

1 **Effectiveness of an integrated responsive web application for cardiovascular disease**
2 **management in primary care: 1 year multicenter, open-label randomized controlled trial**

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39 **RESEARCH IN CONTEXT**

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41 **Evidence before this study:** Consumer digital health applications are proliferating worldwide
42 yet there remains little scientific evidence of effectiveness. At the same time, cardiovascular
43 disease is increasing and use of digital health strategies in primary care offer a potential
44 opportunity to reduce the disease burden. Some digital health research has explored outcomes
45 related to individual risk factors, stand-alone apps and, text messaging systems, but none have
46 reported a large-scale randomized controlled trial in primary care where the digital health
47 intervention is electronically integrated between the consumer and electronic health record.

48

49 **Added value of this study:** This is a large and robust study (n=934), with 1-year follow-up,
50 where the effectiveness of an integrated digital health intervention is assessed and the potential
51 value of the interactive system for consumers is detailed.

52

53 **Implications of all the available evidence:** The integrated and consumer-focused digital health
54 intervention has the potential to be effective in increasing physical activity levels and ehealth
55 literacy and may also lead to small improvements in other cardiovascular risk factors. To
56 enhance effectiveness of complex and multifaceted interventions, it is likely that implementation
57 requires a systematic approach that targets the health system, provider and, patient.

58

59 **ABSTRACT**

60 **Background:** Although consumer digital health applications (apps) have the potential to
61 improve health behaviors and outcomes most are not integrated with existing health information
62 systems. We aimed to examine the effectiveness of a consumer web-based app linked to primary
63 care electronic health records (EHRs).
64

65 **Methods:** Multicenter, open-label, randomized controlled trial involving patients with or at risk
66 of cardiovascular disease (CVD) recruited from Australian primary care. Intervention
67 participants received an interactive app which was pre-populated and refreshed with EHR risk
68 factor data, diagnoses and, medications. Interactive risk calculators, motivational messages and
69 lifestyle goal tracking were also included. Control group received usual health care. Primary
70 outcome was adherence to guideline-recommended medications ($\geq 80\%$ of days covered for
71 blood pressure (BP) and statin medications). Secondary outcomes included attainment of risk
72 factor targets and eHealth literacy. The trial was registered at the Australian New Zealand
73 Clinical Trials Registry (ACTRN12613000715774).
74

75 **Findings:** Total of 934 patient were recruited (intervention, n=486 and control, n=448); mean
76 age 67.6 (± 8.1) years, 76.7% male. At 12 months, the proportion with $>80\%$ days covered with
77 recommended medicines was low overall and there was no difference between intervention and
78 control groups (32.8% vs 29.9%; relative risk [RR] 1.07 [95% CI, 0.88-1.20] p=0.49). There was
79 borderline improvement in the proportion meeting BP and LDL targets in intervention vs control
80 (17.1% vs 12.1% RR 1.40 [95% CI, 0.97–2.03] p=0.07). The intervention was associated with
81 increased attainment of physical activity targets (87.0% intervention vs 79.7% control, p=0.02)
82 and e-health literacy scores (72.6% intervention vs 64.0% control, p=0.02).
83

84 **Interpretation:** A consumer app integrated with primary health care EHRs was not effective in
85 increasing medication adherence. Borderline improvements in risk factors and modest behavior
86 changes were observed. To enhance effectiveness of such interventions, it is likely multifaceted
87 strategies targeting health system, provider and, patient are needed.
88

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90

91 **BACKGROUND**

92 Cardiovascular disease (CVD) is responsible for most of the global burden of non-communicable
93 diseases (NCD) accounting for over 17 million deaths globally in 2016.¹ Internationally,
94 guidelines place adherence to prevention medication and, healthy lifestyle behaviors at the core
95 of CVD risk management, primary and secondary prevention recommendations.^{2,3} However, use
96 of evidence-based medications and lifestyle change are typically suboptimal⁴ and with an aging
97 population the health burden is escalating. Thus, implementation of primary and secondary
98 prevention strategies (such as healthy living, adherence to medicines) are an international
99 priority requiring development and testing of innovative and scalable strategies that are
100 evidence-based and better support patients.⁵

