1	The functional response and resilience in small waterbodies along land-use and
2	environmental gradients
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23 Abstract

24 There is growing recognition of the essential services provided to humanity by functionally 25 intact ecosystems. Freshwater ecosystems are found throughout agricultural and urban landscapes and provide a wide range of ecosystem services, but globally they are also 26 27 amongst the most vulnerable. In particular, ponds (lentic waters typically less than 28 2ha), provide natural flood management, sequester carbon and hold significant cultural value. However, to inform their management it is important to understand a) how functional 29 30 diversity varies in response to disturbance and b) the link between biodiversity conservation and ecosystem function. 31

32 In this study, a meta-analysis of seven separate pond studies from across England and Wales 33 was carried out to explore the effect of urban and agricultural land-use gradients, shading, 34 emergent vegetation, surface area and pH upon groups of functionally similar members of the 35 macroinvertebrate fauna. Functional effect groups were first identified by carrying out a hierarchical cluster analysis using body size, voltinism and feeding habits (18 categories) that 36 are closely related to biogeochemical processes (e.g. nutrient and carbon recycling). 37 Secondly, the influence of the gradients upon the effect group membership (functional 38 39 redundancy - FR) and the breadth of traits available to aid ecosystem recovery (response 40 diversity) was assessed using species counts and functional dispersion (FDis) using 12 41 response traits. The effect of land-use gradients was unpredictable, whilst there was a negative response in both FR and FDis to shading and positive responses to increases in 42 43 emergent vegetation cover and surface area. An inconsistent association between FDis and FR suggested that arguments for taxonomic biodiversity conservation in order to augment 44 45 ecosystem functioning are too simplistic. Thus, a deeper understanding of the response of 46 functional diversity to disturbance could have greater impact with decision-makers who may

47 relate better to the loss of ecosystem function in response to environmental degradation than48 species loss alone.

49 Keywords

Ecosystem functioning, nutrient recycling, response diversity, ponds, ecosystem services,
functional resilience

52

53 **1 Introduction**

54 Global biodiversity loss continues at an unprecedented rate (Butchart et al. 2010) and 55 declines in freshwater biodiversity are greater than those observed in terrestrial systems (Sala 56 et al. 2000; Vörösmarty et al. 2010). Among the most vulnerable of freshwater habitats are ponds (natural, semi-natural and artificial, defined here as lentic waterbodies, typically less 57 58 than 2ha, which provide a wealth of ecosystem services and can be found throughout 59 agricultural and urban landscapes (e.g. Williams et al. 2004; Jeffries 2012; Hill et al. 2016). The implications of such biodiversity loss is of significant concern for the wider functioning 60 of freshwater systems and their resilience in the face of global environmental change, as well 61 as local stochastic events (Chapin et al. 2000; Gerisch 2014). 62

63 There has been growing recognition of the essential services provided to human populations

by functionally intact ecosystems (Kremen & Ostfeld 2005; Balvanera *et al.* 2006), with

65 conservation ecology often focused on the maintenance of biodiversity to secure ecosystem

66 function (Brophy et al. 2017). Pond habitats can provide water storage, purify drainage,

67 sequester carbon and provide significant recreational and cultural value (Bolund &

68 Hunhammar 1999; Zedler & Kercher 2005). However, many ponds are in a neglected state

69 (Williams et al. 2010; Hassall, Hollinshead & Hull 2012), with minimal management

70 intervention in anthropogenically dominated landscapes likely causing ecological impairment

(Sayer *et al.* 2012). To date, the functional diversity of ponds has received little research
focus, with more attention paid to their value as biodiversity hotspots than ecosystem service
providers (Hassall 2014). However, a better understanding of how functional diversity
responds to environmental change (i.e. functional resilience) would aid ecosystem-based
management aimed at retaining or enhancing ecosystem services (Kremen 2005; Lapointe *et al.* 2014).

77 Functional diversity is defined as the diversity of functional characteristics within an ecological community based on morphological, behavioural, or life-history traits (Petchey & 78 79 Gaston 2006). For ecosystems to be functionally resilient it is important to understand how 80 functional diversity varies in response to disturbance, particularly amongst those species that share similar functional traits (Chapin et al. 1997; Moore & Olden 2017). For ponds, this 81 82 'response diversity' is yet to be explored, but is considered essential for ecosystem recovery following disturbances and thus pivotal to the long-term, sustainable provision of ecosystem 83 services (Elmqvist et al. 2003; Folke et al. 2004; Bruno et al. 2016). 84

Within an ecosystem, sets of co-existing species whose functional effects overlap may be 85 classified into 'functional effect groups', based on the traits which determine these effects 86 87 (Hooper et al. 2002; Lavorel & Garnier 2002). For example, large-bodied invertebrate 88 shredders may form one effect group, predators another. A higher number of species within a 89 given effect group suggests greater functional redundancy, and thus a greater resilience 90 against the removal of any one species whose functional loss can be compensated by other 91 species within that effect group (Naeem 1998). However, should species within a given effect group differ in their response to environmental change or disturbance, then a linear 92 93 relationship between species richness and functional resilience cannot be assumed (Elmqvist et al. 2003). Under such circumstances, the argument for the conservation of biodiversity for 94

