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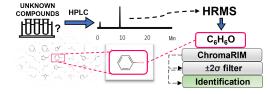
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# **Author Contributions**

Ardiana Kajtazi: Conceptualization, Visualization, Methodology, Software, Investigation, Formal analysis, Writing - Original Draft; Giacomo Russo: Validation, Writing - Review & Editing; Kristina
Wicht: Investigation; Hamed Eghbali: Supervision; Frédéric Lynen: Conceptualization, Visualization, Supervision, Writing - Review & Editing.

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# **Facilitating structural elucidation of small environmental solutes**

# 2 in RPLC-HRMS by retention index prediction

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# 10 Abstract

11 Implementing effective environmental management strategies requires a comprehensive 12 understanding of the chemical composition of environmental pollutants, particularly in complex 13 mixtures. Utilizing innovative analytical techniques, such as high-resolution mass spectrometry and 14 predictive retention index models, can provide valuable insights into the molecular structures of 15 environmental contaminants. Liquid Chromatography-High-Resolution Mass Spectrometry is a 16 powerful tool for the identification of isomeric structures in complex samples. However, there are some 17 limitations that can prevent accurate isomeric structure identification, particularly in cases where the 18 isomers have similar mass and fragmentation patterns. Liquid chromatographic retention, determined 19 by the size, shape, and polarity of the analyte and its interactions with the stationary phase, contains 20 valuable 3D structural information that is vastly underutilized. Therefore, a predictive retention index 21 model is developed which is transferrable to LC-HRMS systems and can assist in the structural 22 elucidation of unknowns. The approach is currently restricted to carbon, hydrogen, and oxygen-based 23 molecules <500 g mol<sup>-1</sup>. The methodology facilitates the acceptance of accurate structural formulas and 24 the exclusion of erroneous hypothetical structural representations by leveraging retention time 25 estimations, thereby providing a permissible tolerance range for a given elemental composition and experimental retention time. This approach serves as a proof of concept for the development of a 26 Quantitative Structure-Retention Relationship model using a generic gradient LC approach. The use of 27 a widely used reversed-phase (U)HPLC column and a relatively large set of training (101) and test 28 29 compounds (14) demonstrates the feasibility and potential applicability of this approach for predicting 30 the retention behaviour of compounds in complex mixtures. By providing a standard operating 31 procedure, this approach can be easily replicated and applied to various analytical challenges, further 32 supporting its potential for broader implementation.

33

34 KEYWORDS: HPLC-HRMS, Quantitative Structure- Retention Relationship model, In silico
 35 prediction, Retention index, Structural elucidation.

# 36 **1. Introduction**

37 Since the onset of this millennium, the ability of high-resolution mass spectrometry to elucidate the 38 elemental composition of unknown organic molecules has been steadily increasing (Boiteau et al., 39 2018; De Vijlder et al., 2018). The high mass accuracy in combination with isotope distribution 40 assessment allows now the minimization of a vast number of possible corresponding elemental 41 compositions down to a manageable few. The correct atomic composition can then in many cases fairly 42 easily be obtained based on chemical reasonability, stability, and relevance (De Vijlder et al., 2018). 43 Additional information can and should then also be obtained via MS/MS fragmentation analysis 44 whereby the elemental composition of the daughter ions should be a logical fragment of the parent 45 compound. Because the current instrumentation certainly allows successful implementation of this 46 protocol up to molecular weights of at least 500 g mol<sup>-1</sup>, the more challenging part of the identification 47 process is largely to be found in the subsequent structural elucidation problem (Boiteau et al., 2018; De 48 Vijlder et al., 2018; Liu et al., 2019).

49 The identification of previously known molecules via LC-MS can be performed if authentic 50 standards are available and/or if they appear in accessible public databases (such as METLIN, 51 PubChem, and Mass Bank) (Domingo-Almenara et al., 2019; Horai et al., 2010; Wen et al., 2018a). The ensuing identification is then also strongly reliant on mass fragmentography, which can, due to the 52 53 selectivity of HRMS, allow for the correct identification of the molecule or type of molecule. While 54 this does not exclude the possibility of misidentification due to positional isomer confusion, typically it 55 can be distinguished via chromatographic retention or by ion mobility measurements, whereby further 56 increased reliability is obtained when the information from different separation modes or conditions is combined (Eugster et al., 2014; Kumari et al., 2011). 57

58 By contrast, de novo structural elucidation of a priori truly unknown compounds, non-annotated in 59 databases, but for which an elemental composition can be obtained by HRMS, is more problematic 60 (Kumari et al., 2011). This would be typically solved via the combined implementation of various 61 spectroscopic techniques with a particularly strong emphasis on nuclear magnetic resonance 62 spectroscopy (NMR). NMR remains, however, limited due to the at least > 0.1 mg analyte quantity and

63 purity prerequisites, compelling the implementation of multi-repetitive tedious and costly preparative compound fractionation and purification protocols, prior to the spectroscopy (Witting and Böcker, 64 65 2020). While this is a well-nurtured approach in chemical or drug development processes, preparative 66 compound purification in life- or environmental sciences are often not feasible due to the too small 67 concentrations and high sample complexity usually involved (Szucs et al., 2021). Additionally, while 68 NMR is the most powerful tool for structural elucidation, the expert nature of the techniques and the 69 lack of specialist-free fully automated structural elucidations algorithms add other hurdles to the 70 challenge (Witting and Böcker, 2020). Another problem with the analysis of unknown solutes is that 71 often they are confused with known related compounds in the databases, whereby obtaining definitive 72 proof of the actual structure is difficult. Therefore, there is a strong need for the development of 73 additional tools allowing to gather structural information of solutes, also when they appear at trace 74 levels that are only detectable by mass spectrometry (Aalizadeh et al., 2021; Boiteau et al., 2018; Cui 75 et al., 2018; Liu et al., 2019).

