

# BMJ Open CARE CR-Cardiovascular and cardiorespiratory Adaptations to Routine Exercise-based Cardiac Rehabilitation: a study protocol for a community-based controlled study with criterion methods

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## ABSTRACT

**Introduction** Cardiac rehabilitation (CR) reduces all-cause and cardiovascular mortality in patients with coronary heart disease (CHD). Much of this improvement has been attributed to the beneficial effects of structured exercise training. However, UK-based studies have not confirmed this. Improvements in survival and cardiovascular health are associated with concurrent improvements in cardiorespiratory fitness (CRF). It is therefore concerning that estimated CRF improvements resulting from UK-based CR are approximately one-third of those reported in international literature. Modest improvements in CRF suggest that UK CR exercise training programmes may require optimisation if long-term survival is to be improved. However, contemporary UK studies lack control data or use estimates of CRF change. Cardiovascular and cardiorespiratory Adaptations to Routine Exercise-based CR is a longitudinal, observational, controlled study designed to assess the short-term and long-term effect of CR on CRF, as well cardiovascular and cardiometabolic health.

**Methods and analysis** Patients will be recruited following referral to their local CR programme and will either participate in a routine, low-to-moderate intensity, 8-week (16 sessions) exercise-based CR programme or freely abstain from supervised exercise. Initial assessment will be conducted prior to exercise training, or approximately 2 weeks after referral to CR if exercise training is declined. Reassessment will coincide with completion of exercise training or 10 weeks after initial assessment for control participants. Participants will receive a final follow-up 12 months after recruitment. The primary outcome will be peak oxygen consumption determined using maximal cardiopulmonary exercise testing. Secondary outcomes will include changes in subclinical atherosclerosis (carotid intima-media thickness and plaque characteristics), body composition (dual X-ray absorptiometry) and cardiometabolic biomarkers.

**Ethics and dissemination** Ethical approval for this non-randomised controlled study has been obtained from the Humber Bridge NHS Research Ethics Committee—

## Strengths and limitations of this study

- The use of 'gold-standard' maximal cardiopulmonary exercise testing will provide some of the most accurate and objective cardiorespiratory fitness outcomes derived from UK cardiac rehabilitation (CR) data.
- Carotid intima-media thickness measurements will demonstrate the effect of CR on atherosclerotic disease progression.
- The observational nature of this study conducted within local CR ensures ecological validity of our findings.
- The non-randomised nature of this study may result in group allocation bias.
- This is a single-centre study with participant referral/recruitment constraints that are characteristic of exercise training within UK-based CR.

Yorkshire and the Humber on the 27th September 2013, (12/YH/0278). Results will be presented at national conferences and published in peer-reviewed journals.

## INTRODUCTION

Coronary heart disease (CHD) affects 2.3 million people in the UK and is a leading cause of premature death.<sup>1</sup> Improvements in diagnosis and medical treatment have resulted in improved survival rates, however, the burden of CHD remains a major public health challenge. Cardiac rehabilitation (CR) is a comprehensive programme of secondary prevention measures that has been shown to have significant health benefits for patients with CHD.

The aim of CR is to increase survival, reduce cardiovascular disease (CVD)-related morbidity and hospital admissions, improve

functional capacity, quality of life and facilitate early return to work.<sup>2 3</sup> This is achieved through structured exercise training and increasing physical activity, preventive medical therapies, education and behaviour change, counselling support and other cardiovascular risk factor reduction strategies.<sup>2 4</sup> Although variations in service provision exist across the UK,<sup>5</sup> CR exercise training is usually offered in the early postadmission period following a cardiac event. The UK healthcare system no longer uses 'Phases' to describe CR, however, early post-admission supervised exercise training may be equated to Phase III CR.

Structured exercise training is one of the primary components of CR<sup>2 6 7</sup> and may make the largest contribution to increasing patient survival.<sup>8 9</sup> Exercise training alone is associated with a 28% all-cause mortality reduction.<sup>10</sup> Contemporary evidence suggests that all-cause and CVD mortality, recurrent cardiac events,<sup>11</sup> and hospital admissions are reduced while quality of life is improved.<sup>9</sup> However, a recent Cochrane review questioned these findings and reported that CVD mortality (10.4% to 7.6%) but not all-cause mortality was reduced following CR.<sup>9 12</sup>

