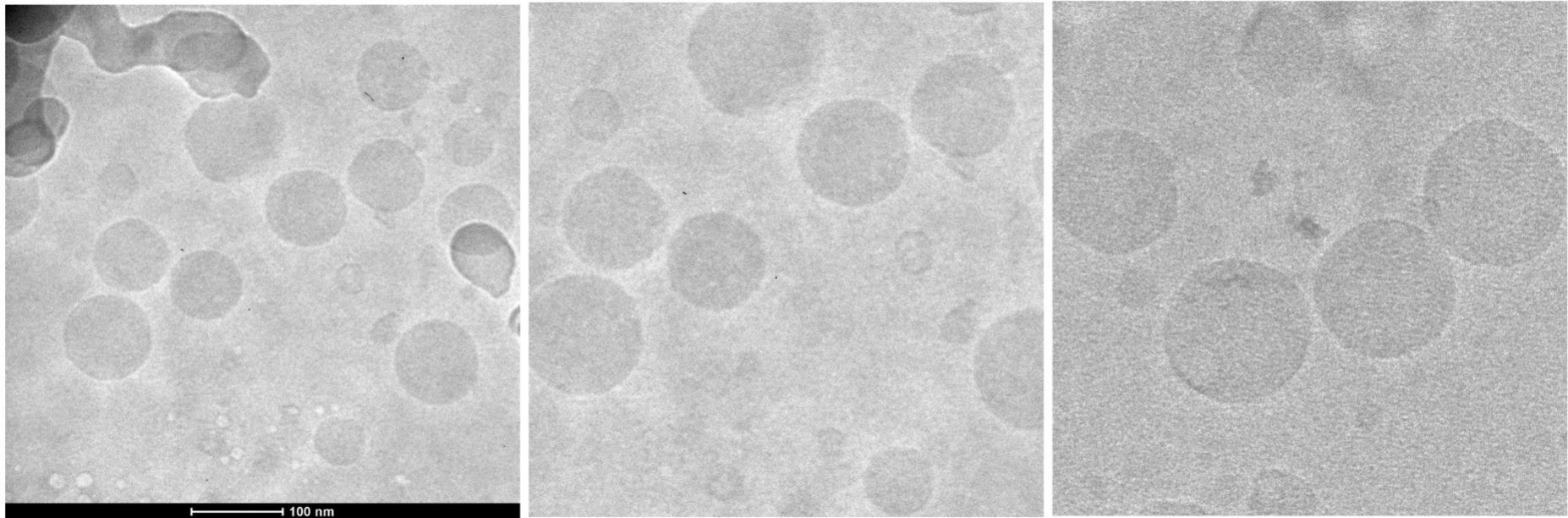
486 **Figure 3.**



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488 **Figure 3.** Exemplative scheme describing the fabrication and morphology of nanoemulsion droplets, empty bilayer and rMnSOD bilayer NCs.