95 the purpose of securing ecosystem service provision may not be appropriate (Lohbeck *et al.*96 2016)

Among the emerging anthropogenic stressors to which ecosystems are responding are 97 98 changes in land-use patterns such as agricultural intensification and urbanisation, which are 99 common causes of degradation and biodiversity loss (Sala et al. 2000; Mooney et al. 2009). In addition, a number of pond studies have identified local environmental factors to have an 100 101 impact upon biodiversity including shade (Hassall, Hollinshead & Hull 2011; Thornhill et al. 102 2017a), surface area (Bronmark 1985; Heino 2000), emergent vegetation (Scheffer et al. 103 1993; Declerck et al. 2005) and pH (Biggs et al. 2005), but no attempt has been made to 104 directly consider response diversity along these gradients.

Consideration of response diversity in freshwater systems, however, has been restricted to 105 106 rivers and lakes (Elmqvist et al. 2003; Durance et al. 2016). Within these systems, response 107 diversity is important for the maintenance of leaf litter breakdown rates (Jonsson et al. 2002) and in mitigating the effect of toxic chemical stress, acidification and extreme events 108 109 (Carpenter & Cottingham 1997; Woodward et al. 2015). The study of response diversity in freshwaters has been bolstered by a robust and growing body of work on functional traits in 110 111 freshwater invertebrates (e.g. Merritt & Cummins 1996; Tachet et al. 2010), thus facilitating further study of response diversity. 112

113 In the present study, we consider how six environmental gradients effect pond

114 macroinvertebrate functional redundancy and response diversity through a meta-analysis of

seven studies carried out across urban and rural landscapes of England and Wales, totalling

116 387 sites and 463 taxa. The environmental gradients include two of land-use intensity

117 (urbanisation and agriculture), three physical (degree of shading, emergent vegetation cover,

surface area) and one chemical (pH). We follow a hierarchical effect-response functional trait

119 framework (Hooper et al. 2002; Laliberté et al. 2010) in order to measure response diversity 120 based on the distinction between effect traits and response traits (Diaz & Cabido 2001). Species are classified across each study into functional effect groups, using three traits (17 121 122 categories; Tachet et al. 2010) that affect the biogeochemical processes (e.g. nutrient and carbon cycling) which are critical to ecosystem service provision. The response diversity of 123 124 each effect group is then quantified using a further nine traits that affect macroinvertebrate 125 responses to disturbances. The aims of the meta-analyses were: (1) to estimate the mean effect of environmental gradients on response diversity and functional redundancy across all 126 127 studies and (2) to test the null hypotheses that neither land-use nor environmental gradients have an effect on response diversity or functional redundancy within ponds. In so doing we 128 129 are also able to consider whether the conservation of biodiversity is a useful objective for the 130 maintenance of ecosystem services in ponds.

131 2 Materials and methods

132 2.1 Macroinvertebrate sampling

Data collection methodologies employed by all seven contributing studies (Table 1) broadly 133 134 followed the standardized guidelines of the National Pond Survey (Biggs et al. 1998) including a three minute sweep sample divided between the mesohabitats present (Hill et al. 135 2016). Water bodies included were mostly ponds (99%) with a surface area between $1m^2$ and 136 137 2ha that hold water for at least four months of the year (Biggs et al. 1998), with some (1%) larger in surface area, but shallow enough for the growth of rooted vegetation throughout 138 (e.g. Morgan 1930). All studies used pooled data from sampling campaigns carried out during 139 140 spring, summer and autumn months with the exception of those in the West Midlands which were only sampled during spring and summer. In all, samples from 387 sites in England and 141 Wales were included in this study (Figure 1), resulting in 463 taxa (see Table S 1). 142

- 143 Macroinvertebrate identification was typically to species level with the exception of
- 144 Oligochaeta, Diptera and small bivalves.
- 145 Figure 1 Distribution of sites within seven contributing studies across England and Wales



146

147 **2.2 Environmental gradients**

Six environmental gradients were defined. Two anthropogenic land-use intensity gradients 148 were based on the percentage coverage of agricultural and urban land within a 500m distance 149 150 from the pond centroid. Studies were matched to either Land Cover Map 2000 (Fuller et al. 2002) or 2007 (Morton et al. 2011); whichever was closest to the sampling periods. All seven 151 152 studies recorded a variety of physical and chemical data, however, three physical and one chemical measure were consistently measured across all studies, with the exception of 153 shading within that of West Yorkshire (Wood et al. 2001). Thus, environmental gradients 154 155 were derived from measures of tree cover (i.e. percent shaded), the percentage coverage of emergent (e.g. Typha sp.) vegetation, surface area and pH. Covariance between the gradients 156

- 157 was tested for statistical independence using Spearman's Rank (Supporting information Table
- 158 S 2), with all correlations less than $\rho < 0.40$.
- 159 To facilitate comparison across studies, four standardised classes were calculated for each
- 160 gradient using quartiles based on data pooled from across all seven studies. As a consequence
- 161 of this approach, not all studies had sites represented across all classes, in particular the most
- urban of studies (Hill, Mathers & Wood 2015; Thornhill *et al.* 2017; Table S 3).

