

FLORA: Fuzzy based Load-Balanced Opportunistic Routing for Asynchronous Duty-Cycled WSNs

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Abstract—Existing Opportunistic routing (OR) schemes work well with asynchronous duty-cycled wireless sensor networks (WSNs), which effectively reduces the sender waiting time by broadcasting packets to a set of forwarders instead of a predetermined forwarder. However, these protocols seriously suffer from the multiple receivers problem which distinctly shortens the network lifetime. Many opportunistic routing protocols view each node as equal importance, neglecting the fact that the nodes close to the sink undertake more duties than the rest of network nodes. Therefore, the nodes located in different positions should play different roles during the routing process. Unlike existing solutions, this paper presents a novel fuzzy logic-driven routing protocol, which consists of three parts. Firstly, each node defines a *Routing Zone (RZ)* to address the problem resulting from the randomness of node deployment. Secondly, the nodes within RZ are prioritized based on competency value obtained through the fuzzy-logic model. Finally, one of forwarders is selected as the final relay node after forwarders coordination. Through extensive experimental simulations, it is confirmed that FLORA achieves better performance compared to its counterparts in terms of energy consumption, overhead packets, waiting times, packet delivery ratio and network lifetime.

Index Terms—fuzzy routing, opportunistic routing, duty cycle, load balancing, wireless sensor networks.



1 INTRODUCTION

A Wireless Sensor Network (WSN) consists of a large number of sensor nodes. These nodes are randomly deployed in the sensor field to collect the sensory data and send it to the sink employing multi-hops mechanism. One of the most important constraints on sensor nodes is the limited power resource. This makes energy efficiency greatly important when designing routing protocols.

Duty-cycling, an effective mechanism for energy saving, is widely used in WSNs [1]. In this mechanism, the node periodically switches between active and sleep states according to predefined active/sleep intervals [2]. One of the two approaches, synchronous and asynchronous, is commonly utilized for coordinating active/sleep states in the MAC layer. In the synchronous approach, MAC layer synchronizes the active/sleep states so that the sender and its receiver simultaneously wake up to forward packets. Nevertheless, this incurs a great number of control messages to maintain time synchronization among nodes. Different from the synchronous approach, the protocol running the asynchronous approach efficiently reduces the number of control messages since nodes randomly wake up according to predefined active/sleep periods. However, this increases the packet delivery delay and the sender waiting time

as the sender has to wait until its forwarder wakes up and receives the packet. Fortunately, *Opportunistic Routing (OR)*, benefiting from the broadcast characteristic of wireless mediums, is suitable for asynchronous duty-cycled wireless sensor networks (WSNs) by broadcasting packets to a set of forwarders instead of a single predetermined forwarder [3]. This effectively reduces the packet delivery delay and the sender waiting time. However, selecting multiple forwarders can cause multiple receivers problem (packets duplication problem) since multiple receivers may simultaneously wake up and receive the same packet. This leads to unnecessary energy consumption and a high traffic load. Additionally, if the ACK packet collides at the sender, this will cause a rise in the sender waiting time since the sender continuously transmits preamble packets until successfully receiving an ACK packet [2]. As a result, the sender waiting time reduced by selecting multiple receivers may be offset by the collision of ACKs. In such case, the opportunistic routing may perform even worse than single receiver based routing.

Many protocols, such as LORA [2] and ORR [4], have been proposed to balance the sender waiting time and the packets duplication problem. However, these protocols view each node as equal importance and neglect the fact that the nodes close to the sink have to undertake more duties, receiving data from farther nodes and forwarding data to the sink, than the nodes far from the sink do, which imposes the nodes close to the sink to deplete their energy faster and significantly degrades the performance of network including, but not limited to, the network connectivity and the network lifetime. Therefore, the nodes located at different positions should play different roles during the routing

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process. We call this *the location characteristics of nodes* or *the roles of nodes*. For example, the nodes whose number of hops to the sink is one should directly forward packets to the sink instead of employing the multi-hops mechanism according to *data transmission in one-hop region* as explained in [5]. The nodes whose number of hops to the sink are either two or three should pay more attention to the energy of their neighbors than the transmission distance and the direction angle factors. This leads to longer network lifetime and the nodes far from the sink should forward packets to the nodes close to the sink as soon as possible.

Considering the QoS metrics such as low waiting time, few duplicate packets and long network lifetime, the routing decision in OR is influenced by multiple attributes (multiple criteria or multiple factors). For example, the transmission distance, the direction angle and the residual energy are the most common attributes involved during the selection of next hop node. The routing decision is essentially a multiple criteria decision making problem. Fuzzy logic does not only provide appropriate methods to represent multiple criteria involved during the forwarders selection, but also provides efficient solutions to identify the relationships among different criteria and to aggregate these criteria. This enables the fuzzy logic to work well in dealing with the multiple criteria decision making problem.

Motivated by the aforementioned observations, we propose a Fuzzy based Load-Balanced Opportunistic Routing for Asynchronous Duty-Cycled WSNs (FLORA) to achieve *the location characteristics of nodes* and infer the final routing decision affected by various criteria. The main contributions of our work are described as follows.

1) We introduce fuzzy logic into the design of *opportunistic routing* protocol. We propose a fuzzy-logic model, in which the *Takagi-Sugeno-kang* (TSK) interface [6] is used to infer the competency value of each node. Compared to traditional fuzzy-logic model, our model directly outputs a value with no need for the defuzzification process.

2) A modified *Analytic Hierarchy Process* method is designed to achieve the location characteristics of nodes by assigning different control parameters to nodes located at different positions.

3) To route packets toward the sink and deal with the problem resulting from the randomness of node deployment, each node defines a routing zone. Moreover, a novel approach combined with routing zone is proposed to control the number of forwarders. In this approach, each node has one of the two actions, *forward* and *drop*. Only the nodes whose action is *forward* have qualification to become potential forwarders.

The rest of this paper is organized as follows. Section 2 explains the related works. The preliminary knowledge of our work is described in Section 3. Section 4 is devoted to the main idea of our protocol. The performance is analyzed in Section 5. Section 6 elaborates simulation setup and experimental results. Finally, Section 7 concludes this paper.

2 RELATED WORK

Significant efforts have been devoted to improving the network performance. In this section, we review two main approaches for improving the network performance, *Opportunistic Routing* and *Fuzzy-based Routing*.

2.1 Opportunistic Routing

Opportunistic Routing (OR) is an efficiently promising paradigm to improve the performance of wireless networks by exploiting the multiple transmission opportunities actualized by the broadcast nature of the wireless medium [3]. S. Biswas *et al.* [7] proposed *Extremely Opportunistic Routing* (ExOR) which first clearly shapes and exhibits the structure of opportunistic routing concept. In ExOR, each node chooses a prioritized set of potential forwarders based on a metric called *Expected Transmission Count* (ETX). Although ExOR increases the throughput of network compared to single-path traditional routing, it still suffers from some shortcomings, such as waiting time, duplicate transmissions and being sensitive to link quality. O. Landsiedel *et al.* [8] proposed *Opportunistic Routing in Wireless Sensor Networks* (ORW) using a metric called *Expected Duty Cycled Wakeups* (EDC). ORW efficiently reduces the sender waiting time and energy consumption by utilizing an asynchronous low-power-listening MAC and using lightweight mechanisms to ensure a unique forwarder in case of multiple receivers. Unfortunately, it still generates a mass of redundant packets and degrades the performance of the network. Later, *Opportunistic Routing Based on Residual Energy* (ORR) [4] is proposed to address the load balancing problem of energy and the duplicate transmissions problem. ORR considers residual energy factor in the design of the metric and calculates its optimal number of forwarders according to forwarding cost estimation. However, it incurs a great number of control messages and costly computational cost since the sink periodically collects the entire network information and recursively computes its optimal number of forwarders. Recently, A. Hawbani *et al.* [2] proposed *Load-Balanced Opportunistic Routing for Asynchronous Duty-Cycled WSN* (LORA). In LORA, each node defines a *Candidates Zone* (CZ) to restrict the number of candidate nodes. These nodes are locally prioritized based on neighbors information and only the nodes within the zone are allowed to forward data packets. Although LORA achieves better performance compared to its counterparts, LORA assigns the same control parameters to each node and ignores the location characteristics of nodes like protocols mentioned above. We found that the location characteristics of node have positive impacts on the network performance.