489 **Figure 4.**

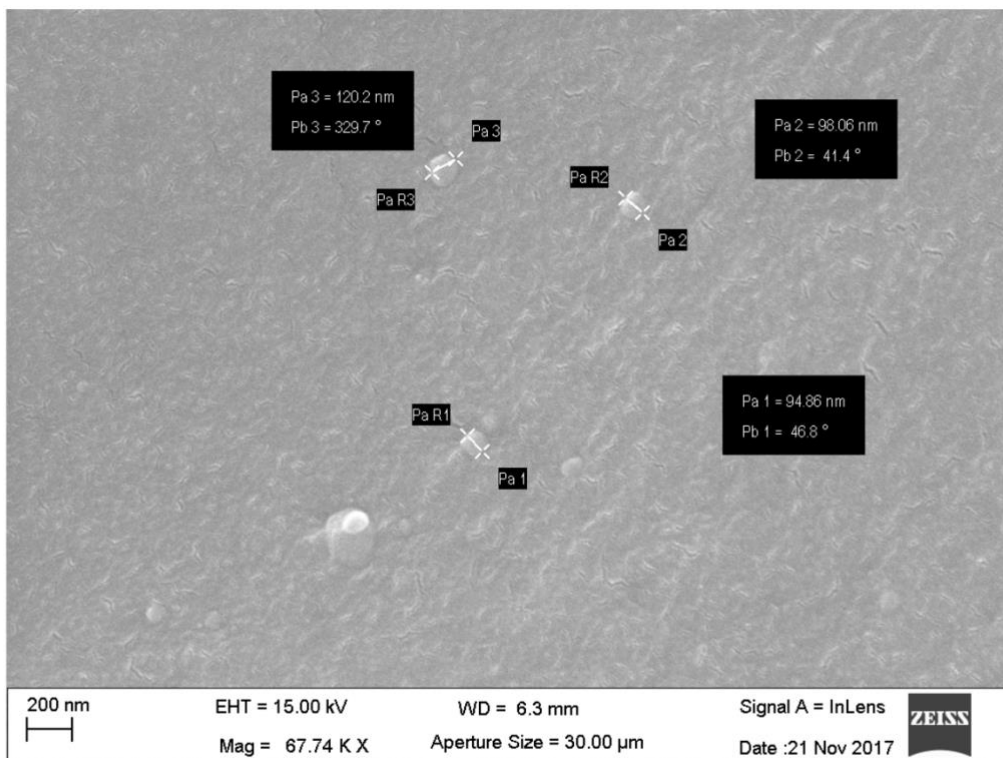
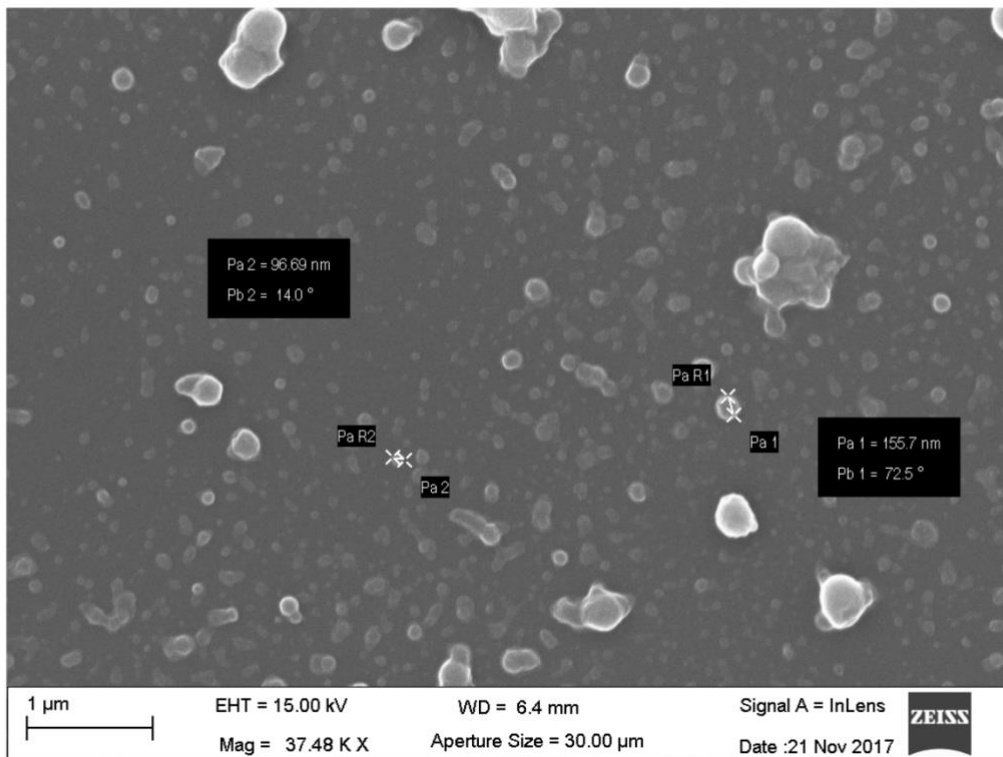