76 While the available chromatographic retention information in LC-MS data has been for a long time 77 underused for such purposes, its increased implementation is now gradually emerging to assist in this 78 elucidation process (Gritti, 2023; Zheng et al., 2018). The main challenge therein is that unfortunately chromatographic retention as it is today cannot directly be related to the unambiguous and discrete 79 molecular characteristics hence leading to specific, "easily" understandable, and predictable behavior. 80 81 This comprising the fragmentation, absorbance or excitation processes observed in mass spectrometry, 82 UV/IR or NMR spectroscopy, respectively (Aalizadeh et al., 2021; Sagandykova and Buszewski, 2021). 83 On the other hand, the retention mechanism of e.g., the reversed phase LC mode is intuitively 84 understood by any chemist. Because also the purity of the stationary phases has concomitantly been 85 improving over time this has led to the many contemporary robust reversed phase methods ubiquitously 86 used in the strictest validated analytical environments (Haddad et al., 2021a). Hence, the composition, 87 structural formula, shape and e.g., the solvation of a molecular structure are all reflected through a particular resulting retention time. The latter can therefore also be considered a molecular characteristic 88 89 which offers a powerful tool in the search for the structural formula for a given elemental composition.

90 Much research has been performed with respect to the prediction of molecular retention time for a given structural formula for applications such as swifter method development, suitable column selection 91 92 and for enhanced compound elucidation within specific compound classes (Meshref et al., 2020; 93 Randazzo et al., 2016a; Wen et al., 2018b; Xu et al., 2023). Various retention models were thereby 94 introduced for Reversed-Phase Liquid Chromatography (RPLC), Hydrophilic Interaction Liquid 95 Chromatography (HILIC), and Ion Chromatography (IC) separation modes (Haddad et al., 2021a; 96 Randazzo et al., 2016a). Such algorithms are also increasingly successfully implemented for the 97 prediction of the retention time of a range of specific groups of analytes, such as lipids (Aicheler et al., 98 2015; Zheng et al., 2018), steroids (Randazzo et al., 2016a), peptides (Bouwmeester et al., 2021; Dorfer

99 et al., 2018), proteins (Palmblad et al., 2004), and more.

100 When predicting the chromatographic behavior, the Quantitative Structure-Retention Relationship 101 (QSRR) modelling has often offered a propitious solution in building a promising predictive model 102 (Kaliszan, 1993; Wen et al., 2018a). These mathematical models characterize retention relationships of 103 molecules and have been applied for the aforementioned chromatographic separation techniques, for 104 more than four decades (Amos et al., 2018). In these studies, the model is often used to predict the 105 retention of a target group of compounds to acquire either faster identification and/or greater 106 comprehension of the retention mechanism (Héberger, 2007). The first step involves collecting the 107 experimental retention time of a known training set, such as to be able to build a predictive model that 108 relates retention to the most relevant and broadly applicable molecular characteristics of the training set 109 (Haddad et al., 2021b). Such methods have also been used to predict a variety of molecular 110 characteristics such as retention time (RT) (Ma et al., 2018; Randazzo et al., 2016b; Szucs et al., 2021; 111 Wen et al., 2019; Yang et al., 2021), retention factor (k) (Ruggieri et al., 2005a), logKw (Codesido et 112 al., 2019), logP (Datta et al., 2021), logD (Köhler et al., 2023), and ability to permeate through 113 biological membranes (Russo et al., 2017). Recently the QSRR approach has been increasingly used to 114 prove or disprove the composition of classes of molecules characterized by their modular nature such as peptides or lipids in combination with HRMS/MS (Bouwmeester et al., 2021; Dorfer et al., 2018; 115 116 Hutchins et al., 2018; Ma et al., 2018; Tiwary et al., 2019). The challenges which have thus far refrained

this approach from becoming universally applicable or broadly applied are multifaceted and appearmainly related to standardization and transferability.

119 On the one hand, unfortunately, much QSRR work has also often been performed on RPLC columns 120 or with chromatographic conditions which are less broadly used. Additionally, the transferability of the 121 resulting retention data to any HPLC instrument type is as important. Considering the notoriously 122 difficult method transfer between different instruments or geographic locations, predictive QSRR 123 models based on retention time or even retention factor are therefore also inherently limited (Haddad et 124 al., 2021a). Additionally, the absence of easily accessible open source information and of fully 125 transferable workflows has also been hindering the development of a gold standard for LC-HRMS based 126 structural elucidation of unknown organic solutes for which an elemental composition has been 127 obtained. Today high-resolution mass spectrometry offers a powerful tool for reasonably reliable 128 prediction of the elemental composition of complete unknowns. Combinations with QSRR then allows 129 translation of the latter into all possible hypothetical structural formulas, for which the corresponding 130 predicted retention (time, factor, or index) can be compared with the experimental retention. This allows 131 removing of a large number of impossible structural formulas for a given retention time.

132 The proposed research aims to enhance the structural elucidation of unknown environmental solutes with a molecular weight of less than 500 g mol<sup>-1</sup> (MW<500 g mol<sup>-1</sup>) that contain carbon, hydrogen, or 133 134 oxygen atoms. To achieve this, the study presents a novel approach that uniquely combines HRMS and 135 retention information to build a predictive Chromatographic Retention Index Model (ChromaRIM). The 136 transferability of the strategy is maximized through the translation of the retention information into 137 retention indices (RI) on one of the most used stationary and mobile phase combinations, with a gradient spanning the entire elution range. The methodology is tested with known and unknown organic solutes 138 139 of wastewater treatment relevance.