101
102 Major advances in internet and mobile technology over the past decade provide potential
103 solutions to reduce the burden of CVD and broaden the reach of health care. Worldwide, more
104 than five billion people own mobile phones⁶ and opportunities to deliver healthcare digitally are
105 expanding exponentially with strategies such as internet portals, data-driven precision medicine
106 and smartphone applications (apps).⁷ Although scientific evidence of their effectiveness is
107 growing, research lags behind the rapid emergence and adoption of technology innovations
108 targeting health-related behaviors. Benefits of interactive internet portals have been
109 demonstrated in managing chronic conditions.⁸ Our randomized controlled trial (RCT) found a
110 physician-focused decision support tool to be effective in increasing CVD risk assessment when
111 embedded within the primary care clinical record system.⁹ In particular, personalized risk score
112 information that is explained on a visually interesting interface, can make the impact of
113 improving biometric risk factor values (for example, blood pressure), or behaviours (for
114 example, smoking cessation), more compelling.⁹ Hypothesized as a useful springboard to more
115 engagement by patients with CVD risk factor control, the concept was adapted to a consumer-
116 facing resource in the current trial. Other trials have demonstrated the benefits of apps for
117 improving medication adherence¹⁰ and text messages for cardiovascular risk reduction.¹¹
118 However, to the best of our knowledge these interventions are almost all stand-alone where data
119 is entered into the system manually and they are not integrated with the patient's electronic
120 health record.

121
122 Despite the potential for access to one's electronic health record (EHR) to increase and improve
123 consumer engagement with disease prevention actions, relatively little is known about the
124 effectiveness of such interventions for risk factor control. Personal EHRs now form a core
125 component of many national health reform strategies¹² but often stand-alone from consumer-
126 controlled devices or applications. In the Australian primary care setting, EHRs offer software
127 systems that assist clinicians with drug prescribing, referrals, coordination of care, clinical
128 coding, billing, quality improvement activities and, reporting.¹³ According to a recent American
129 survey, over two-thirds of adults over 55 years of age own a smartphone and over 85% use the
130 internet with the numbers are increasing annually.¹⁴ As such, use of EHRs to auto-populate
131 consumer-focused digital health interventions has promise, but robust evidence is not available
132 about effectiveness in reducing CVD risk. Therefore, the aim of this study was to evaluate the
133 effect of a consumer-focused digital health intervention, integrated with each participant's
134 primary care EHR, on guideline-recommended medication adherence, cardiovascular risk factor
135 control and, lifestyle behaviors at one year in people at moderate to high risk of CVD.

136
137

138 **METHODS**

139 **Study design and participants**

140 The Consumer Navigation of Electronic Cardiovascular Tools (CONNECT) study was a
141 parallel-design, single-blind randomized clinical trial enrolling 934 patients with, or at high risk
142 of, CVD presenting at 23 Australian primary care practices and one Aboriginal Community
143 Controlled Health Service (ACCHS) with an average follow-up of 12 months (Figure 1). The
144 protocol is detailed elsewhere.¹⁵ Participants in both intervention and control groups received
145 usual health care, but those in the intervention arm were given access to a web application that
146 was integrated with their primary health care EHR. Participants provided written informed
147 consent. Ethical approval was obtained from the University of Sydney Human Research Ethics
148 Committee (2013/716) and the New South Wales Aboriginal Health and Medical Research
149 Council (959/13).

150
151 Consenting adult patients (>18 years) with access to the internet at least once a month via mobile
152 phone, tablet or computer, and at moderate to high risk of a CVD event were eligible to
153 participate. Participants had to have presented to a participating primary care practice or health
154 service twice in the last two years and once in the last six months. Moderate to high
155 cardiovascular risk was defined as having (i) a five year CVD risk $\geq 10\%$ using the Framingham
156 risk equation;¹⁶ (ii) a clinically high risk condition based on Australian guidelines
157 (Aboriginal/Torres Strait Islander and age >75 years, diabetes and age >60 years, diabetes and
158 albuminuria, eGFR <45ml/min, systolic blood pressure (BP) ≥ 180 mmHg, diastolic BP \geq
159 110mmHg, total cholesterol > 7.5mmol/L) *or* an established CVD diagnosis (ischemic heart
160 disease, stroke/transient ischemic attack, peripheral vascular disease).¹⁶ Potential participants
161 with a severe intellectual disability, or insufficient English to provide written, informed consent
162 were excluded.