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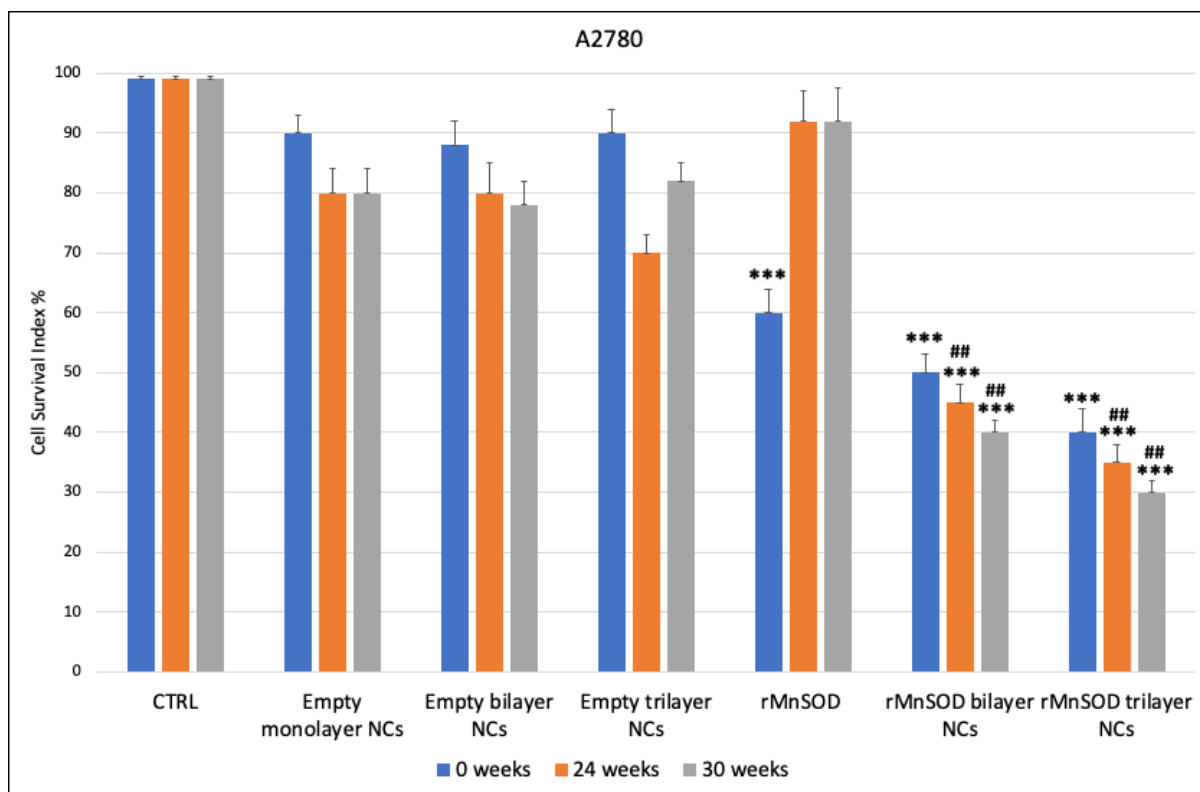
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492 **Figure 4.** Cryo-EM images of rMnSOD trilayer NCs. The composition of these NCs is covered in 2.1.1.3, the experimental details for the  
493 imaging is described in 2.1.2.4.