140

# 2. Experimental

# 141 **2.1 Chemicals and reagents**

142HPLC grade acetonitrile (MeCN), methanol (MeOH), and ethanol (EtOH) were obtained from143Sigma–Aldrich (Steinheim, Germany). Milli-Q grade water (18.2 mΩ cm<sup>-1</sup>) was purified and deionized

144 in-house by a Milli-Q plus instrument from Millipore (Bedford, USA). Formic acid (FA), 99% purity,

145 was supplied from Sigma–Aldrich (Steinheim, Germany). The 115 neat standard compounds (purity >

146 98%) were obtained from TCI EUROPE N.V. (Zwijndrecht, Belgium) and Sigma–Aldrich (Steinheim,

147 Germany).

148 **2.2 Sample preparation** 

Stock solutions of training and test compounds were prepared in concentrations from 1-10 mg mL<sup>-1</sup> in MeCN, EtOH, and MeOH, depending on their solubility. Once the stock solutions were prepared, they were stored in the fridge or freezer (4 °C/ -18 °C). Standard working solutions were diluted to the concentration of 1-20  $\mu$ g mL<sup>-1</sup> in 60:40 (Milli-Q water: Organic solvent) and prepared on the day of analysis.

# 154 **2.3 Instrumentation and method development**

Chromatographic separation was performed on a 1200 series HPLC system (Agilent Technologies, 155 156 Waldbronn, Germany). The system was constructed out of a 1200 binary pump equipped with a 1200 157 degasser, a 1200 auto injector, and a 1200 variable wavelength detector (VWD) equipped with a 2  $\mu$ L 158 microflow cell. RP-LC measurements were performed on a Kinetex Core-shell C18 2.6 µm, 150 x 2.1 159 mm (Phenomenex, Torrance, CA, USA) with an optimal flow rate of 400 µL min<sup>-1</sup>. The latter was 160 determined by measuring a reference test mixture isocratically 60:40 (Milli-Q: MeCN) at different flow rates allowing for plate numbers  $(N) > 27\ 000$ . The LC mobile phase, (A) Milli-Q grade water (18.2)161 162 m $\Omega$  cm<sup>-1</sup>) and (B) MeCN, were both prepared with 0.1% of FA. Injection volume was 2 µL and the 163 detection for all analytes was recorded at 210 nm, whereas for ketone reference mixture at 280 nm. The 164 column temperature was kept at 30 °C during all analyses. To obtain the most general approach methods 165 were operated from 5-95% (B) in 1) 10 min, 2) 20 min and 3) 40 min followed by re-equilibration with 166 5% B for the next 10 min. To test the reproducibility and repeatability of the data, these 3 separation methods were performed under the above-listed conditions with random selection of 60 compounds, 167 168 with differentiation in the linear gradient (Table S2). To generate the predictive model, method 2 was 169 used for further calculations. Full MS (Section S7) was obtained using Q Exactive Orbitrap (Thermo 170 Fisher Scientific). Scan range was 50-500 m/z, Automatic Gain Control (AGC) target was 1e6, Maximum IT was set to 100 ms, and the resolution was 280 000. Detailed ESI parameters for positiveand negative mode can be found in Table S10.

173 **2.4 Data collection and molecular descriptor selection** 

174 The retention times of all compounds were measured in triplicate and intra- and inter-repeatability 175 were calculated. Subsequently the corresponding RI were calculated according to Kovats RI method 176 usually applied in gradient gas chromatography (Equation SE1). The structures of all compounds were 177 transferred into a Simplified Molecular Input Line Entry System (SMILES) format using ChemDraw 178 and the file was imported as such in the free (of charge) website "Online chemical database" to calculate 179 molecular descriptors of choice using the tool DescriptorsCalculator. A total number of 1879 molecular descriptors were used comprising (2) ALogPS descriptors (Tetko et al., 2005; "Virtual Computational 180 181 Chemistry Laboratory," n.d.) and (1877) AlvaDesc v.2.0.14 (Mauri, 2020) from which (198) 2D AlvaDesc descriptors (including constitutional descriptors, Topological indices and P\_VSA-like 182 183 descriptors) and (1677) 3D AlvaDesc descriptors (comprising the following categories: Geometrical descriptors, 3D matrix-based descriptors, 3D autocorrelations, RDF descriptors, 3D-MoRSE 184 185 descriptors, WHIM descriptors, GETAWAY descriptors, Randic molecular profiles, Functional group 186 counts, 3D Atom Pairs, Charge descriptors, Molecular properties, CATS 3D, and WHALES). The value 187 for each descriptor for each solute was calculate via AlvaDesc and exported to Excel.

188

# 2.5 QSRR model validation

189 The QSRR model was calculated using VEGA ZZ 3.2.1.33 (Pedretti et al., 2021), where the 190 experimental RI was a dependent variable and molecular descriptors were the independent variables. 191 Pre-processing of the data was done by normalization min-max feature scaling. The initial screening of 192 descriptors involved two steps: evaluating zero variance and conducting a single-variable regression 193 analysis (Danishuddin and Khan, 2016). Furthermore, by evaluating the variance inflation factor (VIF), 194 collinear descriptors were recognized and those with VIF > 5.00 were disregarded. With the remaining 195 37 descriptors the best models were calculated with both leave-one-out (LOO) cross-validation and by 196 randomly splitting the dataset into 71:30 pairs of training and test sets in 10 trials. Lastly, the best QSRR 197 model including 7 descriptors was used in the identification of unknown compounds by predicting their

198 RI. Internal validation was statistically determined with VEGA ZZ (Table S4-S7), and external 199 validation was done by introducing 14 external test compounds. The assessment of applicability domain 200 (AD) was presented in the Williams plot (Figure S4) using standardized residuals and leverages. For 201 the unknowns for which the elemental composition was known, the list of possible structures with the 202 same molecular masses was downloaded from ChemSpider in SDF format, after which molecular 203 descriptors were calculated in the same way. All experimental chromatograms and graphs were processed using OriginPro 9.0 (OriginLab Corporation, Northampton, MA.). Simulation 204 205 chromatograms were constructed with Microsoft Excel.