163 164 **Recruitment**

165 Primary health care services in Sydney, New South Wales, Australia were recruited. Of these, 23
166 were general practices and one was an ACCHS. Software to enable integration of the EHR with
167 the consumer portal was installed at each participating site. A reimbursement of AUD\$50 per
168 participant recruited was made to participating practices to support administrative time of
169 practice staff. All software license costs and technical support were provided free of charge to
170 the study sites for the duration of the trial. Royal Australian College of General Practitioners
171 Quality Improvement and Continuing Professional Development points were also offered to
172 participating General Practitioners (GPs) to support their professional development requirements
173 in terms of contributing to research and quality improvement.

174
175 Recruitment took place between November 2014 and May 2017 (follow-up until July 2018).
176 Potential participants who met attendance and clinical eligibility criteria were initially identified
177 by study personnel using a data extraction tool routinely used in Australian primary health care
178 software systems. Once identified, the list of potential participants was reviewed by the attending
179 GP to identify unsuitable patients. All others were then mailed a study invitation letter from their
180 GP and received a follow-up telephone call from study personnel. During the phone call,
181 eligibility including internet access were confirmed. If the person was interested in participating,
182 an in-person appointment at the practice or health service was arranged during which written
183 informed consent was obtained prior to baseline assessment and randomization. Consent was
184 separately obtained for linkage with federal administrative data from the Australian Medicare

185 Benefits Scheme (MBS), to determine health service utilization and, the Pharmaceutical Benefits
186 Scheme (PBS), which contains the dispensing data required to ascertain proportion of days
187 covered with guideline recommended medications.
188

189 **Randomization and masking**

190 Participants were randomized to either have access to the CONNECT web application in
191 addition to their usual health care (intervention) or receive their usual health care without access
192 to the web-application (control). In both groups, any advice and/or other interventions provided
193 by the GP/health service continued at their discretion. Randomization was conducted
194 independently using a central computer-based randomization service with a 1:1 ratio. A
195 permuted block sequence was used with stratification by practice, baseline CVD risk status and,
196 Aboriginal/Torres Strait Islander status. The random allocation sequence was concealed from
197 study personnel, and took place after collection of baseline data. Study personnel taking baseline
198 and follow-up measurements were blinded to group allocation and participants were asked not to
199 discuss whether they were receiving the intervention or not during their follow up visit.
200

201 **Intervention**

202 The CONNECT digital health intervention was a consumer-focused, responsive web application
203 with integration of data from the primary health care EHR. It was accessible on any internet-
204 enabled device (smartphone, tablet, laptop or personal computer) and was developed using a
205 persuasive and user-centered design process.¹⁷ Prior to participant recruitment, software was
206 installed at each participating primary care service to enable upload of selected personal health
207 data into the patients' secure portal (Extensia Pty Ltd, Brisbane, Australia). Uploaded data
208 included medical diagnoses, prescribed medications, physical measurements (weight, waist
209 circumference and, blood pressure), cholesterol record and hemoglobin A1c (HbA1c) for
210 diabetic patients. The consumer application has multiple components (Figure 2) to encourage
211 participants to: (i) use every-day familiar devices to increase understanding of the relationship to
212 CVD prevention of lifestyle-related behavior, medication adherence and, regular discussion of
213 these topics with their GP; and (ii) use one or more of self-monitoring, goal setting and, digital
214 messaging functions to facilitate better adherence to these actions. Registered participants had
215 access to numerous features that facilitated knowledge, support and, goal-setting in relation to
216 their personal cardiovascular risk including:
217

- 218 • An auto-populated list of their current medical conditions and prescribed medications
219 with links to more detailed information to enhance knowledge.
- 220 • A personalized CVD risk score where patients could see the relationship of their risk
221 factors to the score estimation, then use interactive functionality to visually see the
222 impact of managing their risk factors on their absolute risk (Figure 2).
- 223 • Interactive tools and resources to assist with care navigation; alongside data imported
224 from their EHR where patients could log additional physical measurements taken at home
225 and track their progress with, for example, blood pressure control or weight reduction if
226 relevant. Calendar links also enabled the patient to record due dates for test updates, for
227 example cholesterol measurement.
- 228 • Interactive goal-setting based on healthier eating, physical activity, smoking cessation
229 and emotional well-being as well as goal achievement tracking with virtual rewards to
230 facilitate and motivate lifestyle changes.