2.2 Fuzzy-based Routing

The fuzzy logic is a promising technique in dealing with the multiple criteria decision making problem. A large number of fuzzy-based protocols (e.g. [9], [10], [11], [12], [13], [14], [15]) are proposed for clustering tasks, selecting cluster-head (CH), computing cluster size or forming cluster. These protocols can be categorized into two groups, equal-clustering protocols (e.g. [9], [10], [11]) and unequal-clustering protocols (e.g. [12], [13], [14], [15]), according to whether the size of each cluster is equal. *Cluster Head Election mechanism using Fuzzy logic* (CHEF) [9], a distributed CHs election algorithm, introduces fuzzy logic into WSNs to optimize the energy consumption. In CHEF, the probability of each node to be CH is computed by considering nodes residual energy and distance between nodes and the sink. Like CHEF, *Distributed*

Fuzzy Logic-based Clustering algorithm (DFLC) [10] and *Energy Aware Distributed Clustering using Fuzzy Logic* (EADC-FL) [11] are also distributed CHs election algorithms. DFCL designs a filter mechanism before CHs election to reduce the overhead of unnecessary data packets. In DFCL, the probability of each node to be CH is computed according to five factors, energy, centrality, distance to the sink, number of hops, and node density. Unlike CHEF, EADC-FL performs clustering on demand instead of each round in order to reduce the extra energy dissipation resulting from consecutive clustering phases. In EADC-FL, the probability of each node to be CH depends on node energy, node degree and node centrality factors among which the node energy is a primary factor to elect CHs. Compared to non-cluster protocols, equal-clustering protocols improve the network lifetime to some extent. Unfortunately, it still brings negative influences on load balance of the entire network. H. Bagci *et al.* [12] proposed *Energy Aware Unequal Clustering with Fuzzy* (EAUCF), which is a distributed competitive unequal-clustering algorithm. In EAUCF, the competition range of each node is computed by considering residual energy and the distance to the sink. A node with the highest residual energy within the competition radius is selected as the CH. However, EAUCF causes the unreasonable energy depletion at the CH. To deal with this issue, R. Logambigai *et al.* [13] proposed *Fuzzy Based Unequal Clustering* (FBUC). Like EAUCF and FBUC, *Low-Energy Adaptive Unequal Clustering Protocol using Fuzzy C-Means* (LAUCF) [14] and *Distributed Unequal Clustering using Fuzzy Logic* (DUCF) [15] are also unequal-clustering protocols. LAUCF uses *Fuzzy C-Means* (FCM) to select CHs to uniform energy dissipation among the CHs. Unlike LAUCF, DUCF selects CHs by considering node residual energy, node degree and the distance to the sink. Although unequal-clustering protocols outperform equal-clustering protocols to some degree in terms of energy consumption and network lifetime, these protocols bring extra overhead for forming and maintaining clusters. M. R. Minhas *et al.* [16] proposed *Fuzzy Multiobjective Routing Algorithm* (FMO) which is a centralized non-cluster algorithm. Compared to cluster protocols, FMO avoids the process of clustering tasks. Unfortunately, it induces immeasurable cost for finding the minimum weight path from the source to the sink. In FMO, for each routing request, the sink firstly collects the information of nodes. Then, the sink tries to find a minimum weight path from the source node to itself using *Dijkstra's Shortest Path Algorithm* [17]. Finally, the source node sends data packets along the minimum weight path.

Different from the aforementioned routing schemes, we propose FLORA, which is a non-cluster, fuzzy logic based, and distributed opportunistic routing protocol for asynchronous duty cycled WSNs. FLORA efficiently avoids the process of clustering tasks and locally selects next-hop according to the information sensed from neighbor nodes instead of the information collected from the entire network. Additionally, FLORA realizes positive impacts of the roles of nodes on the network performance and takes the roles of nodes into consideration during the routing process.

3 PRELIMINARIES

This section is devoted to elaborating three issues. i) The assumptions. ii) BoX-MAC protocol running in MAC layer. iii) Fuzzy logic introduced in this article.

TABLE 1
NOTATIONS

Notation	Description
\mathbb{N}	The network. $\mathbb{N} = \{n_1, n_2, n_3, \dots\}$. $n_i \in \mathbb{N}$ is a sensor node.
\mathbb{N}_i	The neighbors set of node n_i .
m_i	The size of \mathbb{N}_i , $m_i = \mathbb{N}_i $
n_s	The source node.
n_d	The sink node.
e_*	The initial energy.
$h_{i,d}$	The number of hops from n_i to n_d .
$d_{i,j}$	The transmission distance from sender node n_i to its neighbor node n_j .
$\vartheta_{i,j}$	The direction angle between n_i and n_j considering the location of the sink node n_d .
$e_{i,j}$	The residual energy of neighbor node n_j of n_i .
$\tilde{d}_{i,j}$	The transmission distance crisp parameter of neighbor node n_j of n_j .
$\tilde{\vartheta}_{i,j}$	The direction angle crisp parameter of neighbor node n_j of n_j .
$\tilde{e}_{i,j}$	The residual energy crisp parameter of neighbor node n_j of n_j .
$\wp_{i,j}$	The competency value of neighbor node n_j of n_i .
$\mathfrak{R}_{i,j}$	The forwarding qualification of neighbor node n_j of n_i .
\mathcal{C}_i	The routing zone of the sender node n_i .
ϱ	The sensing range.
ϕ	The communication range.

3.1 Assumptions

We assume that all sensor nodes are randomly deployed in a specific area. Each node is static and is aware of its own geographical coordinate (x_i, y_j) through any localization scheme such as the one studied in [18]. It is worth noting that an error of 6 meters (typical of GPS positioning [19]) is between 6% and 12% of the typical transmission range, which means it may have impacts on the protocol behavior. The network is formed from a set of a finite number of nodes, denoted by $\mathbb{N} = \{n_1, n_2, \dots, n_i, \dots\}$. Any sensor node $n_i \in \mathbb{N}$ has a limited sensing range ϱ , communication range $\phi = 2 * \varrho$ and non-renewable energy. The initial energy of n_i is set to e_* . We define the neighbors set of n_i as \mathbb{N}_i , a subset of \mathbb{N} , here $\mathbb{N}_i = \{n_j \mid n_j \in \mathbb{N} \text{ and } d_{i,j} < \phi\}$ where $d_{i,j}$ denotes the *Euclidean distance* from n_i to n_j . And the size of \mathbb{N}_i is denoted by $m_i = |\mathbb{N}_i|$. We used the same interference model as ORR [4]. The *First Order Radio Model* [20] is employed to compute the energy consumption of n_i . The notations used frequently in this paper are listed in Table 1.

3.2 BoX-MAC

BoX-MAC [21] is an asynchronous low power listening MAC protocol meeting duty-cycling. Each node utilizes the duty-cycled mechanism and periodically switches between active and sleep modes. In BoX-MAC, when a sender transmits a packet, its intended forwarders probably are in sleep mode. Therefore, the sender continuously transmits the packet until either one of its intended forwarders wakes up and receives the packet or its timer expires. When a

sensor node wakes up, it checks the channel. If the channel is idle, this node will switch to sleep mode. Otherwise, if there exists a packet, the node will verify the packet to decide whether to receive it or discard it. The node sends back an ACK to the sender after receiving the packet. When the sender receives an ACK, it stops transmitting.