## 206

# 3. Results and discussion

207 This study explores the ability of reversed phase liquid chromatography to confirm or eliminate 208 proposed structures for organic solutes based on their elemental composition. The first phase involves 209 the development of a gradient HPLC methodology that is broadly applicable. This methodology has the 210 potential to be established as a standard approach for chromatography-supported structural elucidation. 211 All retention data is therefore translated towards RI, which are subsequently used to build a QSRR 212 model allowing to accept or reject the retention of structures in a given molecular space. Emphasis is thereby not set on the ability to predict the retention times or indices for specific molecules in the best 213 214 possible way, but on the capacity of the given model to provide useful and as reliable as possible exclusion or inclusion of structural predictions for C, H, O <500 g mol<sup>-1</sup> compounds, when compared 215 216 with the experimental retention of an unknown. In the second part of the work the implementation of 217 the model is rigorously tested. It is thereby shown that it can be used to correctly accept or reject the 218 many hypothetical structural formulas which can be drawn for a given elemental composition. Because 219 the latter quickly leads to an astronomical number of possible structures, it is not realistic with 1D-220 HPLC to pinpoint only the right structure, but it does offer the ability to remove a vast number of 221 chromatographically impossible structures for a given experimental retention time and atomic 222 composition of an unknown solute. Assuming a robust model is used one can then select the predicted 223 structures, eluting in the range of the experimentally obtained one, as the most probable structures of 224 the true unknown. The latter can then be further refined via conventional exploitation of the MS/HRMS

info. The current ChromaRIM approach can serve as platform method for this purpose but can also be considered as a first keystone method in multidimensional approaches whereby each added separation dimension further refines and restrict the search zone.

The work is therefore subdivided into a development section comprising 1) the HPLC method selection/development, 2) selection of the compounds and of the charted molecular space and data collection 3) conversion to retention indices, 4) descriptor selection and attrition and 5) construction of the most suitable QSRR model. The model is then 6) internally assessed and also tested with known environmentally relevant solutes (for additional external consolidation) and finally 7) implemented using the developed model. The general strategy for both the development and implementation is represented in Figure 1A and B.

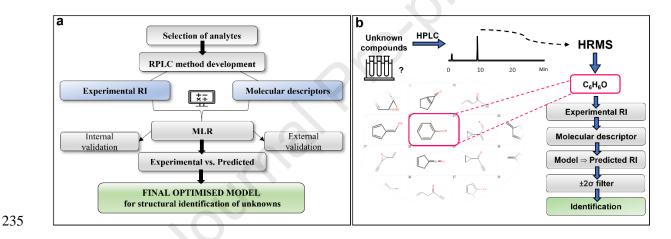


Figure 1. Representation of the workflow applied to develop the model (a) and of the proposed
implementation by the user (b).

# 238 **3.1 Selecting a generic RPLC method**

Proposing "the most" universal RPLC column and method is inherently ambiguous as this depends on the geography or field of application. In this current work the implementation of acetonitrile/water/0.1% formic acid gradients on a core-shell RPLC is proposed for this purpose. RPLC is selected because it is the most broadly applied separation mode (Majors, 2018). A benefit of this mode is that it can retain both neutral compounds as such, or ionized solutes via protonation (for acids) or ion pairing (for bases) when using MS-compatible acidic conditions (e.g., with 0.1% formic acid). Because the versatility of RPLC inherently leads to large differences in polarity of the possible analytes,

the use of gradients allowing both retention and elution of all solutes is essential. Acetonitrile is thereby the most suitable choice as it depicts high eluotropic strength, low viscosity, inertness, and excellent MS compatibility. A 150 x 2.1 mm ID core shell-based method was selected because it allows implementation in both HPLC and UHPLC while ensuring easy hyphenation to mass spectrometry. A core shell type of stationary phases was selected as such type is increasingly used, while being highly efficient at a lower pressure drop as compared to full porous particles (González-Ruiz et al., 2015; Tanaka and Mccalley, 2015).

253

# 3.2 Selection of compounds as a function of the molecular space of interest

Within a molecular space composed of only C, H and O up to 500 g mol<sup>-1</sup> a large number of different elemental compositions can occur, leading to billions of possible corresponding structural formulas. Selection of the most representative data set is thereby inherently ambiguous and fraught with challenges. Emphasis was therefore set on the selection of compounds allowing broad coverage of the separation space.

A variety of conventional C<sub>x</sub>H<sub>y</sub>O<sub>z</sub> organic solutes, pharmaceuticals, compounds of environmental 259 260 concerns were selected for this purpose, such as to cover the molecular space in the best possible way. 261 Van Krevelen plots and ALogPS logP vs. MW representations were used for this (Figure 2, Table S1). 262 The former illustrates a broad coverage in the amount of unsaturations (from 1 for the ketone ladder compounds to 11 for alizarin) while spanning a fair polarity range reflected through the O/C ratio range 263 264 from 0 (for e.g., toluene) up to 0.6 for 2,5 dihydroxy-benzoic acid. A reflection of the polarity and hence 265 water solubility is also obtained through visualization of the logP's vs. the MW, where it can be seen that the logP's range from close to 0 up to 7 and in this way e.g., outspan the range of typical 266 267 pharmaceutical solutes. This also covers the applicability range of gradient RPLC as more polar solutes (saccharides) would barely be retained and more apolar solutes (petrochemical compounds) require 268 269 stronger elution conditions with less generic solvents. Highly oxygenated or unstable species 270 (saccharides, peroxides, or aldehydes) were avoided due to low compound retention or stability issues involved. Additionally, the expected C, H, O, functional groups were comprised in the dataset (alcohols, 271 272 carboxylic groups, ketones, esters, aromatic, linear, and branched solutes, etc.).