- An interactive social media component with which participants could read and/or write comments, ask questions or share stories that was moderated by trained clinical staff.
- Optional receipt of personalized CVD prevention tips and motivational messages related to diet, medications and lifestyle via email and/or short message service (SMS) that were developed using a published process¹⁸ and have previously been found to be effective¹¹ and useful for patients¹⁹ in improving cardiovascular risk.

Study personnel supported intervention arm participants over 12 months using standard protocols to ensure uniformity of support activities and included health professionals with nursing, dietetics and pharmacy training. Participants were trained in use of the application either in person or by telephone and provided with a printed reference guide if needed. Thereafter, they were contacted by telephone and/or email at scheduled intervals: week 2, week 6, week 12 and week 26. During these routine support calls, staff answered questions, repeated aspects of the initial training if requested, explained clinical content if needed, and addressed navigation, function or other software-related issues. All communications were logged by time requirement and content, and software trouble-shooting was referred to a technical help desk. Participants could contact research staff by telephone or email whenever they needed additional support. To ensure blinding of outcome assessments, different personnel supported the intervention participants to those who conducted the baseline and 12-month assessments.

Data collection procedures

Primary data were collected at face-to-face assessments at baseline and face-to-face or telephone assessments at end of study (12 months) by research assistants who were blinded to group allocation. A Standard Operating Procedure was followed by all research assistants to optimize uniformity and completeness of data collection and to ensure standardization of physical measurements and data entry. Data were entered into a case report form and a purpose-built, secure online database. The software installed at each practice or health service to facilitate integration of the EHR with the consumer portal also enabled relevant clinical data to be extracted during the study period. In addition, PBS and MBS data were obtained from the Australian Government Department of Human Services to assess prescription medications dispensed. Site monitoring visits were performed periodically to ensure quality documentation, correct software function, and adherence to various milestones for study personnel contact in the follow up period for intervention arm participants.

Outcomes

The primary outcome was the proportion of days covered with guideline recommended medications at 12 months. This was defined based on the proportion of maximum medication dispensed from the patient's pharmacy using national PBS administrative dispensing data. All medications of interest for this study are processed via this system regardless of the pharmacy visited. The primary outcome was defined as met if at end of study $\geq 80\%$ of maximum medication had been dispensed in the previous 12 months for at least one BP-lowering medication AND a statin medication. For people with or at high risk of CVD, Australian guidelines recommend prescription of at least one BP lowering medication and a statin unless contraindicated.¹⁶ People with established cardiovascular disease are additionally recommended an anti-thrombotic agent (most commonly aspirin) however, because aspirin is usually available over the counter and is not reliably captured in the national pharmaceutical benefits scheme dataset we did not include it in the primary outcome.

279 Secondary and tertiary outcomes at 12 months included:

280

- 281 1. The proportion of participants whose BP AND fasting low density lipoprotein (LDL)
- 282 cholesterol were meeting Australian guideline targets (defined as: $\leq 130/80$ mmHg for
- 283 CVD, Diabetes or albuminuria or $\leq 140/90$ mmHg for all others, AND LDL-cholesterol $<$
- 284 2.0 mmol/L).¹⁶
- 285 2. Proportion meeting individual targets for BP and LDL cholesterol
- 286 3. Mean difference in SBP and LDL levels
- 287 4. Proportion of days covered with BP lowering medication and statin medication separately
- 288 5. Smoking - point abstinence (verified by carbon monoxide meter where CO >8 ppm
- 289 represents recent tobacco smoking)²⁰
- 290 6. Obesity – proportion with a body mass index >30 kg/m²
- 291 7. Self-reported physical activity based on World Health Organization (WHO) Global
- 292 Physical Activity Questionnaire²¹
- 293 8. Health-related Quality of life – EQ5D (version 5L with Australian standardized
- 294 weights)²²
- 295 9. Fruit and vegetable intake, fish, salt and saturated fat intake – self reported portions
- 296 consumed in 7 days prior and compared with published guidelines recommendations²³
- 297 10. Health Literacy (Health Literacy Questionnaire, HLQ)²⁴
- 298 11. e-health literacy (eHealth literacy score, eHEALS) with a threshold score of 26 set as an
- 299 estimate of high or low eHealth literacy where higher scores represent better eHealth
- 300 literacy ²⁵
- 301 12. All-cause mortality (medical records); cardiovascular and renal events, new onset
- 302 diabetes (self-report verified by the primary care record) and; hospital admissions (self-
- 303 report verified by primary care record).