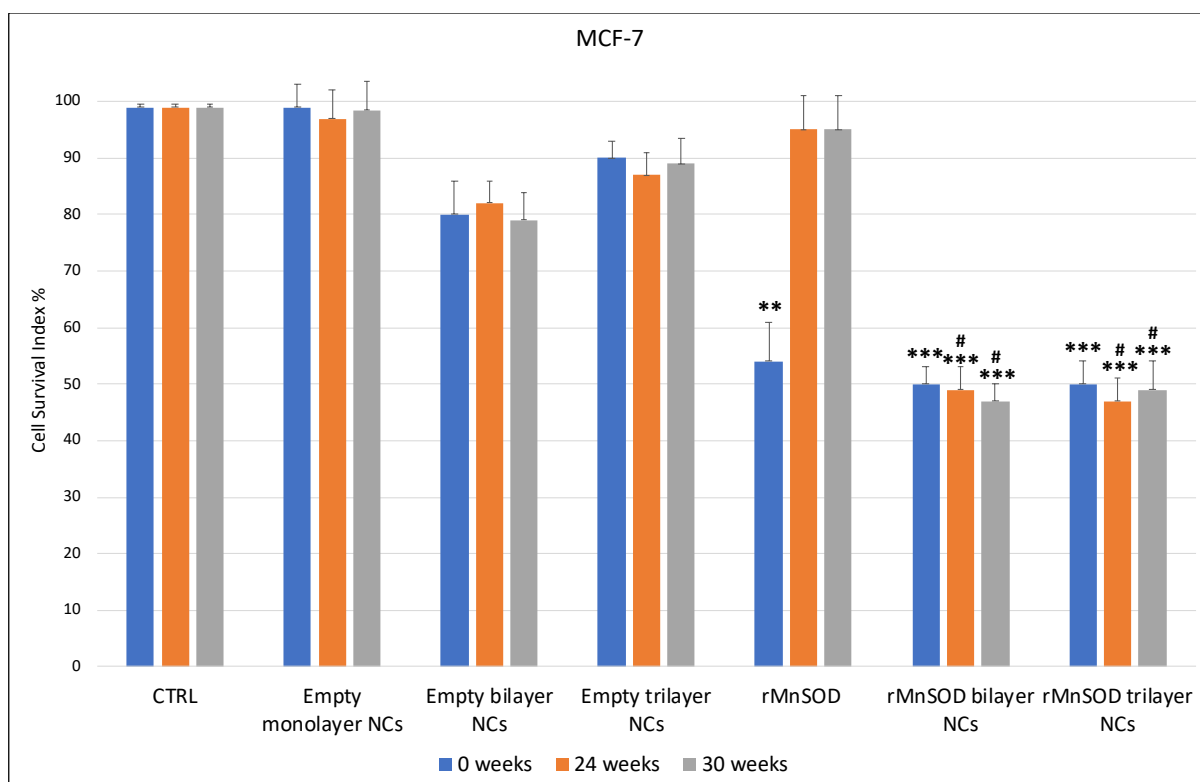
494 **Figure 5.**



496 **Figure 5.** SEM images of rMnSOD trilayer assemblies. The composition of these NCs is covered  
497 in 2.1.1.3, the experimental details for the imaging is described in 2.1.2.5.



499



500

501 **Figure 6.** Bioscreen for cellular responses to rMnSOD formulations *in vitro*. Cell survival index,  
 502 evaluated by the MTT assay and live/dead cell ratio, for cancerous cell lines, A2780 and MCF-  
 503 7, following treatment with empty monolayer, empty bilayer, empty trilayer NCs, 0.985  $\mu$ M



504 rMnSOD in aqueous solution and 0.985  $\mu$ M rMnSOD incorporated in bilayer and trilayer NCs  
505 at time 0 (blue), after 24 weeks (orange) and 30 weeks (grey). Values are expressed as a  
506 percentage of untreated control cells and are reported as the mean of five independent  
507 experiments  $\pm$  SEM (n = 30). \*\*\* p < 0.001 vs. control; \*\* p < 0.01 vs. control; # p < 0.05 vs  
508 rMnSOD; ## p < 0.01 vs rMnSOD.