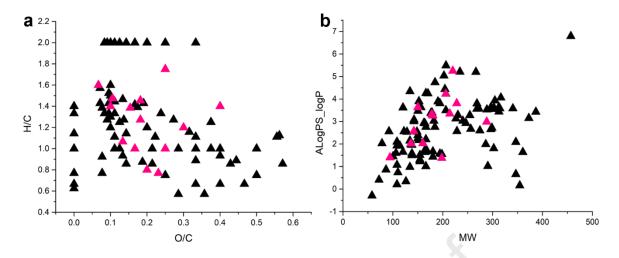


Figure 2. Representation of the (a) Van Krevelen plots (H/C vs. O/C ratio's) and of the (b) ALogPS logP vs. MW of the 101 training set (black) and 14 test set (pink).

# 276

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### **3.3 Data collection and conversion to retention indices**

277 Although the purpose of this work was to introduce one broadly applicable gradient profile, the 278 retention of 60 solutes was also measured (in triplicate) with 3 gradient profiles spanning the full elution 279 range in 10, 20 or 40 min. (Section S2, Table S2). This to obtain insight in the robustness of the proposed 280 method. The error on the repeatability of the retention times (n=3) was below 1% in all cases (and below 281 0.1% for 53% of the triplicate analyses) and hence in line with the expectations for HPLC. 282 Subsequently, the data was converted to RI. This such as to allow easier method transfer and instrument 283 independent model implementation. Although, this still imposes usage of the same stationary and mobile phase and to some extent gradient slope, it does allow disconnection from the column 284 285 dimensions, flow rate, instrument and e.g., connection types used (Rigano et al., 2018). While the use 286 of linear RI is an established approach, strongly supporting the identification of unknowns in gas 287 chromatography, the field of HPLC has been mostly hindered by a lack of standardization on this issue. 288 The latter is partially driven by the aspect that the relationship between RI and the carbon number in 289 HPLC is quasilinear and not rectilinear as in GC (Rigano et al., 2018; Smith et al., 1987; Weitzel et al., 290 2011). Due the more complex elution process in RPLC in which the compound hydrophobicity is the 291 main, but not the only, parameter controlling the elution, it is challenging, if not impossible, to identify 292 a homologues series of detectable solutes generally depicting a completely linear behaviour over the 293 entire elution range covered by the gradient. Problematic therein is that mere presence of a UV-

294 chromophore or API-MS compatible functional group in the calibration series affects linearity and 295 hence limits the broadest possible implementation. Depending on the application in RPLC different 296 types of calibration series have been proposed including alkan-2-ones, alkyl aryl ketones or 1-297 nitroalkenes (Baker, 1979; Baker and Ma, 1979; Bogusz and Aderjan, 1988; Bogusz and Wu, 1991; 298 Smith, 1982). In this work the former ones are used (from 2-propanone to 2-dodecanone)(Baker and 299 Ma, 1979). This because the alkyl aryl ketones comprise aromatic groups which are complicating the 300 linearity between carbon number and retention. Also, the nitroalkenes are only incrementally useful 301 for mapping the very polar solutes, a zone in which a hydrophobicity based predictive model is anyhow 302 less performant (Baker and Ma, 1979; Bogusz and Aderjan, 1988; Smith, 1982). Because there has also 303 been a lack of standardization in terms of the equation to be used to calculate the RI, the RI vs. carbon 304 number plots were constructed for the alkan-2-ones ladder according to the various possible 305 linearization methods (Figure S1). While none of the plots allows complete linearity it can be seen that 306 over 90% of the plot excellent linearity is obtained and that only in the very low, below 2-butanone, or 307 high retention regime, above 2-nonanone, a deviation is occurring. Considering that additionally 308 linearity is a preferential but not an essential prerequisite for the use of RI, this data illustrates that use 309 of RI in the proposed strategy and in RPLC is certainly a viable approach. Because several equations 310 led to the same degree of linearity and/or the conventional gradient Kovats retention index Equation 311 SE1 led to the highest correlation coefficient (0.97), to simplify the approach the latter was consequently 312 used (Arigò et al., 2021).

# 313

# 3.4 Selection of a model and descriptor types

A QSRR method allows linking the molecular properties of an analyte to the chromatographic retention under given stationary and mobile phase conditions. Both linear models such as multiple linear regression (MLR) or Partial Least Squares regressions (PLS) or, nonlinear models, such as neural networks, have extensively been used for this purpose (Cirera-Domènech et al., 2013). ANN approach can be more flexible for modelling when using both linear and non-linear functions, but compared to MLR, the infrastructure is more complex (Ruggieri et al., 2005b). In the current work MLR modelling was selected as it allows obtaining robust, easy to reproduce via freely accessible software and therefore

321 more transferable, models. A retention relationship (a linear equation) is thereby constructed between a dependent variable (RI), and multiple independent variables, comprising a limited number of 322 323 molecular descriptors. The identities and weight of the optimal descriptors are selected during the 324 construction of the model. During model usage the actual value of each descriptor is then a priori 325 calculated via software for each structural formula to allow subsequent retention time/index prediction 326 by simple completion of the linear MLR equation. The contemporary availability of over 5000 chemo-327 informatics based molecular descriptors makes selection of the most suitable ones an increasingly 328 challenging task, whereby models can easily lead to erroneous predictions when descriptor selection is 329 suboptimal. Note that if too many of the available descriptors are used when creating a model, this does 330 not lead to better and higher accuracy, but to overfitting, narrowing down the implementation range of 331 the equation instead of making it generic (Sagandykova and Buszewski, 2021). A variety of 2-332 dimensional (2D), 3-dimensional (3D) molecular descriptors or other descriptors (such as scaffolds and 333 fingerprint types) can today be directly obtained through online chemical databases. In order to allow 334 selection from the broadest possible and most recent set of molecular descriptors available, in this work 335 they were obtained through the AlvaDesc application. Therein 1879 descriptors were selected in the initial pool providing structural information such as molecular topology, flexibility, geometry. 336