163 Table 1 Summary of contributing studies, local physical and chemical and landscape scale characteristics. Figures given are means ±1SD and

164 range in parentheses.

Study ref.	Geography	n	Urb.	Agri.	Shade.	pH.	Emerge.	Area (sq. m)	Reference
NPS	England &	119	10.7±19.0	40.4±28.5	17.8±23.2	6.6±1.0	30.4±22.8	2162±3782	Biggs et al., 1998
	Wales		(0.0 - 79.5)	(0.0 - 92.1)	(0.0 - 100)	(3.8 -	(0.1 - 90.0)	(8.0 -	
				(0.0 /)		8.6)		20650)	
ROPA	England &	34	8.4±15.2	67.1±26.9	11.0±14.4	7.2±1.2	25.8±23.5	1399±1890	FHT Realising Our
	Wales		(0.0 - 80.8)	(2.6 - 97.1)	(0.0 - 65.0)	(3.3 -	(0.1 - 80.3)	(26 - 8200)	Potential Award
			(0.0 00.0)	(,		8.7)			dataset (unpub.)
TP	England &	76	17.5±23.2	46.6±26.6	21.0±28.1	6.9±1.2	50.9±31.5	1060±1704	FHT* Temporary
	Wales		(0.0 - 91.9)	(0.0 - 96.2)	(0.0 - 90.0)	(2.5 -	(0.0 - 100)	(25 - 10000)	Ponds dataset
			(0.0 / 1)	(0.0) 0.2)		9.5)			(unpub.)
W.Yorks	West	36	15.6±18.0	29.7±11.1	n/a	6.9±0.6	18.3±18.0	2845±3726	Wood et al., 2001
	Yorkshire		(0.6 - 86.1)	(2.5 - 57.4)		(5.2 -	(0.0 - 60.0)	(50 - 16000)	
			(0.0 00.1)	(2.0 0711)		8.0)			
W.Midlands	West	30	67.7±28.4	26.7±24.3	30.1±31.4	7.7±0.5	12.6±13.2	3597±4053	Thornhill et al., 2017
	Midlands		(6.0 - 96.8)	(0.5 - 75.6)	(0.0 - 100)	(6.7 -	(0.0 - 41.4)	(299 -	
			(0.0)0.0)			9.1)		14967)	

Leic.	Leicestershire	41	51.6±31.7	41.1±26.9	17.5 ± 28.4	7.8 ± 0.6	23.0±29.3	780±1929	Hill et al., 2015
			(0.4 - 94.6)	(5.5 - 96.2)	(0.0 - 100)	(6.3 -	(0.0 - 100)	(1.0 - 9309)	
				(,		9.8)			
Wales	Wales	51	1.8 ± 2.6	55.6±30.3	41.8±15.3	7.1±0.9	11.8 ± 20.9	4476±10309	Gee et al. 1997
			(0.0 - 12.3)	(0.0 - 94.0)	(9 - 68)	(5.0 -	(0.0 - 98)	(100 -	
						10.2)		62000)	

165 *Freshwater Habitats Trust

166 **2.3 Functional effect and response traits**

It is highly recommended in trait analyses to have all data at the same taxonomic level 167 (Statzner, Bonada & Dolédec 2007) and our trait database predominately included species 168 169 level information. In all we chose 12 traits comprising 72 categories (Table 2). The 170 association between a taxon and a trait category was described using an affinity score based on a fuzzy coding technique (Chevenet, Doledec & Chessel 1994). The affinity of a taxon to 171 172 each category was coded from zero (no affinity) to three or ten (maximum affinity depending on the trait). Where affinity scores were not assigned an even weight was attributed across the 173 174 trait categories. Affinity scores were standardized, so that their sum for a given taxon and a given trait was equal to one. This procedure ensured the same contribution of each trait to 175 statistical analyses (Péru & Dolédec 2010). 176

Table 2 Selected invertebrate functional effect (E) and response (R) traits used in the analysis
(after Tachet *et al.* 2010)

Functional trait	E/R	No. categories
Maximum body size	E/R	7
Life cycle duration	R	2
Voltinism	E/R	3
Aquatic stage	R	4
Reproduction	R	8
Dispersal	R	4
Resistance forms	R	5
Respiration	R	5
Locomotion and substrate relation	R	8
Substrate (preferendum)	K	9