Contradictory to consecutive meta-analyses,<sup>9 11 13</sup> UK-derived data suggest that CR may not improve CVD or all-cause mortality.<sup>14–16</sup> The most recent UK randomised controlled study reported no survival benefit,<sup>16</sup> though did not consider cardiorespiratory fitness (CRF) changes. Peak oxygen uptake ( $VO_{2peak}$ ) (determined during maximal cardiopulmonary exercise testing (CPET))<sup>17</sup> is used to quantify CRF.  $VO_{2peak}$  is inversely associated with all-cause and cardiovascular mortality in patients with CHD.<sup>18 19</sup> A 1% improvement in  $VO_{2peak}$  following 3 months exercise training confers a 2% reduction in cardiovascular mortality<sup>20</sup> with the least fit patients showing the greatest survival advantage from any improvements.<sup>21 22</sup> However, a dose–response relationship between the amount of exercise training undertaken and increase in  $VO_{2peak}$  may exist.<sup>20</sup>

UK clinical trial data<sup>23</sup> in patients who sustained a myocardial infarction (MI), reported increases in  $VO_{2peak}$  following 12 months supervised exercise training compared with controls. However, a recent multicentre study of routine UK-based CR (current clinical practice) indicates that the 'exercise dose' within outpatient CR may be insufficient to meaningfully improve CRF<sup>24 25</sup> (~0.5 metabolic equivalents [METs]; or  $VO_2$  1.75 mL/kg/min) when compared with international programmes (~1.5 METs; or  $VO_2$  5.25 mL/kg/min).<sup>26</sup> Fewer than 50% of patients completing a 'typical' UK CR programme may achieve minimal clinically important improvements to CRF, (70 m) derived from incremental shuttle walk testing.<sup>27</sup> These findings may explain why UK CR programmes do not appear to improve patient survival.<sup>14–16</sup> However, UK studies typically estimate CRF changes from submaximal exercise testing protocols. This may lead to inaccurate reporting of  $VO_{2peak}$  changes following CR in patients with CHD.<sup>28</sup> There is a need to investigate the exercise-based CR

findings of Sandercock *et al*<sup>29</sup> using 'gold-standard' CPET testing methods.

Numerous mechanisms may be responsible for improving survival associated with exercise-based CR and improved CRF, including cardiovascular risk factor modification (smoking, lipids, blood pressure (BP), glucose metabolism). Within one meta-analysis, approximately half of the 28% reduction in cardiac mortality achieved with exercise-based CR was attributed to reductions in major cardiovascular risk factors, particularly reduced smoking.<sup>9</sup> Anti-ischaemic/thrombotic effects, cardiac remodelling, and antiatherosclerotic and vascular conditioning have also been documented.<sup>30 31</sup> Larger volumes of exercise training (associated with higher energy expenditures) have been shown to underline regression of atherosclerosis.<sup>32</sup> Carotid intima–media thickness (C-IMT) is a practical, valid and reliable non-invasive surrogate marker of subclinical atherosclerosis.<sup>33–35</sup> Carotid ultrasound has been used to non-invasively characterise dynamic changes in atherosclerotic plaque characteristics. While some data suggest that exercise training may reduce C-IMT in patients at elevated CV risk,<sup>36 37</sup> the evidence is still unclear.<sup>38</sup> Furthermore, no UK study has investigated the effects of a short-term, routine CR exercise training programme on longer-term atherosclerotic disease progression. The modest improvements in CRF reported within UK CR patients,<sup>29 39</sup> and the reported absence of improved survival outcomes, may indicate that the exercise dose prescribed to patients is too low to meaningfully influence CRF, cardiometabolic risk factors and atherosclerotic plaque progression. Therefore, the objectives of this controlled trial are:

To determine, when compared with CR without exercise training, the short-term (8-week) and long-term (12-month) effects of a routine, 8 week, low-to-moderate intensity UK CR exercise training programme on:

1. Changes in  $VO_{2peak}$  assessed using 'gold-standard' CPET.
2. Subclinical and clinical atherosclerosis progression using C-IMT measurements.
3. Standard risk factors including lipid profiles, BP and blood glucose, measurement, and cardiometabolic markers including N-terminal pro-brain natriuretic peptide (NT-proBNP) and high-sensitivity C-reactive protein (hs-CRP).
4. Estimated all-cause 5-year mortality risk using the comprehensive CALIBER score.<sup>40</sup>

## METHODS

### Study design

This study will be a pragmatic, single-centre longitudinal controlled study of a routine National Health Service (NHS) outpatient CR programme. Patients recruited to the study will have the option to attend a routine low-to-moderate intensity, 8-week circuit-based CR exercise training programme (routine CR) or voluntarily abstain (control group (CG)) from the structured

exercise training component of the CR programme. Study measures will be made before starting exercise training or approximately 2 weeks after recruitment for patients who decline the exercise programme (visit 1). Follow-up assessment will be conducted after completion of a patient's CR programme (visit 2) or approximately 10 weeks after recruitment for controls. The difference in planned reassessment times accounts for a typical 2-week waiting time to receive NHS treatment (exercise training) at this centre, and will allow both groups to be reassessed within a similar timeframe. Patients will also be invited for assessment 12 months after visit 1 (visit 3).