304

305 In our original study protocol the primary outcome was BP and LDL target attainment

306 (secondary outcome number 1 listed above), however due to our inability to reach the original

307 recruitment target of 2000 participants, the study steering committee and ethics committee

308 approved changing this to a secondary outcome and making medication adherence our primary

309 outcome. This was implemented before end of study data collection commenced.

310

311 **Statistical Analyses**

312 Using the pre-randomization baseline rates, we assumed the proportion of people with $>80\%$

313 coverage with guideline-recommended medications was 28%. A total sample size of 1000

314 participants, allowing for a 20% loss to follow-up would have 90% power to detect an absolute

315 improvement of at least 10% using two-sided tests, with p values of less than 0.05 judged as

316 significant. For the original primary outcome of BP and LDL target attainment, this sample size

317 provided 80% power to detect a 7% absolute improvement, assuming a baseline control rate of

318 11%. All statistical analyses were conducted blinded to group allocation.

319

320 A pre-specified statistical analysis plan that was finalized prior to database lock was followed

321 (Supplement). The analysis was done by an independent statistician using SAS (version 9.3).

322 Primary analyses were unadjusted, following an intention-to-treat principle and conducted blind

323 to treatment allocation. Multivariate analyses were performed to adjust for any significant

324 differences between each study arm. Pre-specified sub-group analyses were conducted to

325 compare outcomes based on gender, age, baseline, eHealth literacy score and CVD status

326 (established CVD compared v high CVD risk). Mean risk factor levels were compared between

327 groups in terms of relative risks (RR), 95% confidence intervals (CIs) and two-sided p values.
328 Characteristics were compared between groups using independent t tests for continuous or X^2
329 tests for categorical variables. Mann-Whitney U tests were used where data were not normally
330 distributed.

331

332 **Role of the funding source**

333 The funder of the study played no role in study design, data collection, data analysis, data
334 interpretation, or writing of the report. JR and DP had full access to all the data in the study and
335 had final responsibility for the decision to submit for publication.

336

337 **Data Availability**

338 The data that support the findings of this study are available from the corresponding author upon
339 reasonable request.

340

341 **RESULTS**

342 In total, 7457 potentially eligible patients were identified using the primary care EHR and 3905
343 were excluded by their GP. We approached 3552 patients, 2618 did not meet eligibility criteria
344 or declined participation and 934 were enrolled and randomized (Figure 1). At 12-month follow-
345 up 13 participants had withdrawn from the study and 30 did not consent to data linkage to access
346 pharmacy dispensing data (Figure 1). At baseline, the groups were well matched for
347 demographics, cardiovascular risk factors and medication prescriptions and the mean age of
348 participants was 67.6 (± 8.1) years, 77% were male and 41% had existing CVD (Table 1). One
349 third of participants had existing coronary heart disease (33.3%), peripheral arterial disease
350 (3.6%), chronic kidney disease (3.0%), atrial fibrillation (10.8%), heart failure (1.1%) and a
351 previous stroke (9.3%).

352

353 Overall, 93% (451/486) of intervention group participants commenced use of the intervention.
354 Thereafter, participants were classified as non-adopters (no logins after the training session -
355 13%, 58/451), low-users (at least one login any across any three months of the follow-up period -
356 47%, 211/451) or high-users (at least one login in any four months of the follow-up period - 40%
357 182/451). Adherence to guideline recommended medications did not differ significantly between
358 levels of intervention use ($p=0.44$). At 12 months, the intervention group had a non-significant
359 higher proportion of participants achieving the primary outcome of $\geq 80\%$ medication days covered
360 than in the control group (32.8% v 29.9%; RR 1.07 [95% CI 0.88-1.20]) (Figure 3). The relative
361 risk was broadly unchanged when adjusted in multivariate analyses for age, sex and diabetes
362 status. There were no significant differences between the control and intervention groups on the
363 primary outcome for any of our pre-specified sub-groups of gender, age, baseline eHealth
364 literacy score and CVD subgroups (Figure 4)