3.3 Fuzzy Logic

This subsection presents the basics of fuzzy logic covering four aspects. i) Fuzzy set theory. ii) Fuzzification interface. iii) Fuzzy rules. iv) Analytic Hierarchy Process (AHP). More details about fuzzy logic can be found in [22], [23].

3.3.1 Fuzzy Set Theory

The fuzzy set theory, introduced by Lotfi A.Zadeh, is a powerful method for dealing with the uncertainty and imprecision resulting from limited knowledge in decision making processes. A fuzzy set is a set of pairs $\mathbb{A} = \{(x_i, \mu_{\mathbb{A}}(x_i)) \mid x_i \in \mathbb{X}\}$, where x_i is an alternative in a decision, $\mathbb{X} = \{x_1, x_2, \dots, x_i, \dots\}$ and $\mu_{\mathbb{A}}(x_i)$ is a membership function of x_i in a given objective \mathbb{A} , which maps each value x_i in \mathbb{X} to a number within 0 and 1. The number indicates the degree of satisfaction of x_i to the objective \mathbb{A} .

3.3.2 Fuzzification Interface

Through the *Fuzzification Interface* composed of the classification of crisps and membership functions, each input value, such as the transmission distance $d_{i,j}$ between n_i and n_j , is first converted into corresponding crisp parameter. Then, each crisp parameter is mapped into a crisp item modeled as a fuzzy set which is characterized by a corresponding fuzzy membership function [24]. For example, the fuzzy linguistic variable used for defining the “*transmission distance*” includes *Close*, *Medium* and *Far* items. Assuming $d_{i,j} = 45$ and $\phi = 50$, we first get the transmission distance crisp parameter $\tilde{d}_{i,j} = 0.9$ using Eq. (4). Then, the $\tilde{d}_{i,j}$ is mapped to *Far* membership function according to crisps classification listed in Table 2. There are different types of membership function to describe various fuzzy linguistic variables in different situations, such as triangular, trapezoidal and singleton membership functions. The most commonly used membership function types are triangular and trapezoidal membership functions.

3.3.3 Fuzzy Rules

Fuzzy rules, expressed by various modalities, are widely used to deduce fuzzy output from input linguistic variables in the fuzzy logic system. The most commonly used fuzzy rule is “if-then” rule defined on the basis of experience and expertise. The rule can be written as the form: *if χ_1 is \mathfrak{R}_1^m and χ_2 is \mathfrak{R}_2^m and \dots and χ_k is \mathfrak{R}_k^m , then $\mathbb{F}(\chi_1, \chi_2, \dots, \chi_k)$ is \mathcal{C}^m* , where χ_i stands for the i th input variable, m denotes the m th rule in the fuzzy rules, $\mathbb{F}(\chi_1, \chi_2, \dots, \chi_k)$ is a fuzzy linguistic term, \mathfrak{R}_i^m and \mathcal{C}^m are corresponding fuzzy sets for χ_i and $\mathbb{F}(\chi_1, \chi_2, \dots, \chi_k)$ respectively.

3.3.4 Analytic Hierarchy Process

The Analytic Hierarchy Process (AHP) as introduced in [25] is a useful tool for identifying the relationships among criteria by constructing a pairwise comparison matrix, where each entry $a_{i,j}$, a constant between 1 and 9, reveals the importance of the i th criterion relative to the j th. For example, the entry $a_{i,j} = 2$ denotes that the importance of the i th criterion is twice that of the j th criterion. A pairwise comparison matrix, including m criteria and denoted by A^* , is shown in Eq. (1). Note that $a_{i,k} * a_{k,i} = 1$ and $a_{i,i} = 1$. Each entry $\bar{a}_{i,j}$, in the normalized pairwise comparison matrix \bar{A}^* derived from A^* , is computed using Eq. (2). Finally, the weight corresponding to each criterion is formulated by Eq. (3).

$$A^* = \begin{bmatrix} a_{1,1} & a_{1,2} & \cdots & a_{1,m} \\ a_{2,1} & a_{2,2} & \cdots & a_{2,m} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m,1} & a_{m,2} & \cdots & a_{m,m} \end{bmatrix} \quad (1)$$

$$\bar{a}_{i,j} = \frac{a_{i,j}}{\sum_{k=1}^m a_{k,j}} \quad (2)$$

$$w_i^k = \frac{\sum_{l=1}^m \bar{a}_{k,l}}{m} \quad (3)$$

4 THE PROPOSED PROTOCOL

According to the fact that the nodes close to the sink deplete their energy faster than the rest of network nodes, FLORA is proposed to infer the final routing decision and accomplish the roles of nodes by assigning different roles to nodes from different tiers. Nodes in the same tier have the same roles and the i th tier includes the nodes whose number of hops to the sink is i . The concept of the tier is explained in Fig. 1. The basic idea of this work is described as follows. i) Each node n_i defines a *Routing Zone* (RZ) to address the problem resulting from the randomness of node deployment. ii) The nodes within RZ are prioritized based on competency value obtained through the fuzzy-logic model considering the location characteristics of nodes. iii) One of forwarders is selected as the final relay node after forwarders coordination. The rest of this section is organized as follows. Subsection 4.1 introduces the fuzzy-logic model proposed for FLORA. The *Routing Zone* is explained in Subsection 4.2. Subsection 4.3 illustrates the *Forwarders Selection*. Finally, the *Forwarders coordination* is described in Subsection 4.4.

4.1 Fuzzy-Logic Model Proposed for FLORA

The fuzzy-logic model proposed for FLORA, as shown in Fig. 2, is used to compute the competency value of each node. To design this model, some basic fuzzy logic concepts are considered. Before introducing these concepts, we first elaborate the working process of the model as follow. Each input value is converted into a crisp parameter. After the classification of crisps, each crisp parameter is mapped to a crisp item modeled as a fuzzy set which is characterized by a corresponding fuzzy membership function [24]. And then, we designed a modified AHP method, constructing a dynamic pairwise comparison matrix where each entry is a function related with the number of hops to the sink, to

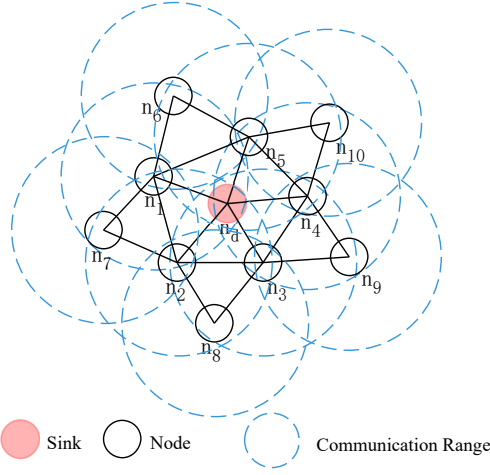


Fig. 1. The solid line between n_i and n_j indicates that n_i and n_j can communicate directly with each other. $h_{1,d}, h_{2,d}, h_{3,d}, h_{4,d}$, and $h_{5,d}$ are 1. $h_{6,d}, h_{7,d}, h_{8,d}, h_{9,d}$, and $h_{10,d}$ are 2. The 1th tier consists of n_1, n_2, n_3, n_4 , and n_5 . The 2th tier is formed from n_6, n_7, n_8, n_9 , and n_{10} .