Routine CR will be delivered by clinical (not research) staff within existing NHS secondary prevention care pathways. The study will be conducted in collaboration with Hull's CR team (City Healthcare Partnership CIC) who follow the Department of Health<sup>41</sup> 'best care pathway' for referral and delivery of CR. Adherence to national guidelines on exercise prescription will allow broad generalisability of the findings to UK-based CR programmes. The trial protocol adheres to the Standard Protocol Items: Recommendations for Clinical Trials guidelines.

### Setting

Patients can attend CR at three sites across Hull: The University of Hull (West Hull), Hull Royal Infirmary (Hull Centre) and the Freedom Centre (Community Centre, East Hull). Testing will be conducted at the Academic Cardiology Research Laboratory at Castle Hill Hospital, Hull.

### Participants

Patients who have had a recent hospital admission for stable angina, MI (ST-Elevation or non ST-elevation MI and non-STEMI), coronary artery bypass graft (CABG) surgery, and elective percutaneous coronary intervention (PCI) will be recruited by a specialist CR nurse, typically within 2 weeks of sustaining a cardiac event. Patients will be offered all CR secondary prevention components recommended by the British Association for Cardiovascular Prevention and Rehabilitation (BACPR),<sup>2</sup> including exercise training. Those opting to take part in structured, supervised exercise training will be referred to as the treatment group (TG). Those who decline exercise training will be known as the control group (CG). Group randomisation will not be performed as this is deemed unethical given the current evidence for the benefits of exercise-based CR.<sup>9</sup> Patients in both groups will be advised to increase unsupervised physical activity levels.

### General inclusion criteria

1. Primary diagnosis of CHD including recent MI, CABG surgery, elective PCI or exertional angina.
2. Clinically stable patients.
3. Aged 30–85 years.
4. Absence of contraindications to exercise testing and exercise training.

5. Capable and mentally able to understand and follow the instructions of the health professional team.

### General exclusion criteria

1. Clinically unstable patients.
2. Clinically significant valvular heart disease.
3. Patients with a non-ischaemic diagnosis.
4. Patients with coexisting congenital heart conditions, significant comorbidities including severe chronic heart failure (left ventricular ejection fraction (LVEF) <30%), advanced cancer and conditions preventing the patient from providing informed consent.
5. Current drug abusers and excessive alcohol drinkers.
6. Patients not freely living in the community, such as those currently serving a custodial sentence.
7. Patients unwilling or unable to participate in key aspects of the study.
8. Patients with ongoing clinical complications, open wounds or systemic infections.
9. Women who are pregnant or breast feeding.