337

# 3.5 Optimized descriptors selection and model construction

338 The MLR model construction and subsequent descriptor selection was performed through the 339 VEGA ZZ software, which also allowed obtaining up-front model validation information. The initial 340 screening of descriptors involved evaluating zero variance, which means removing any feature that has 341 the same value for all the samples, as it does not add any information for the model. The second step 342 involves conducting a single-variable regression analysis, which helps in identifying the features that 343 are most relevant to the output variable, where poorly correlated ones were excluded ( $r^{2}<0.1$ ). 1800 of 344 the 1879 descriptors were removed in this way as unable to contribute usefully to a combined MLR 345 model. After conducting an evaluation of the variance inflation (VIF), it was determined that the 346 remaining set contained collinear descriptors, leading to a reduction of the set to 37 relevant descriptors. 347 The chemometrics used for feature selection in this study are commonly employed for high-dimensional

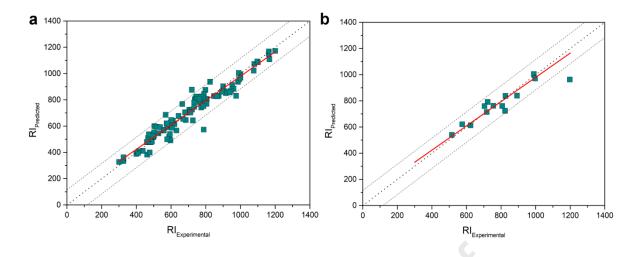
data to eliminate descriptors with no variation and identify strongly correlated descriptors, while more
advanced methods like principal component analysis, partial least squares, or random forest regression
may be needed to capture complex relationships and non-linear interactions between descriptors
(Danishuddin and Khan, 2016).

352 The most suitable MLR model was then obtained through the leave-one-out (LOO) optimization 353 algorithm, including calculation and ranking of the figures of merit of each possible equation. The most 354 excluded compound corresponded to a steroid testosterone undecanoate. The software itself allows 355 models with up to 8 regressors, and all were tested. Finally, the best-optimized model (n-1), depicting 356 a correlation  $r^2 = 0.93$ , was chosen comprising 7 variables, showing the lowest standard error of 357 prediction (SE=58). Another algorithm ( $r^2 = 0.90$ ) with 3 regressors was also observed and was suitable 358 for the same purposes of this work but with a slightly larger deviation (Equation SE2). Note that, using 359 high number of regressors can seemingly lead to enhanced models, but this could cause overfitting, and 360 hence lead to a less generically applicable model and errors. Furthermore, using a single global model 361 provides computational efficiency, simplified interpretation and implementation, versatility in handling 362 various analytes, and the ability to identify trends and patterns across multiple analytes, outweighing 363 the potential limitations of using local models and structurally similar training sets. In this way the following Equation 1 was obtained allowing implementation for the predicting the RI values of all 364 possible hypothetically possible structural formulas for a given experimentally observed solute. 365

$$366 \quad RI_{predicted} = 489.9565 + 358.6790 A Log PS_{log P} - 465.4977 A Log PS_{log S} - 249.3834 Psi_{i_A} + 367 \qquad 465.8030 Chi_G + 304.7962 RBN + 150.6071 TDB06p + 144.8038 LOC$$
(1)

The model comprises 7 descriptors from which 2 ALogPS (ALogPS\_logP and ALogPS\_logS) and 5 AlvaDesc (Psi\_i\_A, Chi\_G, RBN, TDB06p, and LOC). Unsurprisingly, a first descriptor selected therein is  $ALogPS_logP$ , representing the logarithm of the *n*-octanol/water partition coefficient. With a correlation of  $r^2$  value of 0.78, in the single variable regression, it illustrates that indeed the hydrophobic retention on a highly endcapped silica based C18 column is mostly, but not only, based on compound's lipophilicity. Although, logD might be a more expected solution if other elements such as nitrogen were also comprised, the full protonation of carboxylic groups under the used conditions ensures compound

375 neutrality and hence the same result as one would expect with logD, while allowing use of the simpler, 376 and hence somewhat more robust logP calculations (Dong et al., 2009). ALogPS\_logS, another 377 descriptor with high correlation value (0.63) represents aqueous solubility of a compound. As expected, 378 more water soluble compounds proved less retained. Although, a collinearity with ALogPS logP could 379 be reasonably expected, statistically this was not the case (VIF<5) (Sun, 2004). Psi i A (intrinsic state pseudoconnectivity index – type S average), a third descriptor of the model from a group of topological 380 indices, with an  $r^2$  value of 0.62, was also withheld. These 2D descriptors (distance-, degree-, and 381 382 spectrum-based), also known as connectivity indices, are based on the intrinsic and the 383 electrotopological state values, which have shown beneficial correlations multiple times in literature 384 when building QSAR, QSPR, or QSRR models (Chu et al., 2021; Ling et al., 2019). Furthermore, it 385 was observed for  $Chi_G$  (Randic-like index from geometrical matrix, a 3D matrix-based descriptor, 386 with  $r^2=0.34$ ), that with increasing retention, the value drops. This descriptor could assist in 387 distinguishing cyclic molecules (higher values) from more branched ones (lower values), as it contains 388 the information of degree of branching as well as the molecular folding (Eichenlaub et al., 2022). The 389 RBN (number of rotatable bonds) parameter, describes the number of any single bonds allowing the free 390 rotation and is related to the size and flexibility of the molecule (Falcón-Cano et al., 2022). TDB06p 391 (3D Topological distance based descriptors – lag 6 weighted by polarizability), a 3D autocorrelation type of descriptor,  $r^2=0.21$ , describing the shortest length distance between two atoms in a molecule 392 393 with an emphasis to the polarizability of the molecule. Previous research showed that polarizability of 394 a molecule can highly affect the elution order in RPLC (Andrade-Eiroa, 2011; Klein et al., 2004). 395 Finally, the LOC (lopping centric index) descriptor belonging to the group of topological indices (with a correlation of 0.11) was the final descriptor selected in the model. Furthermore, it can represent the 396 397 molecular branching degree, where the value increases with more branching graphs (Todeschini and 398 Consonni, 2010; Yu, 2019).