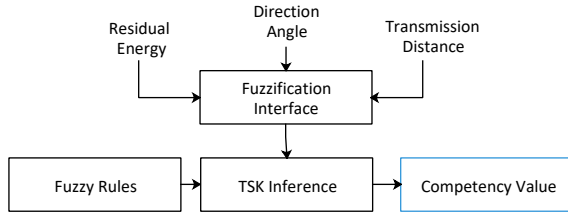


Fig. 2. Structure diagram of the fuzzy logic proposed for FLORA.

evaluate the relationship among the items. Finally, the TSK interface as introduced in [6] is exploited to infer the final competency value according to the fuzzy rules.

4.1.1 Crisp Parameter

We choose values of transmission distance, direction angle and residual energy metrics as the input of our model. Each crisp parameter is calculated on the basic of corresponding input variable.

The transmission distance from the sender node n_i to each its neighbor node n_j is expressed as a random variable $d_i = (d_{i,1}, d_{i,2}, \dots, d_{i,m_i})$. Here, $d_{i,j}$ is the *Euclidean distance* from n_i to n_j , which is calculated by $d_{i,j} = \sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$ and is shown in Fig. 3 (a). Based on the random variable d_i , the transmission distance crisp parameter is denoted by $\tilde{d}_i = (\tilde{d}_{i,1}, \tilde{d}_{i,2}, \dots, \tilde{d}_{i,m_i})$ where $\tilde{d}_{i,j}$ is computed by Eq. (4).

$$\tilde{d}_{i,j} = \frac{d_{i,j}}{\phi} \quad (4)$$

The direction angle, related with the location of the n_i , n_i 's neighbor nodes and the sink n_d , is defined as a random variable $\vartheta_i = (\vartheta_{i,1}, \vartheta_{i,2}, \dots, \vartheta_{i,m_i})$. The $\vartheta_{i,j}$ shown in Fig. 3 (b) is calculated using Eq. (5) where $\vec{a} = (x_j - x_i, y_j - y_i)$ and $\vec{c} = (x_d - x_i, y_d - y_i)$. Based on the ϑ_i , the direction angle crisp parameter, denoted by $\tilde{\vartheta}_i = (\tilde{\vartheta}_{i,1}, \tilde{\vartheta}_{i,2}, \dots, \tilde{\vartheta}_{i,m_i})$, is calculated using Eq. (6).

$$\vartheta_{i,j} = \arccos \frac{\vec{a} \cdot \vec{c}}{\|\vec{a}\| \cdot \|\vec{c}\|} \quad \forall n_j \in \mathbb{N}_i \quad (5)$$

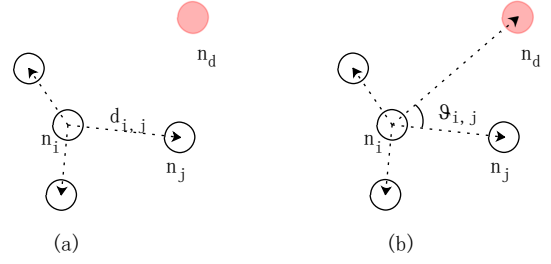


Fig. 3. (a) shows the transmission distance $d_{i,j}$ from the current sender node n_i to its neighbor node n_j . (b) shows the direction angle $\vartheta_{i,j}$ from n_i to n_j with respect to the location of the sink n_d .

$$\tilde{\vartheta}_{i,j} = \frac{\vartheta_{i,j}}{\pi} \quad \forall n_j \in \mathbb{N}_i \quad (6)$$

For the sender node n_i , we define the residual energy of its neighbor node n_j as $e_{i,j}$ and the random variable $e_i = (e_{i,1}, e_{i,2}, \dots, e_{i,m_i})$ stands for the residual energy of each its neighbor nodes. Based on the random variable, the residual energy crisp parameter, $\tilde{e}_i = (\tilde{e}_{i,1}, \tilde{e}_{i,2}, \dots, \tilde{e}_{i,m_i})$, is obtained by Eq. (7).

$$\tilde{e}_i = \frac{e_{i,j}}{e_*} \quad \forall n_j \in \mathbb{N}_i \quad (7)$$

4.1.2 Crisps Classification

Each crisp parameter is mapped to a corresponding linguistic item, according to the crisps classification as shown in Table 2. The ranges in this table are empirically obtained based on massive simulation results. For the node n_i , we define transmission distance linguistic item of each its neighbor nodes as $\vec{d}_i = (\vec{d}_{i,1}, \vec{d}_{i,2}, \dots, \vec{d}_{i,j}, \dots, \vec{d}_{i,m_i})$. Similarly, the direction angle linguistic item and the residual energy linguistic item of n_i 's neighbor nodes are defined as $\vec{\vartheta}_i = (\vec{\vartheta}_{i,1}, \vec{\vartheta}_{i,2}, \dots, \vec{\vartheta}_{i,j}, \dots, \vec{\vartheta}_{i,m_i})$ and $\vec{e}_i = (\vec{e}_{i,1}, \vec{e}_{i,2}, \dots, \vec{e}_{i,j}, \dots, \vec{e}_{i,m_i})$ respectively. For instance, if $d_{i,j}$ is equal to 0.9 within the range $(\frac{67}{100} \sim 1)$, then $\vec{d}_{i,j}$ is *Far*.

TABLE 2
Crisps Classification

Transmission Distance					
Item	Close	Medium	Far		
Range	$0 \sim \frac{29}{100}$	$\frac{29}{100} \sim \frac{67}{100}$	$\frac{67}{100} \sim 1$		
Direction Angle					
Item	ExtraSmall	Small	Medium	Large	SuperLarge
Range	$0 \sim \frac{2}{225}$	$\frac{2}{225} \sim \frac{1}{3}$	$\frac{1}{3} \sim \frac{43}{90}$	$\frac{43}{90} \sim \frac{3}{4}$	$\frac{3}{4} \sim 1$
Residual Energy					
Item	Low	Medium	High		
Range	$0 \sim \frac{3}{10}$	$\frac{3}{10} \sim \frac{7}{10}$	$\frac{7}{10} \sim 1$		

4.1.3 Membership Functions

A fuzzy set is graphically represented by a corresponding membership function. Various membership function types are used to describe different fuzzy linguistic terms, such as triangular, trapezoidal and singleton functions. In this paper, the triangular membership function and the trapezoidal membership function are employed to describe boundary items and intermediate items in a fuzzy set respectively. The most widely used graphs of the triangular and trapezoidal membership functions are shown in Fig. 4. The membership

functions for boundary items and intermediate items used in this paper are obtained based on the graph shown in Fig. 4 and some of our own experimental experience.

Now, an example involving transmission distance attribute is used to illustrate how to obtain the transmission distance membership function which is depicted in Fig. 5. Here, *Close*, *Medium* and *Far* are the left boundary item, the intermediate boundary item and the right boundary item, respectively. Thus, *Close*, *Medium* and *Far* transmission distance membership functions should have a similar shape to l_1 , l_3 and l_2 , respectively. Considering the roles of nodes, there are some differences. For example, when the value of transmission distance crisp parameter is within the range (0 ~ 0.29), the graph of the *Close* transmission distance membership function is designed as a slanted line rather than a horizontal line.

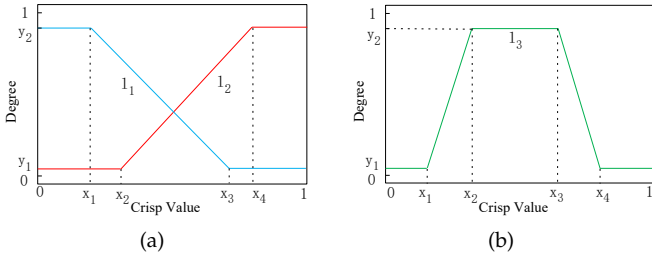


Fig. 4. (a) shows the graph of triangular membership function where l_1 and l_2 are used to describe a left boundary item and a right boundary item respectively. (b) shows the graph of trapezoidal membership function. Here, l_3 is used for describing intermediate items.