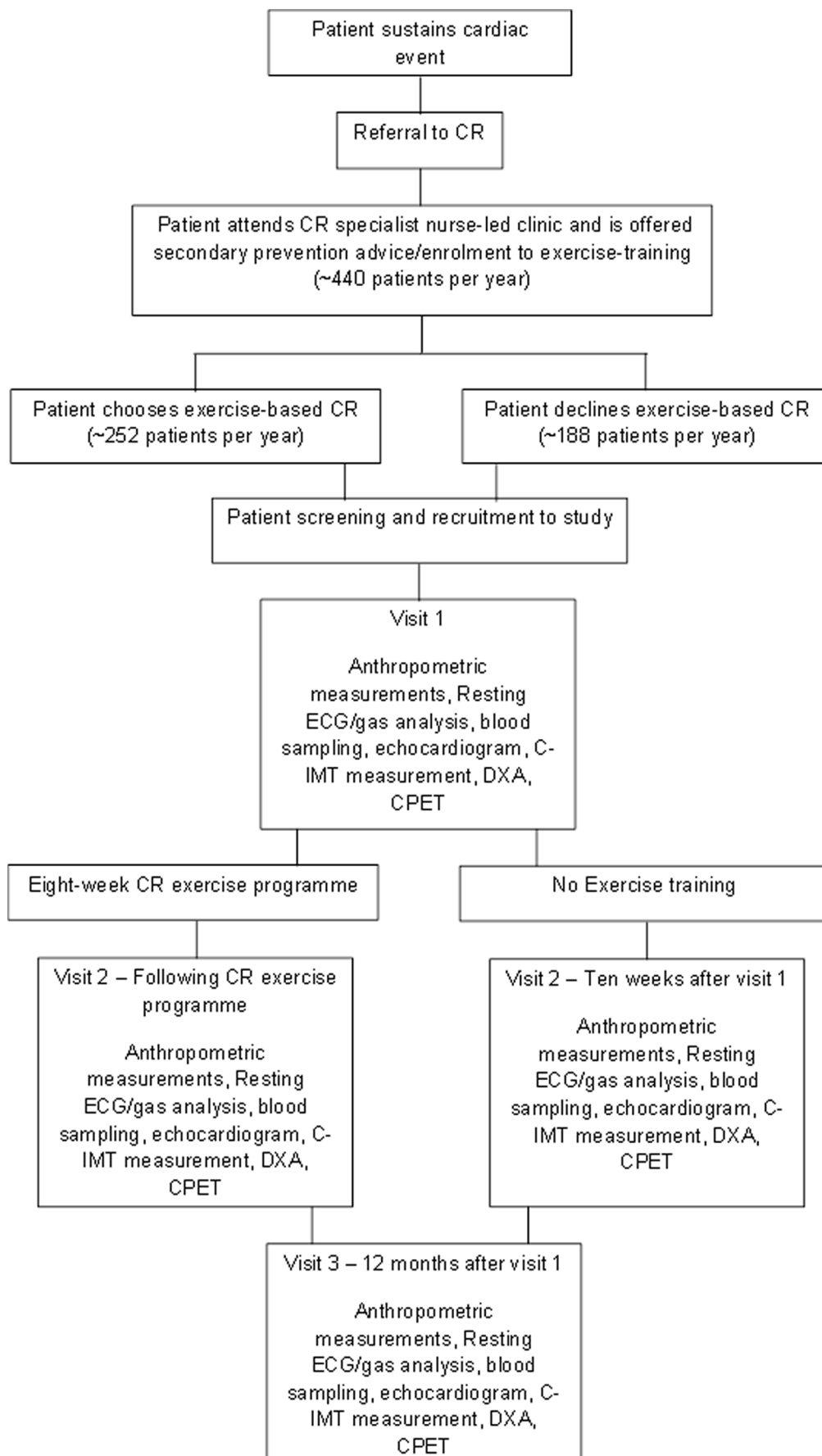
A study flow diagram is presented in [figure 1](#). Patients will be referred to CR via the local tertiary hospital (Castle Hill Hospital, Hull) where they will receive a one-to-one assessment with a CR specialist nurse. Nursing staff will provide patients with information on cardiac medications, diet, smoking cessation, physical activity, structured exercise training and other secondary prevention measures. Eligible patients will be offered the opportunity to participate in this study. Group specific patient information sheets will be provided.

Written informed consent will be obtained by a medical doctor at the Academic Cardiology Research Laboratory, Castle Hill Hospital, Hull. Patients will be asked to attend in a euhydrated state and having not conducted strenuous exercise within the previous 24 hours. Patients will not fast prior to any visit due to the need to conduct maximal CPET at the end of the 4-hour visit. Patients will be advised to eat a light meal prior to each visit.

A resting ECG, echocardiogram, venepuncture, carotid ultrasound (C-IMT) and dual X-ray absorptiometry (DXA) will be performed at each visit. A CPET to volitional exhaustion or clinically relevant symptoms<sup>42</sup> will be conducted after all other investigations have been completed. Patients will then follow their chosen treatment plan (treatment or control). All measurements taken at visit 1 will be repeated at visit 2 and 3. At visit 2 and 3, all patients will be asked to verbally report the typical number of structured exercise sessions they engaged in during the previous week, as well as how many minutes each of those sessions lasted. This will allow a comparison of exercise dose between both groups. Adverse events will be reported in accordance with NHS good clinical practice guidelines.

### Anthropometry and resting haemodynamic measurements

Patients will be instructed to remove footwear, jackets and items from their pockets prior to standing in the centre



**Figure 1** Study flow diagram. C-IMT, carotid intima-media thickness; CPET, cardiopulmonary exercise testing; CR, cardiac rehabilitation; DXA, dual X-ray absorptiometry.



of the scales. Body mass (kilograms) will be measured using a Tanita Body Composition Analyser MC—180 MA (Tanita, Amsterdam, The Netherlands) and recorded to one decimal place. Stature (centimetre) will be measured (Leicester Height Measure, SECA, Birmingham, UK) with patients positioned in the Frankfort plane and their heels and head positioned to the back of the stadiometer. The highest measurement recorded during a single full in-breath will be taken as the individual's height. Body mass index (BMI) will be reported as  $\text{kg.m}^{-2}$ , where kg is a patient's body mass and  $\text{m}^2$  is height squared.

A single waist and hip circumference measurements will be taken 1 cm above the iliac crest, and from the widest aspect of the buttocks using an inflexible tape. Both measurements will be recorded in centimetre and the waist-to-hip circumference ratio (waist/hip) will be reported.<sup>43</sup>

Patients will rest for 15 min in a semisupine position on an examination bed. A 12-lead ECG (GE Healthcare, Buckinghamshire, UK) and left arm brachial BP will be recorded using an ECG-gated automated BP cuff (Tango, SunTech Medical, Eynsham, UK). Resting HR and BP will be recorded following the 15-min rest period.