Food	R	9
Feeding habits	E/R	8

179

Effect traits were defined as traits that influence biogeochemical processes, while response 180 181 traits were primarily regenerative traits that influence how species respond to disturbances 182 (Lavorel & Garnier 2002). Classifying traits into effect and response can be challenging and 183 they can often be found to coincide (Diaz & Cabido 2001; Suding et al. 2008; Laliberté et al. 2010). Three effect traits were selected in this study, which were also relevant as response 184 185 traits. First, body mass is strongly correlated to metabolic rate in animals (Ehnes et al. 2014) which is directly related to several biological rates and processes such as predation and 186 187 decomposition (Barnes et al. 2014; Mumme et al. 2015). Second, voltinism (the potential number of cycles within a year) may have important implications for temporal redistribution 188 189 of nutrient processing (Merritt et al. 1996; Kovalenko et al. 2014). Finally, feeding habits 190 have a clear and direct link to ecosystem processes such as leaf litter breakdown (Cummins 191 1979; Lecerf *et al.* 2006). The remaining nine response traits are identified in Table 2.

192 **2.4 Response diversity and functional redundancy**

193 The methodological approach to characterise response and functional diversity developed by 194 Laliberté et al. (2010) was followed. For each dataset, we first computed a Gower 195 dissimilarity matrix between all species using effect traits. Species were assigned to 196 functional effect groups using Ward's minimum variance method, implementing Ward's 197 clustering criterion (Murtagh & Legendre 2014). The number of effect groups was 198 determined by visually inspecting the clustering dendogram and setting cut-off levels in order 199 to retain a relatively consistent level of group membership. Effect groups were visualised using Non-Metric Multidimensional Scaling (NMDS) with the metaMDS function in the 200

vegan' package (Oksanen *et al.* 2017) and were accepted if they displayed statistically
significant one-way analysis of similarity (ANOSIM, 9999 permutations) and clear visual
separation. To facilitate group identification, mean trait values (and modes for categorical
traits) for all effect groups are given in Table S 4.

For each site, functional redundancy was quantified as the number of species within an effect 205 206 group, whilst response diversity of each effect group was quantified by measuring the multivariate functional dispersion based on a Gower dissimilarity matrix of species response 207 208 traits (FDis; Laliberté & Legendre 2010). Gower dissimilarity was chosen because it allows 209 mixed variable types (e.g. categorical and binary), as well as missing values (Legendre & 210 Legendre 1998). The FDis metric is the average distance of individual species to their group centroid in response trait space and is minimally influenced by species number. Therefore, 211 the use of FDis was aimed to ensure that response diversity was statistically independent of 212 functional redundancy. We weighted response diversity by relative abundances as ecosystem 213 214 function is often closer related to species dominance rather than diversity (Oliver *et al.* 2015), particularly in human-modified environments (Lohbeck et al. 2016). A decrease in 215 multivariate dispersion in response trait space (i.e. a loss of response diversity) for a given 216 217 effect indicates that its composition has shifted towards species that are more similar to each 218 other in how they respond to disturbance, thereby indicating a loss of resilience. If, in a given 219 site, an effect group contained no species or only one species (in which case no multivariate 220 dispersion can be computed), it was assigned a response diversity value of zero (Laliberté et 221 al. 2010).

222 **2.5 Meta-analysis**

For each of the seven study datasets (Table 1) we used all effect groups from all sites asindividual observations. Therefore, the total number of observations is equal to the number of

225 effect groups multiplied by the total number of sites, each site representing an independent measurement (where ties were present, observations were assigned equal ranks). First, 226 response diversity and functional redundancy were ranked between sites and within each 227 228 effect group to control for intergroup differences (Laliberté et al. 2010). Second, Pearson correlation coefficients were calculated between environmental gradient category (ordinal 229 variable with four classes for each gradient studied) and either ranked within-group response 230 231 diversity or ranked within-group functional redundancy. Thus, a rank correlation was generated that is conceptually equivalent to using the Spearman rank correlation with the 232 233 exception that ranking occurs within each group and not across all groups. We used correlation coefficients as effect sizes in a formal meta-analysis across all datasets using the 234 235 random effect DerSimonian-Laird (DSL) approach (Schulze 2004).

236 In the DSL approach, correlations are first transformed using Fisher's Z transformation. The asymptotic variance of Z_r is calculated as $V_z = 1/(n-3)$, where *n* is the sample size (number 237 238 of effect groups \times number of sites. Unlike r which is bounded between -1 and 1, Z_r ranges from $-\infty$ to $+\infty$. Negative values of Z_r indicate a negative association between response 239 diversity (or functional redundancy) and the environmental gradient. The mean effect size \bar{Z}_r 240 241 represents the average relationship between the environmental gradient and the response 242 diversity or functional redundancy of all effect groups from all seven studies. Z_r and 95% confidence intervals were computed as described by Schulze (2004). The package 'metacor' 243 244 was used to perform the meta-analysis (Laliberté 2009).