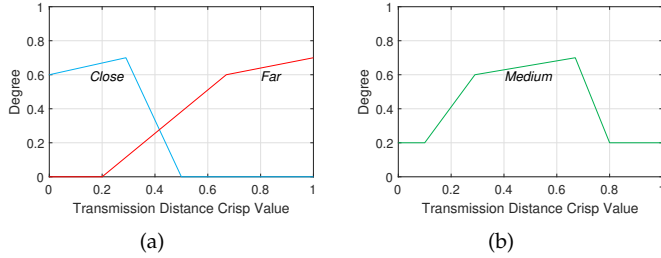


Fig. 5. (a) shows the graph of *Close* and *Far* transmission distance membership function. (b) shows the graph of *Medium* transmission distance membership function.

1) Transmission Distance Membership Function

A fuzzy linguistic variable is utilized to define the “*transmission distance*”, which is denoted by T with three items set $\mathbb{V}(T) = \{Close, Medium, Far\}$. Each item is shaped by a fuzzy set as follows. $T_C = \{(x, \mu_C^T(x)) \mid x \in [0, 1]\}$ defines the *Close* fuzzy set, where $\mu_C^T(x)$ represents the *Close* membership function and $\mu_C^T(x)$ is formulated by Eq. (8).

$$\mu_C^T(x) = \begin{cases} 0.34483 \cdot x + 0.6, & 0 \leq x < 0.29 \\ -3.33333 \cdot x + 1.66667, & 0.29 \leq x \leq 0.5 \\ 0, & otherwise \end{cases} \quad (8)$$

The *Medium* transmission distance membership function is defined by $T_M = \{(x, \mu_M^T(x)) \mid x \in [0, 1]\}$ where $\mu_M^T(x)$ represents the *Medium* transmission distance membership function and is formulated by Eq. (9).

$$\mu_M^T(x) = \begin{cases} 2.10526 \cdot x - 0.01053, & 0.1 \leq x < 0.29 \\ 0.26316 \cdot x + 0.52368, & 0.29 \leq x < 0.67 \\ -3.84615 \cdot x + 3.27692, & 0.67 \leq x < 0.8 \\ 0.2, & otherwise \end{cases} \quad (9)$$

Similarly, $T_F = \{(x, \mu_F^T(x)) \mid x \in [0, 1]\}$ defines the *Far* fuzzy set, in which $\mu_F^T(x)$ is the *Far* membership function and is formulated by Eq. (10).

$$\mu_F^T(x) = \begin{cases} 1.2766 \cdot x - 0.25532, & 0.2 \leq x < 0.67 \\ 0.30303 \cdot x + 0.39697, & 0.67 \leq x < 1.0 \\ 0, & otherwise \end{cases} \quad (10)$$

2) Direction Angle Membership Function

The “*direction angle*” is defined as a fuzzy linguistic variable, denoted by D with five items $\mathbb{V}(D) = \{ExtraSmall, Small, Medium, Large, SuperLarge\}$. Each item correspondingly represents a fuzzy set as follows. The *ExtraSmall* set is defined by $D_{ES} = \{(x, \mu_{ES}^D(x)) \mid x \in [0, 1]\}$, where $\mu_{ES}^D(x)$ represents the *ExtraSmall* membership function as given in Eq. (11). $D_S = \{(x, \mu_S^D(x)) \mid x \in [0, 1]\}$ defines the *Small* fuzzy set where $\mu_S^D(x)$, formulated by Eq. (12), is the *Small* membership function.

$$\mu_{ES}^D(x) = \begin{cases} -3.6036 \cdot x + 1.0, & 0 \leq x < 0.0555 \\ -1.79978 \cdot x + 0.89989, & 0.0555 \leq x < 0.5 \\ 0, & otherwise \end{cases} \quad (11)$$

$$\mu_S^D(x) = \begin{cases} 9.00901 \cdot x + 0.6, & 0 \leq x < 0.0111 \\ -0.20929 \cdot x + 0.70232, & 0.0111 \leq x < 0.25 \\ -1.71429 \cdot x + 1.07857, & 0.25 \leq x < 0.6 \\ 0.05, & otherwise \end{cases} \quad (12)$$

The *Medium* fuzzy set is defined by $D_M = \{(x, \mu_M^D(x)) \mid x \in [0, 1]\}$ in which $\mu_M^D(x)$ represents the *Medium* direction angle membership function as shown in Eq. (13).

$$\mu_M^D(x) = \begin{cases} 1.2 \cdot x + 0.5, & 0 \leq x < 0.25 \\ -0.6 \cdot x + 0.95, & 0.25 \leq x < 0.5 \\ -1.83333 \cdot x + 1.56667, & 0.5 \leq x < 0.8 \\ 0.1, & otherwise \end{cases} \quad (13)$$

The *Large* fuzzy set is defined by $D_L = \{(x, \mu_L^D(x)) \mid x \in [0, 1]\}$ where $\mu_L^D(x)$ stands for the *Large* membership function as formulated in Eq. (14).

$$\mu_L^D(x) = \begin{cases} 1.0 \cdot x + 0.3, & 0 \leq x < 0.5 \\ -0.6 \cdot x + 1.1, & 0.5 \leq x < 0.75 \\ -5.0 \cdot x + 4.4, & 0.75 \leq x < 0.85 \\ 0.15, & otherwise \end{cases} \quad (14)$$

Similarly, $D_{SL} = \{(x, \mu_{SL}^D(x)) \mid x \in [0, 1]\}$ defines the *SuperLarge* fuzzy set where $\mu_{SL}^D(x)$ formulated by Eq. (15) is the *SuperLarge* membership function.

$$\mu_{SL}^D(x) = \begin{cases} 1.77778 \cdot x - 0.53333, & 0.3 \leq x < 0.75 \\ -0.4 \cdot x + 1.1, & 0.75 \leq x \leq 1.0 \\ 0, & \text{otherwise} \end{cases} \quad (15)$$

3) Residual Energy Membership Function

A fuzzy linguistic variable, denoted by R with three items set $\mathbb{V}(R) = \{Low, Medium, High\}$, is used to define the "residual energy". Each item is modeled by a fuzzy set as follow. $R_L = \{(x, \mu_L^R(x)) \mid x \in [0, 1]\}$ defines the *Low* fuzzy set where $\mu_L^R(x)$ given in Eq. (16) represents the *Low* membership function.

$$\mu_L^R(x) = \begin{cases} 0.5 \cdot x + 0.75, & 0 \leq x < 0.3 \\ -2.25 \cdot x + 1.575, & 0.3 \leq x < 0.7 \\ 0, & \text{otherwise} \end{cases} \quad (16)$$

The *Medium* fuzzy set is defined by $R_M = \{(x, \mu_M^R(x)) \mid x \in [0, 1]\}$ where $\mu_M^R(x)$ stands for the *Medium* residual energy membership function shown in Eq. (17).