### Cardiopulmonary exercise testing

Respiratory gas exchange data will be collected using an Oxycon Pro (Jaeger, Hochberg, Germany) breath-by-breath metabolic cart. Calibration to ambient temperature, humidity, altitude and barometric pressure will be performed. Gas flow–volume will be calibrated using a 3 L syringe and will be repeated on at least two occasions. Offset values are automatically calculated for accurate measurement of ventilatory volumes. Two-point calibration, using known gas concentrations, will be performed to allow accurate quantification of inspired  $\text{O}_2$  and expired  $\text{CO}_2$  concentrations (control gases:  $\text{O}_2$  16.4%;  $\text{CO}_2$  4.5%). The 12-lead ECG will be measured continuously throughout the CPET. An ECG-gated automated BP will be monitored from the start of CPET and at the second minute of each exercise test stage until the end of the test.

CPET will be conducted according to international recommendations.<sup>42 44–46</sup> A description of the CPET protocol, Borg's rating of perceived exertion (RPE) scale, potential adverse symptoms and CPET stop

procedures will be given to participants. The modified Bruce protocol<sup>47</sup> will be used for all CPETs (table 1).

Exercise tests will be preceded by a 3-min seated rest period to record pretest gas exchange, BP and HR values. Patients will undertake CPET on a treadmill (General Electric driven by a GE case system (GE Healthcare, Buckinghamshire, UK). Ventilatory expired gases will be collected continuously during the rest period, exercise and a 6-min recovery period. Talking during CPET will be discouraged with the exception of reporting symptoms, asking to stop exercise and to provide serial RPE scores.

HR, RPE and estimated arterial oxygen saturation ( $\text{SpO}_2$ ) will be obtained after 2.5 min of each test stage, at peak exercise and during the recovery period. Criteria for termination for CPET are displayed in box 1.<sup>40</sup>

Data will be saved and exported for offline analysis. Data will be exported in 30s, 15s and middle 5 of 7, breath-by-breath averages. Table 2 provides a list of traditional and novel CPET variables.

The primary outcome measure will be the change in  $\text{VO}_{2\text{peak}}$  (mean  $\text{VO}_2$  over final 30s of a CPET). Secondary CRF outcome measures, including the ventilatory anaerobic threshold (VAT),  $\text{VE}/\text{VCO}_2$  slope, peak  $\text{O}_2$  pulse ( $\text{O}_2/\text{HR}$ ),  $\text{O}_2$  uptake efficiency slope and,  $\text{O}_2$  uptake efficiency plateau will be assessed.

### Spirometry

Resting spirometry will be conducted using an Oxycon Pro. Patients will breathe into a mouth piece connected to the respiratory flow turbine of the metabolic cart. Patients will be instructed to breathe normally during resting tidal volume measurements (litres). Ten full breathing cycles will be observed to allow normalisation of the breathing pattern. Flow-volume loops will be conducted to obtain forced spirometry measurements. Demonstration and instruction will be given prior to patients attempting the manoeuvre. Up to eight flow-volume loops will be conducted to obtain three high-quality manoeuvres. Acceptable reproducibility will be defined as  $\leq 0.150\text{L}$  difference between the largest and second largest forced

#### Box 1 Exercise test termination criteria

- ▶ Indications for exercise test termination
  - ▶ Chest pain suggestive ischaemia
  - ▶ Ischaemic ECG changes (>2 mm ST segment depression)
  - ▶ Complex ventricular ectopy
  - ▶ Second or third degree heart block
  - ▶ Fall in systolic pressure 20 mm Hg from highest value during the test
  - ▶ Hypertension (250 mm Hg systolic; 120 mm Hg diastolic)
  - ▶ Severe oxygen desaturation:  $\text{SpO}_2$  less than 80% when accompanied by symptoms and signs of severe hypoxaemia
  - ▶ Sudden pallor
  - ▶ Loss of coordination
  - ▶ Mental confusion
  - ▶ Dizziness or faintness
  - ▶ Signs of respiratory distress
- $\text{SpO}_2$ , peripheral capillary  $\text{O}_2$  saturation.