245 **3 Results**

246 The number of functional effect groups identified per study ranged from five (West

247 Midlands, ROPA) to seven (Temporary Ponds, Wales) based on multivariate clustering

analysis, which generated good separation in non-dimensional space and that had

significantly more inter- than intra- group dissimilarity in all instances (ANOSIM r = 0.65 -0.76, P < 0.001). Effect group memberships averaged 32.6 species (SD 17.5, min. 8, max. 80) and typically related to body size and feeding habit, particularly shredders, predators and scrapers (Table S 3).

253	The FDis metric is constructed so as to be independent of species richness (Laliberté &
254	Legendre 2010). Despite this, the meta-analysis demonstrated the presence of a positive
255	correlation between FDis and functional redundancy across all studies if the whole
256	community is considered at each pond ($\bar{Z}_r = 0.38$, P < 0.001; Figure 2a). However, the
257	strength of association varied between studies, and peaked within the urban studies of West
258	Midlands (ρ =0.84, P < 0.01) and Leicestershire (ρ =0.80, P < 0.01), with the lowest
259	correlation observed within the ROPA dataset (ρ =0.11, P > 0.05). When effect groups were
260	considered the strength of association was much stronger owing to the prevalence of tied
261	ranks ($\bar{Z}_r = 0.78$, P < 0.001; Figure 2b), although the pattern was comparable.
262	Figure 2 Meta-analyses of the relationship between functional redundancy and response
263	diversity (FDis). Effect sizes Zr are Z-transformed Pearson correlation coefficients (r)

between a) ranked functional redundancy and response diversity considering the whole

community and b) within each effect group, following the DerSimonian-Laird (DSL)

266 approach. A positive value of Z_r indicates correlation between the two measures of functional

diversity. Box size is proportional to the weight given to each study, based on sample size and
variance. Grey lines are 95% CI. The dashed grey line represents the null hypothesis. The

summary statistic (mean effect size \overline{Z}_r) is represented by a black diamond whose width

corresponds to its 95% CI.





272 **3.1 Land-use intensity**

The degree of urbanisation within 500m of the pond edge had no clear overall influence on functional redundancy ($\overline{Z}_r = 0.02$, P = 0.31) but had an overall significant, positive effect on response diversity ($\overline{Z}_r = 0.05$, P = 0.03). For functional redundancy, only the National Pond Survey study was significantly different from zero with a positive response to increasing urbanisation ($Z_r = 0.18$, P ≤ 0.05 ; Figure 3). Both the National Pond Survey ($Z_r = 0.13$, P \leq 0.05; Figure 4) and ROPA ($Z_r = 0.11$, P ≤ 0.05) had significant and positive responses in response diversity to urbanisation.

- Agriculture displayed a positive effect on functional redundancy ($\bar{Z}_r = 0.09$, P = 0.003) and
- response diversity ($\bar{Z}_r = 0.07$, P < 0.001). The effect upon functional redundancy was positive
- and significantly different from zero for the National Pond Survey ($Z_r = 0.08, P \le 0.05$),
- 283 ROPA ($Z_r = 0.11, P \le 0.05$), and West Midlands ($Z_r = 0.36, P \le 0.05$) datasets (Figure 3).
- 284 Whilst the positive effect was more consistent across all datasets for response diversity, only

the National Pond Survey was significantly, and positively different from zero ($Z_r = 0.09$, P ≤ 0.05 ; Figure 4).

A notable variation across effect groups was apparent in the West Midlands, where the response diversity of small scrapers (EG1) decreased with increasing agricultural land-use while all other effect groups increased (Figure 5; Table S 3).

Partial correlations were ran in order to evaluate whether the effects of land-use remained unaltered when controlling for the effects of local environmental variables (Tables S 5 - S 8). In most instances there was little deviation from the zero-order correlations. However, with pH as the controlling variable, the strength of correlation between urbanisation and both functional redundancy ($\rho = 0.18$, P < 0.001, partial $\rho = 0.10$, P = 0.01) and response diversity ($\rho = 0.13$, P < 0.001, partial $\rho = 0.06$, P = 0.10) was reduced. A similar, but weaker effect was also observed when considering agricultural land-use.

For the most urban studies (West Midlands and Leicestershire) however, partial correlations revealed several interacting factors despite low level of pre-analysis covariance (Table S 2). Here, the strength of relation between urbanisation and both functional redundancy, and to a lesser extent response diversity, increased once the effects of shade, emergent vegetation and surface area were controlled for. The largest increase was observed in the West Midlands study when controlling for shade ($\rho = -0.06$, P = 0.47, partial $\rho = -0.20$, P = 0.01; Table S 5).