Similarly, $R_H = \{(x, \mu_H^R(x)) \mid x \in [0, 1]\}$ defines the *High* fuzzy set where $\mu_H^R(x)$ formulated by Eq. (18) corresponds to the *High* membership function.

$$\mu_M^R(x) = \begin{cases} 2.75 \cdot x - 0.075, & 0.1 \leq x < 0.3 \\ 0.25 \cdot x + 0.725, & 0.3 \leq x < 0.7 \\ -3.5 \cdot x + 3.35, & 0.7 \leq x < 0.9 \\ 0.2, & \text{otherwise} \end{cases} \quad (17)$$

$$\mu_H^R(x) = \begin{cases} 3.75 \cdot x - 1.875, & 0.5 \leq x < 0.7 \\ 0.5 \cdot x + 0.4, & 0.7 \leq x \leq 1.0 \\ 0, & \text{otherwise} \end{cases} \quad (18)$$

4.1.4 Modified AHP Method (MAHP)

A modified AHP method named MAHP is designed to accomplish the location characteristics of nodes by assigning different control parameters to nodes from different tiers. The nodes in the same tier have same control parameters. Different from the AHP method, the MAHP constructs a dynamic pairwise comparison matrix given in Eq. (19). Here, each entry is a function related with the number of hops to the sink, $f_{m,n}(h_{i,d}) * f_{n,m}(h_{i,d}) = 1$ and $f_{m,m}(h_{i,d}) = 1$. Each function $f_{m,n}(h_{i,d})$ ($1 \leq m < n \leq 11$) is drawn in Fig. 6 where $f_{m,n}(hops)$ denotes the entry $f_{m,n}(h_{i,d})$. We include eleven sub-criteria in $A^*(h_{i,d})$ where each column contains eleven rows representing *Close* transmission distance (TC), *Medium* transmission distance (TM), *Far* transmission distance (TF), *Low* residual energy (RL), *Medium* residual energy (RM), *High* residual energy (RH), *ExtraSmall* direction angle (DES), *Small* direction angle (DS), *Medium* direction angle (DM), *Large* direction angle (DL), and *SuperLarge* direction angle (DSL). Each entry $\bar{a}_{i,j}(h_{i,d})$ in the normalized pairwise comparison matrix $\bar{A}^*(h_{i,d})$ derived from $A^*(h_{i,d})$ is calculated using Eq. (20). Finally, the control parameters vector of the node n_i , denoted by $\mathbb{W}_i = [w_i^1, w_i^2, \dots, w_i^{11}]$, is obtained using Eq. (21) where the value of m is equal to 11.

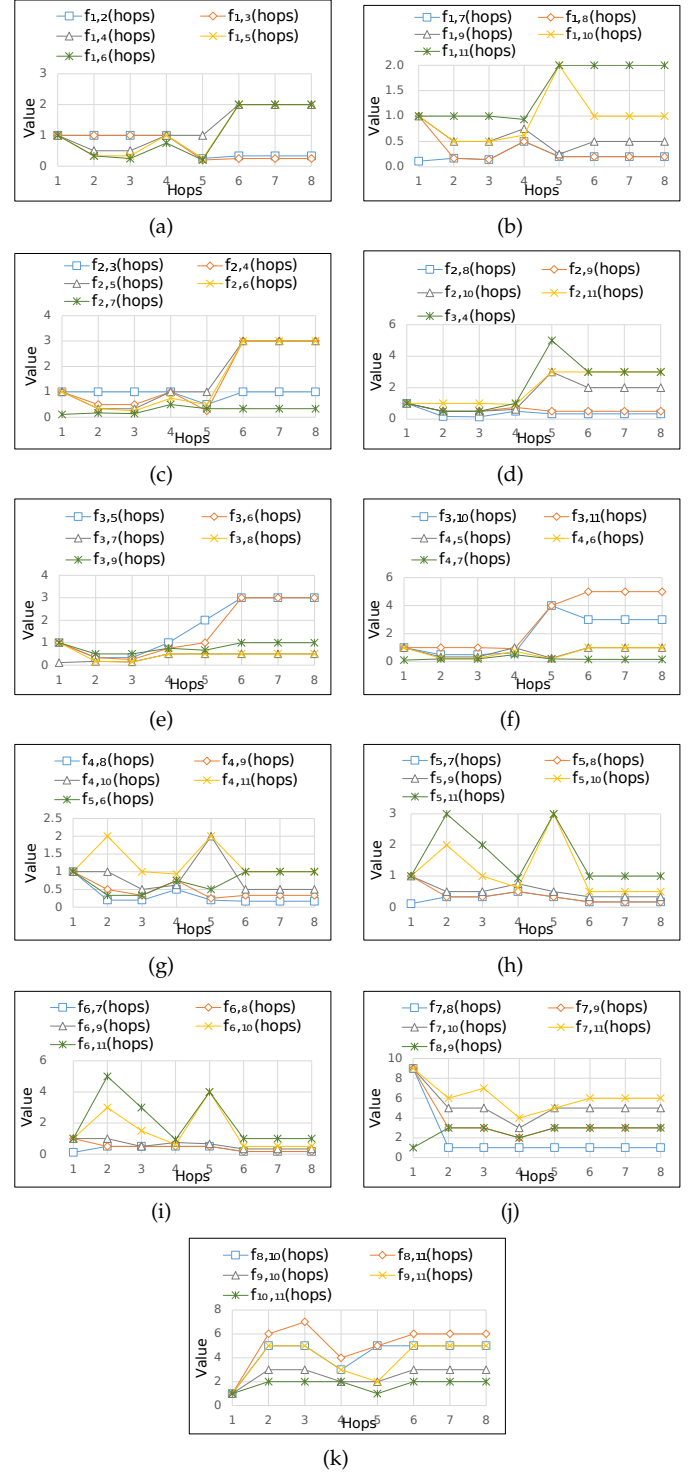


Fig. 6. The part of entries in $A^*(h_{i,d})$.

$$A^*(h_{i,d}) = \begin{bmatrix} f_{1,1}(h_{i,d}) & f_{1,2}(h_{i,d}) & \cdots & f_{1,11}(h_{i,d}) \\ f_{2,1}(h_{i,d}) & f_{2,2}(h_{i,d}) & \cdots & f_{2,11}(h_{i,d}) \\ \vdots & \vdots & \ddots & \vdots \\ f_{11,1}(h_{i,d}) & f_{11,2}(h_{i,d}) & \cdots & f_{11,11}(h_{i,d}) \end{bmatrix} \quad (19)$$

$$\bar{a}_{i,j}(h_{i,d}) = \frac{a_{i,j}(h_{i,d})}{\sum_{k=1}^m a_{k,j}(h_{i,d})} \quad (20)$$

TABLE 3
The Definition of Relative Importance

Value of $f_{m,n}(y)$	Definition
1	Equally important
3	Slightly more important
5	Moderately more important
7	Strongly more important
9	Absolutely more important

$$w_i^k = \frac{\sum_{l=1}^m \bar{a}_{k,l}(h_{i,d})}{m} \quad (21)$$

Before illustrating how to design each entry in $A^*(h_{i,d})$, we first explain the meaning and effect of each entry in $A^*(h_{i,d})$. Considering a node n_i located at y th tier ($h_{i,d} = y$), $f_{m,n}(h_{i,d})$ can be also written as $f_{m,n}(y)$. The value of $f_{m,n}(y)$ denotes the relative importance between the m th criterion and the n th criterion, as shown in Table 3. The relative importance is measured according to a numerical scale from 1 to 9 [25]. For instance, when $h_{i,d}$ is 2 and the value of $f_{1,5}(2)$ is equal to 7, from point of view of n_i , *Medium* residual energy is strongly more important than *Close* transmission distance. As a result, the value of w_i^5 will be greater than that of w_i^1 according to the Eq. (21). This means that the neighbor with more residual energy is more likely to become a potential forwarder compared to the neighbor close to n_i .