**Table 1** The modified Bruce protocol

Stage	Speed (mph)	Gradient (%)
0	1.7	0
1	1.7	5
2	1.7	10
3	2.5	12
4	3.4	14
5	4.2	16
6	5.0	18

**Table 2** Cardiopulmonary exercise test variables

Variable	Definition	Significance
Peak oxygen uptake ( $VO_{2peak}$ )	Mean $VO_2$ over the last 30s of CPET Reported in raw units (mL), adjusted for body mass (mL/kg/min) and lean body mass determined using DXA (mL/kg/min)	Traditional definition of peak aerobic fitness and limit of cardiovascular function Indicative of cardiovascular disease severity, universal prognosticator Abnormal when below 85% of the predicted value
Ventilatory anaerobic threshold (VAT)	Determined using the V-slope method using the middle 5 of 7 breath data averaging. Reported in raw units (mL), adjusted for body mass (mL/kg/min) and lean body mass determined using DXA (mL/kg/min).	Represents the point above which, further increments in work rate are increasingly sustained through anaerobic metabolism. Objective marker of submaximal aerobic fitness/endurance. A $VO_2$ at VAT between 40% and 60% $VO_{2peak}$ is considered normal
Peak respiratory exchange ratio (RER)	The ratio of ventilated $CO_2$ to $O_2$ averaged over the last 30s of CPET Reported in arbitrary units	In conjunction with the attainment of one other marker of peak performance, RER of >1.10 is indicative of a 'peak' effort during CPET
VE/ $VCO_2$ slope	The linear slope relationship between $VCO_2$ (X-axis) and VE (Y-axis) throughout the entire CPET Reported in arbitrary units	Index of ventilatory efficiency representing the matching of ventilation and perfusion of the lungs and heart, respectively, as well as peripheral chemoreceptor sensitivity Slope >34 suggest poor prognosis
Oxygen uptake efficiency slope	The slope relationship between the logarithmically transformed minute ventilation (X-axis) and $VO_2$ (Y-axis) throughout the entire CPET Reported in arbitrary units	Index of ventilatory efficiency with strong correlation to $VO_{2peak}$ Slope <1.4 considered to indicate poor prognosis High accuracy even when exercise tests are not maximal
Oxygen uptake efficiency plateau (OUEP)	The highest plateau in $VO_2$ in relation to VE. Reported as the highest consecutive values of $VO_2/VE$ over 90 s.	Indicates the efficiency of oxygen uptake and global cardiovascular function Can be used to profile severity of CHD and CHF with mean plateau values of 20–30 ( $VO_2/VE$ mL/L) for CHF phenotypes Low OUEP (<65% predicted) prognostic
Oxygen pulse ( $O_2/HR$ )	The ratio of $VO_2$ to HR ( $O_2/HR$ ) Values can be reported at a single point in time, for example, peak $O_2/HR$ averaged over 15 s, or plotted to demonstrate a response across an entire CPET	Indirect measure of stroke volume response to exercise $O_2/HR$ plateau or reduction despite increases work rates, especially a lower-to-moderate work rates may indicate falling stroke volume and possible myocardial ischaemia/myocardial wall motion abnormality. Low $O_2$ pulse (<85% predicted) and early plateau/reduction in $O_2$ pulse indicate poorer prognosis

CHD, coronary heart disease; CHF, chronic heart failure; CPET, cardiopulmonary exercise testing; DXA, dual X-ray absorptiometry; VE, minute ventilation;  $VO_{2peak}$ , peak oxygen uptake;  $VCO_2$ , carbon dioxide elimination;  $VO_2$ , oxygen uptake.

expiratory volume in 1 s ( $FEV_1$ ) and forced vital capacity (FVC) measurements.<sup>48</sup>  $FEV_1$ , FVC and peak expiratory flow will be recorded. Maximum voluntary ventilation will be estimated using the calculation  $FEV_1 \times 40$ .<sup>49–51</sup>

### DXA scan

Body composition will be analysed using DXA (Lunar iDXA, GE Healthcare, Buckinghamshire, UK). Body composition analysis will be performed by the Lunar iDXA's integrated software. Total body mass, total body fat, compartmental body fat, lean body mass and compartmental lean body mass will be recorded for this study. Total body mass will be used for the calculation of BMI.

### Echocardiogram

A trained echocardiograph technician will conduct each echocardiogram. Standard echocardiogram techniques will be used including 2D, M-mode, pulse wave Doppler

to assess cardiac structure and function (systolic and diastolic). Left ventricular function will be determined from 2D echocardiography. Left ventricular function will be assessed by estimation on a categorical scale of normal, mild, mild-to-moderate, moderate, moderate-to-severe and severe. Left ventricular ejection fraction (LVEF) will be calculated using the Simpson's formula from measurements of end-diastolic and end-systolic volumes on apical 4-chamber and 2-chamber views, following the guidelines of Schiller *et al.*<sup>52</sup> Left ventricular systolic dysfunction (LVSD) will be diagnosed if LVEF is  $\leq 45\%$ . When LVEF cannot be calculated, LVSD will be diagnosed were LVEF  $\leq 45$  or there is at least 'mild-to-moderate' impairment.