303 Figure 3 Meta-analyses of the effect of land-use intensity and environmental gradients on functional redundancy across seven pond biodiversity studies. Effect sizes Zr are Z-304 transformed Pearson correlation coefficients (r) between gradient category (four categories 305 306 within each gradient) and ranked within-group functional redundancy following the 307 DerSimonian-Laird (DSL) approach. A negative value of Zr indicates a decrease in functional redundancy as the independent parameter category increases. Box size is proportional to the 308 309 weight given to each study, based on sample size and variance. Grey lines are 95% CI. The dashed grey line represents the null hypothesis. The summary statistic (mean effect size \overline{Z}_r) is 310 311 represented by a black diamond whose width corresponds to its 95% CI. Shading data 312 unavailable for W. Yorks.



313

315 Figure 4 Meta-analyses of the effect of land-use intensity and environmental gradients on response diversity across seven pond biodiversity studies. Effect sizes Zr are Z-transformed 316 Pearson correlation coefficients (r) between gradient category (four categories within each 317 318 gradient) and ranked within-group response diversity following the DerSimonian-Laird (DSL) approach. A negative value of Zr indicates a decrease in functional diversity as the 319 independent parameter category increases. Box size is proportional to the weight given to 320 each study, based on sample size and variance. Grey lines are 95% CI. The dashed grey line 321 represents the null hypothesis. The summary statistic (mean effect size \overline{Z}_r) is represented by a 322 323 black diamond whose width corresponds to its 95% CI. Shading data unavailable for W.Yorks. 324



326 Figure 5 Response diversity (FDis) of individual functional effect groups (EG, Table S 3) in response to land-use intensity and environmental gradients for each of the seven studies. For 327 clarity, individual data points are omitted and only loess-smoothed curves are shown (span = 328 329 0.75). In each graph, curves of different colours represent different effect groups. FDis is ranked within each effect group (y-axis). Zr, the effect size used in the meta-analysis, is the z-330 331 transformed Pearson correlation coefficient (r) between RD and land-use intensity or environmental gradient computed from all effect groups. a) National Pond Survey, b) ROPA, 332 c) TP, d) W. Yorks, e) W. Midlands, f) Leicestershire, g) Wales. Shading data unavailable for 333 334 W. Yorks.

$$335 \quad - EG1 - EG2 - EG3 - EG4 - EG5 - EG6 - EG7$$



337 3.2 Physical factors

On average the degree of shading had a negative effect upon both functional redundancy (\bar{Z}_r = -0.11, P = 0.06) and response diversity (\bar{Z}_r = -0.03, P = 0.20), however, the direction and shape of the effect differed markedly between studies such that neither were significant at the 95% confidence interval. Two studies (Temporary Ponds, West Midlands) had significant negative functional redundancy effect values (P ≤ 0.05, Figure 3) whilst the effect of shading was less pronounced upon response diversity with only the West Midlands study significantly differing from zero ($Z_r = 0.27$, P ≤ 0.05; Figure 4).

A non-linear relationship was apparent between increasing shading and response diversity
within effect groups (Figure 5) with three studies (National Pond Survey, Temporary Ponds,
Leicestershire) suggesting peaks in the response diversity of most effect groups at
intermediate levels of shading.

349 Coverage of emergent vegetation had an overall positive effect upon functional redundancy

350 $(\bar{Z}_r = 0.15, P < 0.001)$ and response diversity $(\bar{Z}_r = 0.09, P = 0.005)$. Four of the seven studies

351 (National Pond Survey, Temporary Ponds, West Midlands, Leicestershire) showed a

352 significant positive effect upon functional redundancy with emergent vegetation ($P \le 0.05$;

353 Figure 3), whilst Temporary Ponds, West Yorkshire and Leicestershire showed a positive

effect of emergent vegetation coverage for response diversity ($P \le 0.05$, Figure 4).

355 The average effect of surface area upon functional redundancy ($\bar{Z}_r = 0.10$, P = 0.10) and

response diversity ($\overline{Z}_r = 0.10$, P = 0.01) was positive and statistically significant. The

357 strongest responses in functional redundancy were observed within the National Pond

358 Survey, ROPA, West Midlands and Wales studies (Figure 3) which extended to the

359 Temporary Ponds dataset when response diversity was considered (Figure 4).

Changes in response diversity between effect groups contrasted markedly with surface area in Leicestershire ponds with two each showing null (EG 3 and 4; larger shredders and scrapers, filterers), unimodal (EG 1 and 6; medium sized shredders, scrapers and deposit feeders) and positive (EG 2 and 5; large scrapers, filterers and parasites) responses in response diversity (Figure 5).