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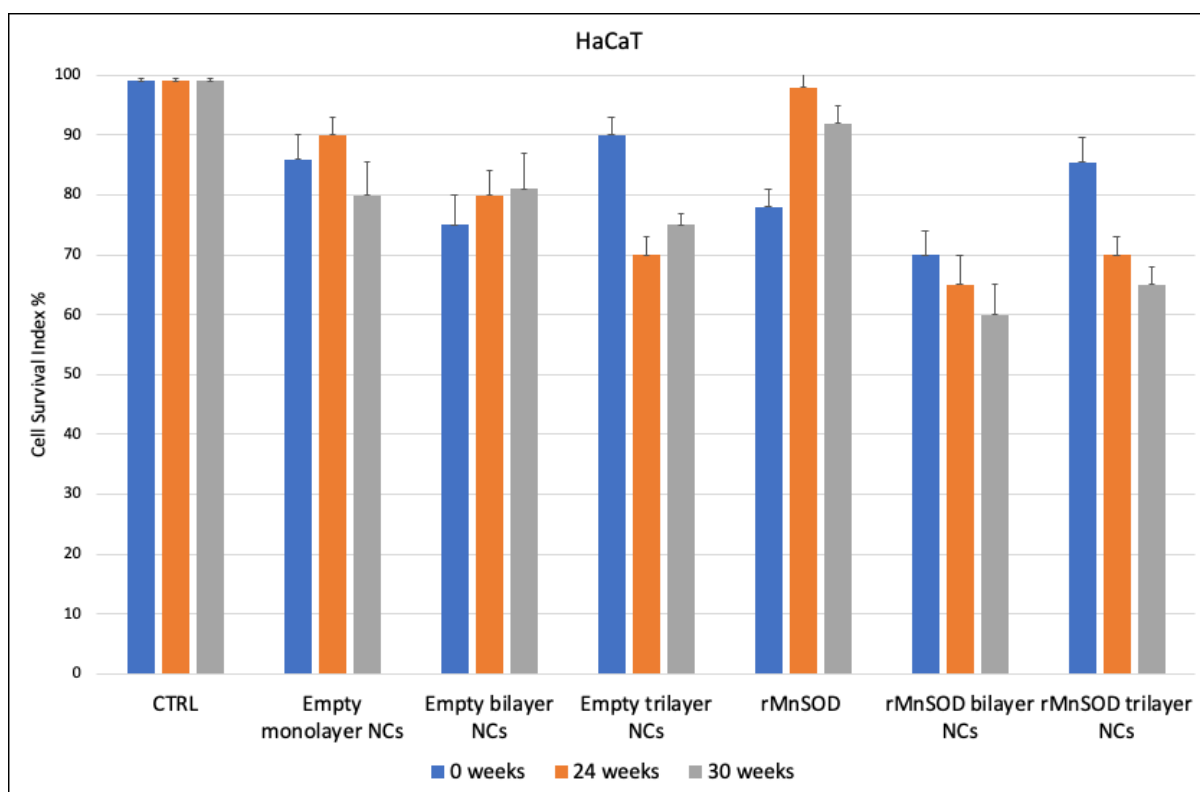
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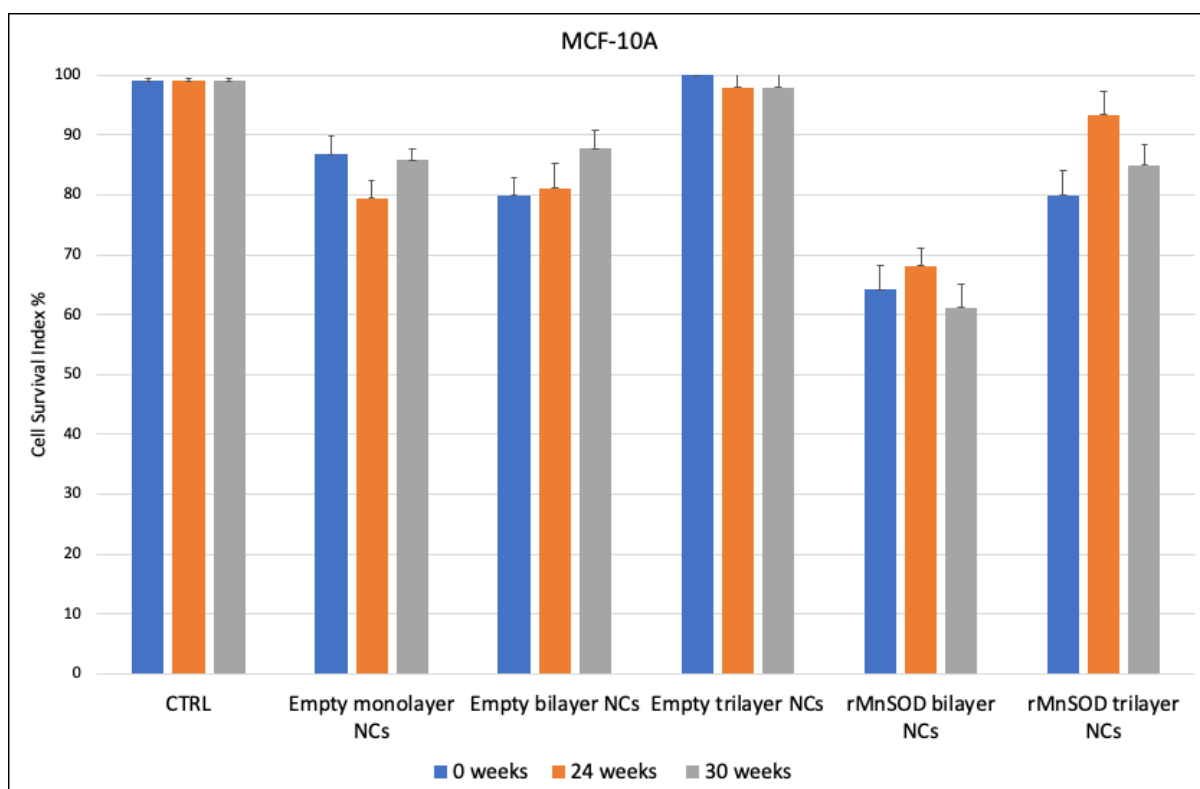
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529 **Figure 7.**



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531

532 **Figure 7.** Bioscreen for cellular responses to rMnSOD formulations in vitro. Cell survival index  
533 for non-cancerous cell lines HaCaT and MCF-10A, following treatment with empty monolayer,  
534 empty bilayer, empty trilayer NCs, 0.985  $\mu$ M rMnSOD in aqueous solution and 0.985  $\mu$ M

535 rMnSOD incorporated in bilayer and trilayer NCs at time 0 (blue), after 24 weeks (orange) and  
536 30 weeks (grey). Values are expressed as a percentage of untreated control cells and are  
537 reported as the mean of five independent experiments  $\pm$  SEM (n = 30). Data were not  
538 statistically significant.

**Table 1.**

Sample	Size (nm)	$\zeta$ - potential (mV)	PDI
Monolayer	104.0	+15.04 $\pm$ 3.1	0.127
Bilayer	106.1	-28.80 $\pm$ 4.6	0.163
Trilayer	105.3	+18.61 $\pm$ 3.7	0.133

**Table 1.** Average of Size (nm), zeta-potential (mV) and PDI for the main products of layer-by-layer deposition.

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