400 Figure 3. Linear fit displays RI predicted vs. RI experimental (a) for training set and (b) test set.

# 401 **3.6 Model performance assessment with known environmentally relevant solutes**

402 In Figure 3a the predicted RI as obtained via Equation 1 is represented versus the experimental RI for all 101 compounds, delivering a plot depicting a correlation of  $r^2 = 0.93$ . Only 4% of data points did 403 404 not fit into  $\pm 2\sigma$ , and 74% fit  $\pm 1\sigma$ , where  $\sigma$  (SE=58) is the standard deviation of the errors (Table S3). 405 In order to assess the predictive accuracy of the model with unrelated molecules from outside the 406 training set, it was subsequently tested with 14 compounds of environmental and pharmaceutical 407 relevance (Figure S3). An overlay of the thereby obtained predicted and experimental RI is shown in 408 Figure 3b, where obtained results fitted the 95% confidence margin, except one compound, 2.6-di-tert-409 butyl-4-methyl phenol (BHT). The latter solute was, however, eluting after the latest eluting reference 410 compound from the ketone ladder (2-dodecanone), and is therefore too retained to fall into the 411 applicability range of the developed algorithm. The diverse test set were selected to span the molecular 412 space as represented in Figure 2. As can be seen in Figure 4 representing the experimental vs the 413 predicted retention (Table S8), 13 out of the 14 compounds meet the deviation margin, except for BHT 414 depicting a larger error due to above-mentioned reason. Although, for BHT, the real RI is impossible 415 to calculate, this was done by estimating the elution time of the next ketone elution in order. In general, 416 it can, thus be concluded that for solutes falling into the range for which the model was designed 417 (comprising only C, H, O, MW<500 and eluting between acetophenone and 2-dodecanone) that a predictive deviation  $\pm 2\sigma$  or  $\pm 116$  RI is a realistic reliability threshold, which can be used in the structural 418 419 elucidation work (Section 3.7).

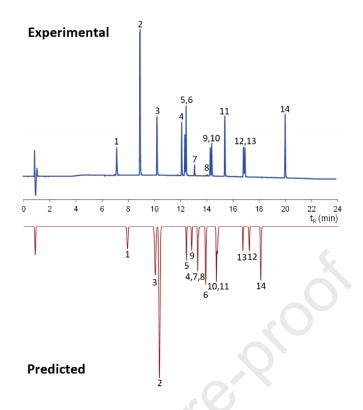




Figure 4. Overlay of the experimentally obtained retention of 14 solutes not used during the model
design with the predicted values. Peak identity: 1) phenol; 2) 2,7-dihydroxy naphthalene; 3) toluic acid;
4) propylparaben; 5) 1,3 butanediol diacrylate; 6) bisphenol A; 7) testosterone; 8) trans-2-hexenyl
acetate; 9) 3-tert-butyl-4-hydroxyanisole (BHA); 10) 4-ter-butyl benzoic acid; 11) diphenyl carbonate;
12) 4-hexylbenzoic acid; 13) butyl phenyl ether; 14) 2,6-di-tert-butyl-4-methyl phenol (BHT).

# 3.7 Implementation of the model to assist in de novo structural identification of unknown solutes by RPLC-HRMS

The actual goal of this work is to implement such models to support the structural elucidation 428 process of unknowns for which the elemental compositions and experimental retention times/indices 429 430 were obtained. The rationale is thereby that the developed model should be able to predict the retention 431 index of every hypothetic structural formula that can be drawn for a given elemental composition, 432 whereby the proposed structures eluting outside the  $\pm 2\sigma$  margin can be excluded upfront. The challenges therein are the astronomical number of possible structures that can be drawn for a given 433 434 atomic composition and the current absence of embeddable algorithms allowing both generation of all 435 structures and incorporation in the proposed workflow. Another approach can be the use of the publicly 436 available libraries, which contain a number of possible known structures. While the former strategy is

437 ideally preferential, in order to demonstrate the current possibilities of the model, the proposed438 hypothetic structures were in this case obtained from the ChemSpider database (Pogliani, 2000).

439 This approach was tested with all 14 compounds used in section 3.6 and 6 out of 14 were presented 440 in the text below: phenol, propylparaben, diphenyl carbonate, BHA, p-toluic acid and 1,3 butanediol 441 diacrylate (for the remaining 8 solutes see Table S9). These were used as "unknowns", for which 442 predicted elemental compositions were obtained. Using ChemSpider as a database source, for the 443 phenol case, the elemental composition ( $C_6H_6O$ ) led to 83 hypothetical structures. When plotting the 444 corresponding RI for the obtained structures and definition of the  $\pm$  116 RI error margin zone above 445 and below the experimental RI of the unknown (Figure 5a), it can be seen that only 17% of the proposed structures is eliminated. Specifically, the number of 83 possible structures thereby dropped to 69, one 446 447 of which indeed corresponded to the predicted RI of phenol. The predicted RI (539) of the correct 448 phenol structure thereby deviated 24 RI from the experimental value (515). While this illustrates that 449 the proposed 1-D HPLC based predictive modelling method cannot on itself allow for sufficient attrition of all the incorrect structures, the proposed tool can be powerful in combination with the other available 450 451 structural elucidation information. The structure of phenol can therefore, from the shortlisted of 69 452 solutes, subsequently be obtained via e.g., mass (MS/MS) and UV spectrometric information, via comparison with standards, but also through chemical stability assessments (as most, if not all, of the 453 454 non-aromatic hypothetic structures are highly reactive). The database delivered 3576 possible structural 455 formulas for the elemental composition  $(C_{10}H_{12}O_3)$  of propylparaben. It can be seen in Figure 5b that 456 all structures deliver a RI <588 and >820, after applying the model, elimination of the erroneous 457 structures obtained was 42%. The left over indeed comprises the correct structure of propylparaben 458 depicting a  $\Delta RI=55$  between the experimental (704) and predicted (759) RI value. In a fully analogous 459 way, all RI for the possible structural formulas corresponding to  $C_{13}H_{10}O_3$  are represented in Figure 5c. 460 Subsequent comparison with the experimental RI illustrates that the correct structure of diphenyl carbonate is included in a shortlist comprising only 136 of the 985 structures, corresponding to removal 461 of 86% of the incorrect structures. Furthermore, Figure 5d shows another successful removal of 67% 462 of impossible tentative structures for  $C_{11}H_{16}O_2$ , where 279 out of 849 remain for identification of BHA. 463