Now we explain how to design each entry in $A^*(h_{i,d})$, which consists of two main steps. i) Sorting the 11 sub-criteria involving in $A^*(h_{i,d})$ based on the roles of the node n_i . ii) Assigning a value to each entry according to Table 3. Considering a node n_i located at 2th tier, $h_{i,d}$ is equal to 2. The design ideas of each entry in $A^*(2)$ are as follows. Firstly, for n_i , the importance among 11 sub-criteria can be sorted as $DES = DS > DM = RH > RM > RL > DL > DSL = TC = TM = TF$. The importance among $DES, DS, DM,$ and RH is sorted as $DES = DS > DM = RH$ for the purpose of forwarding packets toward the sink. In order to achieve a longer network lifetime, the importance of remaining 7 sub-criteria (plus RH) is sorted as $RH > RM > RL > DL > DSL = TC = TM = TF$. As n_i pays more attention to residual energy of its neighbors, the neighbor with more residual energy is more likely to relay packets. This in turn leads to longer network lifetime. Additionally, note that $DSL = TC = TM = TF$ since these sub-criteria have a very small function. Secondly, since $DES = DS$, this means that *ExtraSmall* direction angle and *Small* direction angle are equally important. Thus, the value of $f_{7,8}(2)$ is set to 1 according to Table 3. As $DS > DM$, *Small* direction angle is more important than *Medium* direction angle. Consequently, the value of $f_{8,9}(2)$ should be greater than 1. It is set to 3 which is empirically obtained based on our simulation experience. Similarly, the remaining entries in $A^*(2)$ can be obtained.

4.1.5 Competency Value

For the sender node n_i , the competency value is expressed as a random variable $\wp_i = (\wp_{i,1}, \wp_{i,2}, \dots, \wp_{i,j}, \dots, \wp_{i,m_i})$ and normalized into $\bar{\wp}_i = (\bar{\wp}_{i,1}, \bar{\wp}_{i,2}, \dots, \bar{\wp}_{i,j}, \dots, \bar{\wp}_{i,m_i})$ formulated by Eq. (22) where $\wp_{i,j}$ denotes the competency value of n_i 's neighbor node n_j . Before explaining the competency value, we first introduce the fuzzy rules used for

TABLE 4
Fuzzy Rules

Rule ID	TD	DA	RE	Function
R1	Close	ExtraSmall	Low	\mathbb{F}_1
R2	Close	ExtraSmall	Medium	\mathbb{F}_2
R3	Close	ExtraSmall	High	\mathbb{F}_3
R4	Close	Small	Low	\mathbb{F}_4
R5	Close	Small	Medium	\mathbb{F}_5
R6	Close	Small	High	\mathbb{F}_6
\vdots	\vdots	\vdots	\vdots	\vdots
R44	Far	SuperLarge	Medium	\mathbb{F}_{44}
R45	Far	SuperLarge	High	\mathbb{F}_{45}

this model, as listed in Table 4 where TD denotes the transmission distance, DA stands for the direction angle and RE represents the residual energy. The fuzzy rules are designed to merge TD, DA and RE attributes. The TSK interface, which directly outputs a value with no need for the defuzzification process utilized for traditional fuzzy model, is exploited to evaluate the competency value of nodes according to the fuzzy rules given in Table 4. The rule combined with the TSK interface system has the form: if x_1 is $u_t(x_1)$ and x_2 is $u_t(x_2)$ and \dots and x_k is $u_t(x_k)$, then $\mathbb{F}_t(x_1, x_2, \dots, x_k) = \varpi_0^t + \varpi_1^t * x_1 + \varpi_2^t * x_2 + \dots + \varpi_k^t * x_k$, where $\mathbb{F}_t(x_1, x_2, \dots, x_k)$, representing competency value, is a number between 0 and 1 instead of a fuzzy linguistic term. For example, if $\check{d}_{i,j}$ is *Far*, $\check{e}_{i,j}$ is *High* and $\check{\vartheta}_{i,j}$ is *Small*, then $\mathbb{F}_t(\check{d}_{i,j}, \check{\vartheta}_{i,j}, \check{e}_{i,j}) = \omega_i^3 * \mu_F^T(\check{d}_{i,j}) + \omega_i^6 * \mu_H^R(\check{e}_{i,j}) + \omega_i^8 * \mu_S^D(\check{\vartheta}_{i,j})$. Note that the value of $\wp_{i,j}$ is equal to $\mathbb{F}_t(\check{d}_{i,j}, \check{\vartheta}_{i,j}, \check{e}_{i,j})$ and the t is 36 here.

$$\bar{\wp}_{i,j} = \frac{\wp_{i,j}}{\sum_{l=1}^{m_i} \wp_{i,l}} \quad (22)$$

4.2 Routing Zone

To route packets toward the sink and deal with the problem given in Fig. 7 (a) arising from the randomness of node distribution, each node n_i defines a *Routing Zone*, as shown in Fig. 7 (b), denoted by $\mathbb{C}_i = \{c_1, c_2, c_3, c_4\}$ where $c_i = (x_i, y_i)$ stands for the coordinate of a corner of \mathbb{C}_i . Note that $\omega_i = 2 * \phi$ and $\iota_i = d_{i,d}$. Here ω_i represents the width of n_i 's zone and ι_i denotes the length of n_i 's zone. In the network initialization phase, each node n_i calculates the coordinates of the four corners of the \mathbb{C}_i using Eq. (23).

$$\begin{aligned} c_1 &= \left(x_d - \frac{\omega_i * (y_i - y_d)}{2 * \iota_{i,d}}, y_d - \frac{\omega_i * (x_d - x_i)}{2 * \iota_{i,d}} \right) \\ c_2 &= \left(x_d + \frac{\omega_i * (y_i - y_d)}{2 * \iota_{i,d}}, y_d + \frac{\omega_i * (x_d - x_i)}{2 * \iota_{i,d}} \right) \\ c_3 &= \left(x_i - \frac{\omega_i * (y_i - y_d)}{2 * \iota_{i,d}}, y_i - \frac{\omega_i * (x_d - x_i)}{2 * \iota_{i,d}} \right) \\ c_4 &= \left(x_i + \frac{\omega_i * (y_i - y_d)}{2 * \iota_{i,d}}, y_i + \frac{\omega_i * (x_d - x_i)}{2 * \iota_{i,d}} \right) \end{aligned} \quad (23)$$

4.3 Forwarders Selection

The nodes with multiple potential forwarders can reduce packet delay. However, these nodes have a high chance

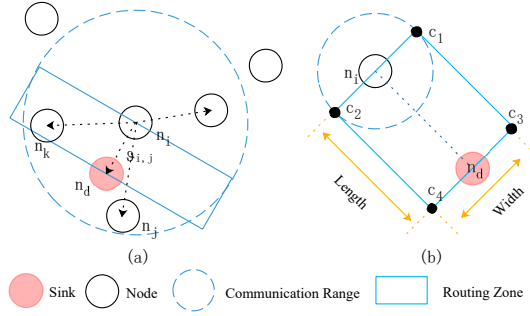


Fig. 7. (a) shows the problem arising from the randomness of node distribution. Here n_i is a direct neighbor of n_d . n_k , n_j and n_d are neighbors of n_i . If $\vartheta_{i,j} < \frac{2}{225}$, which means $\tilde{\vartheta}_{i,j}$ is *ExtraSmall*, n_i may forward packets to n_j instead of n_d . In fact, n_i should directly forward packets to n_d . (b) shows the routing zone for n_i .

of generating redundant packets, which causes unnecessary energy consumption [4]. A novel approach combined with RZ is proposed to control the number of forwarders. In this approach, each node has one of the two actions, *forward* and *drop*. For the sender node n_i , the action of each of its neighbor node is defined as a random variable $\mathfrak{R}_i = (\mathfrak{R}_{i,1}, \mathfrak{R}_{i,2}, \dots, \mathfrak{R}_{i,j}, \dots, \mathfrak{R}_{i,m_i})$ where $\mathfrak{R}_{i,j}$ represents the action of n_i 's neighbor node n_j . Only the node whose $\mathfrak{R}_{i,j}$ is *forward* has qualification to become a forwarder of n_i . The procedures for computing the action of each n_i 's neighbor node are outlined in Algorithm 1 where $n_j \in \mathbb{C}_i$ means n_j locates within the \mathbb{C}_i . The key idea of this algorithm is as follows. The neighbor n_j of n_i should be selected as a potential forwarder when $\bar{\varphi}_{i,j}$ is greater than the threshold of competency value, n_j locates within \mathbb{C}_i and the current number of forwarders of n_i is fewer than the maximum threshold of forwarders of n_i . Owing to FLORA locally making decisions according to the information sensed from neighbor nodes, the threshold of competency value and the maximum threshold of forwarders for n_i are respectively formulated by $\frac{1}{m_i}$ and $\lfloor \sqrt[4]{m_i + 1} \rfloor$ which are associate with the number of neighbors.