365

366 At 12 months, there was a borderline improvement in BP and LDL control rates in intervention
367 vs control (17.1% vs 12.1%, RR 1.41 95% CI 0.98 – 2.03 $p=0.07$), however control rates
368 remained low overall in both study arms. There were no significant differences between the
369 intervention and control groups in mean LDL cholesterol (2.5mmol/L v 2.4 mmol/L, mean
370 difference -0.08mmol/L, 95% CI -0.22 – 0.05 $p=0.24$) and SBP (136.3mmHg v 136.4mmHg,
371 mean difference 0.12mmHg, 95% CI -2.21 – 2.45 $p=0.92$). For lifestyle behaviors, there were
372 significantly more participants meeting recommended levels for physical activity (87% vs
373 79.7%, $p=0.02$) in the intervention than the control group (Figure 3). There were no significant
374 differences in any of other lifestyle related behaviors including quality of life scores and HLQ
375 scores. For e-health literacy scores there were significant improvements in participants meeting

376 the pre-defined threshold of high e-health literacy in the intervention vs control arm (72.6% vs
377 64.0%, p=0.016). There were few all-cause hospitalizations (59 vs 54) and deaths (2 vs 1) in both
378 intervention and control groups respectively. Owing to small numbers significance testing was
379 not performed.

380 381 **DISCUSSION**

382 Among patients with or at high risk of CVD, a consumer-focused and EHR integrated software
383 application did not improve adherence to guideline recommended medicines. The study
384 population had low to very low medication adherence rates and concomitant risk factor control
385 rates at baseline and there was only a marginal improvement post-intervention. The minimal
386 effects on most outcomes occurred despite reasonable implementation fidelity. The findings are
387 concerning given this population is at high to very high risk of experiencing either a first or
388 subsequent CVD event. The evidence base for guideline-recommended treatments (BP-lowering
389 medications and statins) is well established and when these medications are used in combination
390 they can lower risk of a CVD event by around 40%.²⁶ Optimal medication use (combined BP and
391 statin medication coverage for at least 80% of the previous 12-month period) was observed in
392 only around one third of people with around a half of people taking BP medications consistently
393 and only 40% taking a statin over a 12-month period. These gaps are well known and in the
394 Australian primary care context have changed little over the last two decades.

395
396 The adherence literature related to CVD medications has repeatedly show that adherence is
397 heterogeneously impacted by disease factors, therapy factors, healthcare factors, patient factors
398 and, social factors.²⁷ As such, strategies to improve adherence tend to have mixed success. The
399 large treatment gaps identified in our study and the minimal movement with this intervention
400 suggests more intensive, system wide strategies are needed to address this intractable problem.
401 Traditionally, intervention approaches look at supply side (provider and system) strategies and
402 demand side (consumer-focused) strategies. Digital health interventions for cardiovascular risk
403 are proliferating and effect sizes vary greatly. On the consumer side, the Text2PreventCVD
404 Collaboration found text messaging systems have modest but potentially important reductions in
405 cardiovascular risk factors.²⁸ Similarly, supply-side interventions to improve quality include
406 audit and feedback, decision support tend to show mixed outcomes.²⁹ Patient and provider
407 education strategies are moderately successful. A recent systematic review of strategies to
408 increase statin prescribing rates shed some insights on both sides - patient education initiatives
409 were effective in 4 of 7 trials and two trials that combines electronic decision support with audit
410 and feedback were effective.³⁰ More recently, behavioral economics studies are emerging but
411 also inconclusive to date – one recent study used payments to providers and/or patients to
412 improve adherence rates to statins and found that only the combined provider and patient
413 incentives were effective in lowering LDL cholesterol and that overall the intervention effects
414 were modest and not cost-effective.³¹

415
416 This mixed evidence base suggests that contextual factors at multiple levels - health system,
417 service, provider, patient, and community levels - play a role in influencing the effectiveness of
418 these strategies. The recently published Non-adoption, Abandonment, Scale-up, Spread and
419 Sustainability (NASS) framework provides a mechanism for explicitly assessing complexity
420 across multiple domains to understand adoption barriers and enablers with technology
421 interventions.³² Two NASS domains of particular importance in this study was the value
422 proposition to users and the adopter system. The CONNECT intervention has multidimensional
423 components and although it appeared to be viewed favorably, particularly for goal setting and

424 taking lifestyle actions it may have had little value to users in relation to medication
425 management. There was also complexity with the adopter system which was attempting to
426 promote a more engaged discussion between provider and patient by integrating the application
427 with electronic health record systems. This link was perhaps not sufficiently strong and research
428 on the impact of direct messaging between patient and providers is an area for greater
429 exploration. A more detailed examination of the impact on health-related behavior and how the
430 EHR-linked strategy was received, used, and accepted by patients and providers in this study has
431 been reported elsewhere.³⁰