### Carotid intima-media thickness

C-IMT will be measured using an automated ultrasound system (Panasonic CardioHealth Station,

Panasonic Biomedical Sales Europe BV, Leicestershire, UK). This system has low measurement variability in healthy and cardiac populations when investigations are conducted by experienced and inexperienced operator's alike.<sup>34,53</sup> C-IMT will be assessed using previously outlined methods.<sup>34</sup> Briefly, the CHS is equipped with a broadband probe (5–13 MHz) with a centre frequency optimised for carotid imaging. When correctly positioned over the CCA, automated integrated software locates the vessel's far wall using a region of interest tool. The CHS automatically captures a sequence of images at end-diastole by monitoring vessel distension characteristics and 'freezes' when predefined C-IMT boundary quality criteria are met. Multiple measurements taken from a 1 cm segment of the CCA located 1 cm proximally from the carotid bifurcation will be obtained. C-IMT will be measured at the right anterior (150°), lateral (120°) and posterior (90°) aspects and on the left anterior (210°), lateral (230°) and posterior (270°) aspects. Mean and maximum (max) IMT will be recorded to three decimal places. Image quality will be manually inspected and trace lines modified where required. To enhance measurement reproducibility, the probe is equipped with an accelerometer and gyroscope that tracks the angle (°) of insonation relative to ground. Each C-IMT measurement is recorded with the angle that the image was taken.

### Blood samples

Blood samples will be drawn and placed in a refrigerated (4°C) centrifuge at 3000 revolutions per minute, for 15 min. Routine testing will include full blood cell count, total cholesterol, estimated LDL cholesterol, HDL cholesterol, Triglycerides, kidney (eGFR) and liver function tests, non-fasting glucose and NT-proBNP. Additional blood serum and plasma samples will be stored in a –80°C freezer for future analysis of current and emerging biochemical markers of cardiovascular and metabolic health.

### Estimated all-cause mortality

A 5-year risk of all-cause mortality will be calculated for each patient using the CALIBER 5-year prognostic risk score for stable CHD phenotypes (<https://www.ucl.ac.uk/health-informatics/caliber>).<sup>40</sup> The CALIBER risk assessment model includes sociodemographics, CVD diagnosis and severity, CVD and non-CVD comorbidities, primary risk factors, psychosocial risk factors and plasma biomarkers.

### CR exercise intervention

Patients in the TG will undergo a routine 8-week (two times weekly, 16 sessions) CR exercise programme. A physiotherapist will conduct a one-to-one assessment before each patient commences exercise training. A personal exercise prescription will be developed for each individual. Patients will be asked to self-monitor exercise intensity and be encouraged to maintain a HR corresponding to 40%–70% of their predicted heart rate

**Table 3** Example cardiovascular and active recovery exercises

Cardiovascular circuit exercises	Active recovery exercises
Box stepping	Arm curls
Static cycling	Sit to stand
Treadmill walking	Wall press-up
Concept II rower	Leg curls
Marching on the spot	Lateral arm raises
Knee raises	Trunk rotation
Half stars	

reserve (HRR) or an exercise 'effort' between 'light' and 'somewhat hard' (11–14) on Borg's rating of perceived exertion.<sup>54</sup> Estimated training zones will be calculated using the Karvonen formula:

$$((206 - (0.7 \times \text{age})) - \text{resting heart rate} (-30 \text{ if taking beta-blockers})).$$

Heart rate will be monitored with a Polar heart rate monitor. HR and RPE will be recorded at the end of each CV exercise station. This conforms to the recommendations of the Association of Chartered Physiotherapists in Cardiac Rehabilitation<sup>55</sup> and the British Association of Cardiac Prevention and Rehabilitation<sup>56,57</sup> (ie, >20-min aerobic exercise at 40%–70% HRR). An example list of CV and active recovery (AR) exercises are displayed in [table 3](#).

Each exercise circuit will consist of a structured eight or nine station programme incorporating CV and AR exercises. CV exercises will initially be prescribed for approximately 1–2 min duration and up-titrated for each session depending on HR and RPE responses. The target CV exercise duration for each session will be 20 min although CV exercise duration may be less than this in the first instance.