464 Somewhat less removal was obtained for p-toluic acid case,  $C_8H_8O_2$  (Figure 5e). Out of 582 possible structures generated, 28% can be eliminated. While the predictive accuracy for this solute is good 465 466  $(\Delta RI=12)$  the large number of possible RI in the vicinity of the correct structures leaves the user with (too) many remaining possible solutions. Lastly, for the following elemental composition,  $C_{12}H_{18}O_4$ , 467 468 database comprised 2886 possible structural compositions. After applying a model, remaining 917 were 469 left for further identification of 1,3 butanediol diacrylate allowing up to 68% of elimination (Figure 5f). 470 This limited number of examples illustrates the potential of the approach while proving the concept. 471 A remaining hurdle with easy implementation of the ChromaRIM approach is the need to develop 472 integrated software which can automatically generate all possible structures for a given atomic 473 composition (or link to the public databases), calculate the corresponding descriptor values, generate 474 the corresponding RI and eliminate all impossible (or at least improbable) ones in single automated 475 procedure. While such integrated software is under development, the current work is mainly intending 476 to introduce the principle, workflow, and an already applicable protocol to accept or exclude possible structures using ChromaRIM website ("Home page - ChromaRIM," n.d.). It should be stressed that with 477 478 the provided information the reader is already having all the required information to implement the tool for structural elucidation purposes. To assist this process, a user friendly standard operating procedure 479 is therefore added with the supplementary information (section S5) to help the user in implementing the 480 current model. Our website also contains an application allowing automated calculation of the RI based 481 on the provided retention times, which will be further enhanced towards automated library search as 482 483 this work is further progressing. Ideally in the future such an algorithm could be embedded in the LC-484 HRMS software for fully automated implementation.

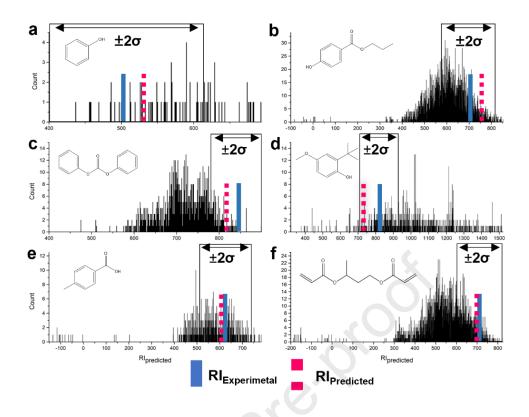


Figure 5. Representation of the calculated RI for all obtained structures from ChemSpider for (a) phenol, (b) propylparaben, (c) diphenyl carbonate, (d) 3-tert-butyl-4-hydroxyanisole (BHA), (e) ptoluic acid, and (f) 1,3 butanediol diacrylate. The zone eliminated by  $a \pm 2\sigma$  or  $\pm 116$  RI deviation above and below the experimental value of the elution time (converted to RI) is indicated together with the experimental elution index and the predicted one for the correct structure.

# **4**91 **4. Conclusions**

485

492 In this work a QSRR methodology is developed to assist in the structural elucidation of unknown 493 solutes composed of carbon, hydrogen, and oxygen with a molecular weight of up to a 500 g mol<sup>-1</sup>. The 494 methodology was specifically developed to be instrument-independent and hence fully and easily 495 transferable and reproducible on any (U)HPLC-HRMS system. For this purpose, the predictive 496 algorithm was developed on a broadly used reversed phase column (Kinetex, core-shell C18) with 497 generic water/acetonitrile + 0.1% formic acid gradients covering the full range in eluotropic strengths. 498 By data conversion to RI (with a ketone ladder) transferability is facilitated. An optimized multiple 499 linear regression-based model was developed based on the retention of 101 training solutes, whereby 500 an initial number of 1879 possible descriptors were screened. The latter were fine-tuned down to 7

501 remaining most influential descriptors in a linear equation allowing optimal prediction for all training 502 solutes. This offers a model which can effectively be used for the prediction of the RI of unknowns 503 within the predefined separation space. While, due to the sheer number of molecules in the latter is 504 impossible to test the model with all solutes, the accuracy of the latter proved to allow correct RI 505 prediction within a  $\pm 2\sigma$  range (mostly  $\pm 1\sigma$ ) for all test solutes not included in the training set and eluting 506 within the ketone ladder. This suggest that broad implementation of the model is foreseeable. The 507 applicability of the model is demonstrated through the correct elimination of large fractions of all 508 possible structural formulas for a given elemental composition, effectively simulating the situation one 509 would be confronted with when performing LC-HRMS. In all the six treated examples the model 510 allowed correct elimination of a significant percentage of the incorrect structural formulas, whereby the 511 RI of the correct structure was always within the remaining possible structures. The tool therefore 512 appears applicable to support the identification of unknown C, H, O containing solutes < 500 g mol<sup>-1</sup>.

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# **Highlights**

- Chromatographic Retention Index Model (ChromaRIM) in RPLC-HRMS
- Structural elucidation of small environmental solutes assisted by developed model
- Supporting unknown identification of C<sub>x</sub>H<sub>y</sub>O<sub>z</sub> molecules < 500 Da
- The model implementation was demonstrated with 6 relevant compounds •
- Elimination of a significant % of the incorrect structural formulas was achieved •

# **Declaration of interests**

☑ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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