365 3.3 Water chemistry

Overall, no significant effect was observed upon functional redundancy (0.06, P = 0.27), or 366 367 response diversity ($\overline{Z}_r = 0.12$, P = 0.15) by pH. Four from the seven studies (National Pond Survey, Temporary Ponds, Wet Midlands, Wales) exhibited a positive response in functional 368 redundancy, significantly different to zero (Figure 3). However, three were negative and one 369 370 (Leicestershire) significantly so ($Z_r = -0.33$, P ≤ 0.05). A more consistent and positive response was apparent in response diversity across all studies, however only the National 371 372 Pond Survey and West Midlands studies demonstrated a positive effect that significantly differed from ($P \le 0.05$; Figure 4). 373

Patterns in response diversity across different effect groups in individual studies showed
some variation with positive, negative and non-linear relationships apparent (Figure 5). For
example, the effect of pH upon response diversity in the National Pond Survey was consistent
and positive. However, this consistency of relationship across effect groups was the exception
rather than the norm.

379 4 Discussion

4.1 Local environmental gradients had greater influence upon functional diversity

Ponds are important for a range of ecosystem services, particularly flood management and
water purification, as well as cultural services for example, by providing a sense of place or a
positive impact upon human well-being (Briers 2014; Hassall 2014). To this end,

384 conventional approaches to conservation have typically focused on the maintenance of 385 biodiversity in order to ensure functional resilience. The meta-analysis revealed that of the gradients studied, local physical and chemical factors had a more consistent and stronger 386 387 influence upon functional diversity than the land-use gradients considered. The degree of shading generally reduced both functional redundancy (the number of species within 388 389 functional effect groups) and response diversity (the dispersion of traits amongst effect group 390 members). Conversely, the amount of emergent vegetation and pH (except Leicestershire) had the opposite effect. Several studies have previously identified the dominant effect of local 391 392 physical or chemical factors upon biodiversity compared to landscape scale factors (Thornhill 393 et al. 2017a; Hill et al. 2017), whilst others have identified connectivity or proximity to other 394 water bodies as being further significant factors (Gledhill, James & Davies 2008; Chester & 395 Robson 2013; Simaika, Samways & Frenzel 2016). Given the importance of functional 396 redundancy and response diversity to functional resilience (Elmqvist et al. 2003; Nyström 397 2006; Mori, Furukawa & Sasaki 2013) these findings suggest that management at a local-398 scale such as encouraging emergent vegetation growth and limiting heavy shading can help to 399 ensure the longer-term provision of pivotal ecosystem functions required to maintain 400 particular ecosystem service provision (e.g., nutrient cycling) in the face of global environmental change. 401

Excessive shading has often been cited as having a negative effect upon the biodiversity of ponds (Gee *et al.* 1997; Sayer *et al.* 2012; Thornhill *et al.* 2017a). Although the presence of some heavily shaded ponds in the pond network is likely to benefit a small number of species (Lundkvist, Landin & Karlsson 2002), the results suggest that excessive shading is to the detriment of functional redundancy and response diversity. However, several of the independent studies incorporated into the meta-analysis exhibited intermediate peaks in both FR and FD is in response to shading reflecting the findings of earlier studies of pond

409 biodiversity (Gee et al. 1997; Thornhill et al. 2017a). In contrast, emergent vegetation had a 410 positive effect on functional redundancy and response diversity. Macrophyte stands are integral to nutrient recycling, being both a source and a sink of nutrients (Brönmark & 411 412 Hansson 2008) and are well known to provide refugia, feeding and foraging opportunities for a wide range of invertebrate species (Lillie & Budd 1992; Gee et al. 1997). 413 414 Overall, increased surface area improved functional redundancy and response diversity in ponds. A similar pattern is well documented between surface area and biodiversity 415 416 (Søndergaard et al. 2005; Biggs et al. 2005; Ruggiero et al. 2008). However for 417 macroinvertebrates, some studies suggest small patch size and isolation can promote species 418 richness (Scheffer et al. 2006; Nakanishi et al. 2014). Indeed, null or contrasting changes in response diversity between effect groups were occasionally observed here (e.g. West 419 420 Yorkshire, Leicestershire), suggesting agreement with Oertli et al. (2002) that the effect of pond area can vary between macroinvertebrate groups. 421 422 Partial correlations revealed confounding effects of pH upon the relation between urbanisation and functional diversity within the National Pond Survey. With the effect of pH 423 removed, only a weak effect of urbanisation upon functional diversity remained. Reduced 424 425 invertebrate species richness in more acidic waters is generally expected (Feldman & Connor 1992; Nicolet et al. 2004), however, the Leicestershire study was a marked contrast, indicated 426 lower functional diversity with high pH. The Leicestershire study had a skewed prevalence of 427 alkaline sites, with most (66%) having elevated pH (7.6 - 10.3, Table 1; Table S 3). 428 429 Consequently, the contrast may be due to a low representation of sites with lower pH, or the influence of highly alkaline ponds in a eutrophic state (Interagency Freshwater Group 2015). 430

431 **4.2** Land-use gradients had an inconsistent influence upon functional diversity

There was a weak, but significant effect of both land-use gradients (urbanisation and agriculture) upon response diversity and increasing proportional cover of agricultural landuse appeared to particularly improve functional redundancy in some studies. For example, the most urban study in the West Midlands exhibited a significant improvement in functional redundancy to increasing agriculture. However, this response was only weakly followed in the second most urban study in Leicestershire and both had markedly reduced response diversity effects.