432
433 Importantly, in this study there was some misalignment in results in terms of medication
434 prescription and risk factor measurements and qualitative consumer/patient usefulness and
435 perceived value. This is a common potential problem for RCTs that have a focus on behavior
436 change based on complex interventions where there are multiple moving parts.³³ Together with
437 the improvements in self-reported physical activity, our findings suggest there may have been
438 some value to users for lifestyle changes and motivation. For example, qualitative research
439 conducted alongside this RCT found that 40% of participants reported using the web-app
440 improved their mental health and well-being, 47% reported higher physical activity levels and
441 61% reported healthier eating.³⁴ In addition, the qualitative research found 73% of users reported
442 benefiting from personalised cardiovascular disease risk score; 69% liked the goal tracking; 52%
443 benefited from the risk factor self-monitoring and 54% liked the motivational health tips.³⁴ The
444 observed disparity between objective clinical outcomes and patient preferences is an important
445 consideration when evaluating this research and future RCTs of complex interventions. Other
446 studies have also highlighted the importance of relevance of outcome measures to
447 consumers/patients.³⁵ This is an area that requires further research to help understand how future
448 studies can ensure emphasis on outcomes that are of high value to patients but are also
449 scientifically robust so we can most effectively estimate the potential benefits of digital health
450 interventions that are consumer-directed.

451
452 Study limitations include the following. First, as mentioned in the methods, the study was
453 originally powered on risk factor control and we were aiming to recruit 2000 individuals. This
454 resulted in a slight imbalance in numbers in the control and intervention groups although no
455 major difference in measures. Despite low withdrawal rates, recruitment proved challenging
456 where primary care practices are not well supported to undertake research. We had to revise the
457 recruitment target to 1000 patients and a more appropriate primary outcome (prescription of
458 evidence-based medications). It is possible that given the trend to significance in risk factor
459 target control that the study was underpowered to show an effect, however, even if such an effect
460 was observed it would have been modest at best and the broad conclusions remain unchanged.
461 Second, there was a much higher proportion of men recruited to the study than women. The
462 reasons for this are complex and are related to both a higher proportion of men identified at high
463 CVD risk, but also a higher proportion of men than women agreeing to participate in the study.
464 This is important given the emerging data on gender disparities in both health status but also
465 health care. Third, the study was conducted in mainly urban primary care practices in one city
466 and practice level factors may be different in other settings which may lead to different
467 conclusions. Also, two practices experienced challenges with installing the software to upload
468 data to the shared electronic health record and this limited the ability of these sites to refresh
469 information from the patient record into the CONNECT application. Finally, due to the low
470 numbers of ACCHSs recruited, we are not able to make any scientific conclusions about
471 differential impacts for Aboriginal and Torres Strait Islander people compared with the general

472 study population and hence have not attempted to do so. This would need to be the subject of
473 further specialized research.

474

475

476 **CONCLUSION**

477 A consumer app integrated with primary health care EHRs was not effective in increasing
478 medication usage in a population at high risk of CVD events with low pre-existing use of
479 recommended medications. Borderline improvements in risk factor control and modest
480 behavioral changes were observed. When considering the current evidence of behavior change
481 strategies for CVD risk reduction, this study affirms that such interventions remain challenging
482 to implement and to achieve clinical effectiveness. Innovative approaches to intensify the effects
483 of such interventions are needed and it is likely such approaches need to target multiple levels of
484 the health system.

485

486 **CONTRIBUTIONS**

487 DP and JR designed the study with input from all authors. JR, DP, GC, JM wrote the protocol
488 coordinated ethics, contractual, data management and, collaboration arrangements. JR, DP, LN,
489 TU, MH, AR, EH, CKC, KP, AL, NZ and, NH developed the study design, recruitment process
490 and, determined outcomes. JR, DP, GC, LN and, JM developed the intervention. GC, JM, JF,
491 NH, CP, SP, KW, FB, AC, GE, AW and, TN were involved with participant recruitment, follow-
492 up and, data collection. AS conducted the statistical analyses. JR and DP drafted the manuscript
493 with all authors contributing to and approving the final version.