### Statistical analysis

The primary endpoint for statistical analysis is the mean change in  $\text{VO}_{2\text{peak}}$  (mL/kg/min) from visit 1 to visit 2. For statistical purposes, visit 3 will be treated as a follow-up. This will establish the initial effect of the 8-week exercise intervention and any effects that it may have on CRF and cardiometabolic health over the 12-month study period. A main effect and an interaction effect for  $\text{VO}_{2\text{peak}}$  will be investigated using a general linear model (parametric approach). The number of patients achieving a  $\text{VO}_{2\text{peak}}$  improvement greater than 0.5 and 1.5 METs will also be reported.<sup>24,26</sup> These values correspond to improvements in CRF resulting from UK and international CR, respectively. Changes in other CRF variables will be discussed within the context of clinically meaningful thresholds ([table 2](#)) Baseline  $\text{VO}_{2\text{peak}}$ , age and the categorical covariate, gender will be entered as covariates in exploratory analysis. Significant differences in group characteristics identified at baseline will also be treated as covariates. Secondary outcome measures, including C-IMT, and both maximal and submaximal CRF fitness



measures will be evaluated using the same approaches and covariates as the primary outcome analysis. Continuous measures of exercise dose will be used to predict changes to peak  $\text{VO}_{2\text{peak}}$  and other CPET variables.

Data will be entered into SPSS by a single investigator who will maintain overall responsibility for data quality. The primary and secondary outcome analyses will be conducted at the conventional (two-sided) 5% alpha level. Where parametric data distribution allows, partial eta squared values will also be reported. To reduce the risk of false-positive claims, secondary analyses will be considered exploratory if non-significant results are obtained from the primary analysis. All analyses will be performed on an intention-to-treat basis. Analysis carrying the last observed values forward (baseline or 3-month outcomes) will be performed for patients lost to follow-up. A per protocol analysis will also be conducted. Patients completing at least 14 (out of 16) exercise sessions will be classed as having completed CR. No timeframe for completion will be imposed, as CR is typically extended to incorporate any missed exercise sessions. All data will be summarised and reported in accordance with the Consolidated Standards of Reporting Trials guideline.<sup>48</sup>

Power analysis, performed in G-Power<sup>58</sup> showed that 203 patients (total) would be needed to attain statistical significance between the two groups. This was based on an estimated post-intervention between group (TG compared with CG)  $\text{VO}_{2\text{peak}}$  difference of 2 mL/kg/min with a pooled SD of 4 mL/kg/min/. A 2 mL/kg/min difference was selected based on a predicted 0.52 MET (mL/kg/min) CRF increase recently reported in UK CR programmes.<sup>24</sup> A power of 90% and a group allocation ratio of 70% TG (123 participants) to 30% CG (80 participants) with a predicted study attrition rate of 15% were applied. The assumption of uneven group sizes was made based on a local audit reporting that more patients participate in structured exercise than decline (TG 57%; CG 43%).

Approximately 440 patients attend the local nurse-led CR clinic each year. With a recruitment rate of 10%, (44 patients per year) the study duration is estimated to be 5 years. The first patient was recruited in March 2014 and recruitment is ongoing. The study is expected to complete in March 2019. A formal interim analysis<sup>59</sup> on the primary and secondary outcomes will be conducted when 70 patients have completed the study (one-third of the cohort required on the a priori determined sample size). A decision on trial progression will be collectively made by the research team (estimated to be January 2018). A data monitoring committee will not be used owing to the observational nature of the study.

### CR exercise prescription analysis

Recent evidence<sup>11</sup> suggests that no single exercise component within CR is predictive of mortality outcomes. However, reductions in both total and cardiovascular mortality were reported in trials which reported high levels of participant exercise adherence compared with those recording lower levels.<sup>11</sup> Patients' exercise doses have also

been related to long-term survival outcomes.<sup>60</sup> Accordingly, all exercise training characteristics, including adherence to the programme, will be recorded. CV exercise duration achieved by each patient at each of their 16 CR sessions will be calculated and summed to report a total exercise training duration. To characterise exercise intensity during each exercise session, the mean of patients' HR following completion of all CV exercises for each session will be calculated. Patients' 'mean peak HR' for each exercise session will be pooled for analysis. A 'median of the mean' HR will be reported. 'Median peak HR' will be expressed as a percentage of the VAT determined from visit 1 CPET and relative to HRR obtained from visit 1 CPET. A simple composite score of intensity and CV exercise duration for each training session will be calculated and summed to provide an overall 'exercise dose' for each participant. The composite score will be:

$$\frac{\text{Mean peak HR}}{\text{Patients' CPET HRR} \times \text{CV exercise duration}}$$

As an additional marker of exercise intensity the mean of a patient's RPE following completion of an exercise session will be calculated (mean RPE). As with HR, patient's RPE scores for each exercise session will be pooled for analysis.

### Dissemination and impact

It is anticipated that throughout the trial, the experiences gained will be presented at national conferences and non-academic outlets such as national governing body publications. On completion, the study results will be published in peer-reviewed journals and presented at scientific meetings.

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**Patient consent** Obtained.

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