439 When controlling for the effect of local environmental variables (shade, surface area and 440 emergent vegetation) a stronger, negative effect of increased urbanisation upon both functional redundancy and response diversity was revealed in the West Midlands and 441 Leicestershire studies. In both of these studies larger ponds were retained in more urban areas 442 443 (e.g. Thornhill et al. 2017b), thus suggesting the presence of larger ponds in the most highly urbanised landscapes might support higher levels of functional resilience, as these sites are 444 also likely to be less shaded and support complex macrophyte stands (Hamer & Parris 2011; 445 Hassall et al. 2011; Thornhill et al. 2017a). 446

Urbanisation and agriculture were significant, but weak correlates ($\rho = -0.27$, P < 0.001). 447 448 However, the inconsistent response to land-use gradients may suggest that the relative extent 449 of urban versus agricultural land-use is important and may represent replacement of urban land-use with more semi-natural surroundings. Alternatively, the manner of response may 450 451 reflect that some of the studies were more geographically isolated and offered a parochial view of the environment and were thus more context-dependent (Aiba et al. 2016) to which 452 variance in agricultural practices and urban form may contribute. Although we used a 453 frequently cited buffer to characterise land-use influences (500m; Waterkeyn et al. 2008; Hill 454

et al. 2016), characterising ponds is often challenging as their catchments are ill-defined and
their origins varied and frequently anthropogenic (Hill *et al.* 2016; Thornhill *et al.* 2017c).

457 **4.3** Biodiversity and ecosystem function

Response diversity, as indicated by the FDis metric is constructed so as to be independent of 458 459 functional redundancy (Laliberté & Legendre 2010). However, some association was evident 460 between the two across all seven studies. Despite this, the strength of association varied considerably. In addition, one occurrence (from 41) indicated maintenance of response 461 462 diversity despite significant decreases in functional redundancy (Temporary Ponds and shading) and five indicated significant increases in functional redundancy not matched by 463 464 response diversity (Figure 3, Figure 4). Thus, in agreement with Laliberté et al. (2010), response diversity could be little influenced or even increase with concurrent losses in 465 functional redundancy. These findings suggest that the link between ecosystem function and 466 467 biodiversity in small waterbodies is not clear and the use of ecosystem functioning as a basis for biodiversity conservation should be carefully considered (Srivastava & Vellend 2005; 468 Dee et al. 2017). However, given the large degree of turnover between ponds and 469 concomitant changes in species dominance (Hill et al. 2017), the maintenance of biodiversity 470 likely remains critical for functional resilience (Lohbeck et al. 2016). 471

472 **4.4 Future research**

In the present study, we considered the effect of land-use intensity and environmental
gradients upon as many as seven different effect groups per study and assigned all species to
a functional effect group. However, future research could be focused upon particular trait
clusters that relate more explicitly to combinations of traits that contribute to a desired
ecosystem service (de Bello *et al.* 2010). Indeed, response diversity varied within effect
groups (e.g. scrapers) across the gradients studied suggesting that a generalised effect does

not always apply. Nevertheless, a focus on individual processes in isolation is likely to
underestimate levels of biodiversity required to maintain multifunctional ecosystems in which
the same species can contribute to multiple services (Laliberté *et al.* 2010).

This study incorporated three effect traits that relate predominantly to the recycling and redistribution of nutrients through feeding habits. However, traits could be added for the exploration of other ecosystem service traits such as those that relate to public perceptions of aquatic biodiversity (Hassall 2014). Such traits might be positively (e.g. colour) or negatively (e.g. disease vectors, invasiveness) associated with aesthetic or cultural ecosystem services, which may not align with biochemical processing but could promote the adoption of ponds by local communities.

489 **5** Conclusion

490 There is an urgent need for human society to better manage freshwater resources to sustain or 491 enhance the multiplicity of ecosystem services they provide (Durance et al. 2016). Until recently, the value of ponds for their biodiversity has been largely overlooked in comparison 492 493 with larger systems (Williams et al. 2004) and scant attention has been paid to their 494 ecosystem functioning. This study identifies key local environmental gradients (e.g. shading, emergent vegetation cover) that influence functional resilience, which could inform tractable 495 496 management advice. However, the response of individual functional effect groups varied within studies, as did the strength and direction of relationships between studies. For 497 498 conservation initiatives, the findings suggest that the link between ecosystem function and 499 biodiversity is not clear and the use of ecosystem functioning as a basis for biodiversity 500 conservation should be carefully considered. These differences highlight future areas for 501 research, whilst consideration of additional factors more aligned to anticipated global

environmental change (e.g. temperature, water availability) will help predict their influenceupon functional resilience in pond ecosystems.

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