494

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503

504 **DECLARATION OF INTERESTS**

505 We declare no competing interests.

506

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511

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- 621

622 **TABLE 1: BASELINE CHARACTERISTICS**

	Intervention¹ (N=486)	Control¹ (N=448)	Total (N=934)
Demographics			
Age, mean (SD) years	66.8 (8.4)	68.4 (7.8)	67.6 (8.1)
Male, n (%)	368 (75.7)	348 (77.7)	716 (76.7)
Ethnicity n (%)			
Caucasian	406 (83.5)	396 (88.4)	802 (85.9)
Asian	22 (4.5)	17 (3.8)	39 (4.2)
Aboriginal or Torres Strait Islander	27 (5.6)	10 (3.8)	37 (4.0)
Other	31 (6.4)	25 (5.6)	56 (6.0)
Education < 12 years, n (%)	15 (3.1)	13 (2.9)	28 (3.0)
Weekly household income (Australian dollars) n (%)			
\$0-799	105 (21.7)	96 (21.4)	201(21.6)
\$800-1999	180 (37.1)	155 (34.6)	335 (35.9)
>\$2000/week	116 (24.0)	119 (26.5)	235 (25.2)
No response	83 (17.1)	78 (17.4)	161 (17.3)
Clinical data and risk factors			
High risk of cardiovascular disease, n (%)	285 (58.6)	266 (59.4)	551 (59.0)
Existing cardiovascular disease, n (%)	201 (41.4)	182 (40.6)	383 (41.0)
Diabetes	160 (32.9)	111 (24.8)	271 (29.0)
Mean body mass index (SD) kg/m ²	29.9 (5.7)	29.7 (5.1)	29.8 (5.4)
Body mass index ≥ 30kg/m ² , N (%)	205 (42.2)	188 (42.1)	393 (42.1)
Waist circumference, mean (SD) cm	105.7 (14.9)	106.4 (13.6)	106.0 (14.3)
Mean systolic blood pressure (SD) mmHg	137.3 (15.9)	139.0 (16.6)	138.1 (16.3)
Mean diastolic blood pressure (SD) mmHg	78.9 (10.6)	79.8 (10.8)	79.3 (10.7)
LDL-C, mean (SD) mmol/L	2.6 (1.04)	2.6 (0.98)	2.6 (1.01)
Meeting target for BP ² , n (%)	195 (40.1)	165 (36.8)	360 (38.5)
LDL-C ≤2mmol/L, n/N (%)	137/438 (31.3)	121/411 (29.4)	258/849 (30.4)
Meeting BP and LDL target ³ n/N (%)	54/438 (12.3)	46/411 (11.2)	100/849 (11.8)
HbA1c, mean (SD) mmol/mol	7.0 (1.2)	7.1 (1.3)	7.0 (1.3)
Current smoker, n/N (%)	63/483 (13.0)	57/443 (12.9)	120/926 (13.0)
Physically inactive, n/N (%)	61/419 (14.6)	62/387 (16.0)	123/806 (15.3)
Quality of life and health literacy			
eHeals score, mean (SD)	27.0 (6.43)	27.0 (6.41)	27.0 (6.42)
eHEALS score ≥26, n/N (%)	326/483 (67.5)	287/448 (64.1)	613/931 (65.8)
EQ5D score/100, mean (SD)	80.1 (13.8)	79.4 (13.8)	79.8 (13.8)
Self-reported medication use			
Lipid lowering, n/N (%)	259/460 (56.3)	212/431 (49.2)	471/891 (52.9)
Antihypertensives, n/N (%)	287/460 (62.4)	275/431 (63.8)	562/891 (63.1)
Antithrombotics, n/N (%)	180/460 (39.1)	183/431 (42.5)	363/891 (40.7)
≥80% medication days covered, n/N (%)	133/460 (28.9)	122/431 (28.3)	255 (28.6)

Abbreviations

N, number of participants in denominator; n= number of participants in the numerator; SD, standard deviation; LDL-C, low density lipoprotein cholesterol; HbA1c, Glycated haemoglobin; EQ5D, EuroQual 5D

Notes

1. denominators are included where the denominator differed from the column total
2. BP target defined as: ≤ 130/80mmHg for CVD, Diabetes or albuminuria or ≤140/90mmHg for all others
3. LDL-cholesterol target defined as < 2.0mmol/L

624 **FIGURE 1: PARTICIPANT FLOW**

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626 **FIGURE 2: INTERVENTION SCREEN SHOTS**

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628 **FIGURE 3: TRIAL OUTCOMES**

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630 **FIGURE 4: SUB-GROUP ANALYSES FOR THE PRIMARY OUTCOME**

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