Algorithm 1 Procedures for Computing Action \mathfrak{R}_i at Node n_i

Input:

- The size of n_i 's neighbors: m_i ;
- The normalized competency value of each n_i 's neighbors: $\bar{\varphi}_i = (\bar{\varphi}_{i,1}, \bar{\varphi}_{i,2}, \dots, \bar{\varphi}_{i,j}, \dots, \bar{\varphi}_{i,m_i})$;
- The size of n_i 's forwarders: f_i , and $f_i \leftarrow 0$;

Output:

- 1: Sort $\bar{\varphi}_i$ in descending order;
- 2: **for** each $\bar{\varphi}_{i,j} \in \bar{\varphi}_i$ **do**
- 3: **if** $\bar{\varphi}_{i,j} \geq \frac{1}{m_i}$ and $n_j \in \mathbb{C}_i$ and $f_i \leq \lfloor \sqrt[4]{m_i + 1} \rfloor$ **do**
- 4: $\mathfrak{R}_{i,j} \leftarrow \textit{forward}$;
- 5: f_i++ ;
- 6: **else**
- 7: $\mathfrak{R}_{i,j} \leftarrow \textit{drop}$;
- 8: **end if**
- 9: **end for**

In the network initialization phase, the sink first broadcasts a *beacon* containing its $h_{d,d}$ where the $h_{d,d}$ is set to 0, and then each node n_i that hears the *beacon* updates its

$h_{i,d}$ based on Algorithm 2. In addition, each node calculates its forwarder set based on the information of its neighbor nodes, as outlined in Algorithm 3. To reduce unnecessary network load and prolong the network lifetime, each time a node losing 5 percent of its energy updates its forwarder set according to Algorithm 4.

Algorithm 2 Procedures for Computing the Number of Hops to the Sink $h_{i,d}$

Input:

$$h_{j,d}$$

Output:

- 1: **for** each n_i that hears the beacon sent by n_j **do**
- 2: **if** $h_{i,d} > h_{j,d} + 1$ **do**
- 3: $h_{i,d} \leftarrow h_{j,d} + 1$;
- 4: n_i broadcasts a beacon packet that contains its $h_{i,d}$;
- 5: **end if**
- 6: **end for**

Algorithm 3 Procedures for Initializing the Forwarder Set

Input:

$$F_i \leftarrow \emptyset;$$

The number of hops to the sink: $h_{i,d}$;

Output:

- 1: **for** each node $n_i \in \mathbb{N}$ **do**
- 2: Compute $\mathbb{W}_i = [w_i^1, w_i^2, \dots, w_i^{11}]$ using Eq. (19), Eq. (20) and Eq. (21);
- 3: Define Routing Zone \mathbb{C}_i using Eq. (23);
- 4: **for** each $n_j \in \mathbb{N}_i$ **do**
- 5: Get the $\tilde{d}_{i,j}$ using Eq. (4);
- 6: Get the $\tilde{\vartheta}_{i,j}$ using Eq. (6);
- 7: Get the $\tilde{e}_{i,j}$ using Eq. (7);
- 8: Map $\tilde{d}_{i,j}$, $\tilde{\vartheta}_{i,j}$ and $\tilde{e}_{i,j}$ into corresponding $\ddot{d}_{i,j}$, $\ddot{\vartheta}_{i,j}$ and $\ddot{e}_{i,j}$ respectively by considering crisps classification listed in Table 2;
- 9: Compute the $\varphi_{i,j}$ by considering fuzzy rules listed in Table 4;
- 10: **end for**
- 11: Compute the \mathfrak{R}_i using Algorithm 1;
- 12: **for** each $n_j \in \mathbb{N}_i$ **do**
- 13: **if** $\mathfrak{R}_{i,j} = \textit{forward}$ **do**
- 14: Add n_j to F_i ;
- 15: **end if**
- 16: **end for**
- 17: **end for**

4.4 Forwarders Coordination

Coordination between forwarders is an effective scheme to decrease the number of duplicate packets. Our coordination scheme between forwarders is simplified as follows. Before transmitting the data packet, the sender node n_i continuously sends preamble packets containing the ID of its forwarders until receiving an ACK packet. The nodes that successfully receive the preamble packet and match with one of the IDs will send back an ACK packet to n_i while other nodes will discard the preamble packet. When n_i receives multiple ACKs, it selects the node with maximum $\varphi_{i,j}$ among the nodes that have sent back an ACK as the final relay node.

Algorithm 4 Procedures for Updating Forwarder Set at Node n_i

Input:

$$e_i = (e_{i,1}, e_{i,2}, \dots, e_{i,m_i});$$

Output:

- 1: $F_i \leftarrow \emptyset$;
- 2: **for** each $n_j \in \mathbb{N}_i$ **do**
- 3: Calculate the $\tilde{e}_{i,j}$ using Eq. (7);
- 4: Map $\tilde{e}_{i,j}$ into $\tilde{e}_{i,j}$ based on Table 2;
- 5: Calculate the $\varphi_{i,j}$ based on Table 4;
- 6: **end for**
- 7: Compute the $\mathfrak{R}_{i,j}$ using Algorithm. 1;
- 8: **for** each $n_j \in \mathbb{N}_i$ **do**
- 9: **if** $\mathfrak{R}_{i,j} = \text{forward}$ **do**
- 10: Add n_j to F_i ;
- 11: **end if**
- 12: **end for**

5 ANALYSIS

In this section, the performance of FLORA is analyzed from two aspects. i) The feasibility of the protocol. ii) The impact of localization errors on the routing performance. Other aspects, such as energy cost and the average number of redundant packets, have been analyzed in our previous works [2] [26].

5.1 The Feasibility of the Protocol

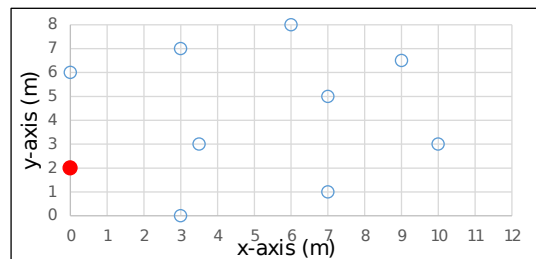
We used *Arduino Mega 2560* as the sensor node. Each node is equipped with a *XBee S2C 802.15.4* radio frequency module for wireless communication as shown in Fig. 9 (a). This module allows users to adjust the transmission power among 5 levels from the lowest power level 0 to the highest power level 4. In order to verify the feasibility of our protocol, nodes are deployed in an area, as shown in Fig. 8, and the sink node locates at (0, 2). In this scenario, for each node, the power level and the communication range are set to the lowest power level and 5m, respectively. In addition, these nodes have the same duty cycles. Here, the active period is set to 3s and meanwhile the sleep period is 1s. The nodes located at (6, 8) and (10, 3) individually generate a data packet in each 5s. The results of evaluating the average waiting times (AWT) and the average number of overhead packets (AxOP) that are explained in 6.1.2 varying the number of data packets are depicted in Fig. 9 (b). From Fig. 9 (b), we can conclude the existence of slight fluctuations as the number of generated data packets increases due to environmental influence and good performance achieved by FLORA.

5.2 The Impact of Localization Errors On the Routing Performance

In this subsection, the impact of localization errors on the routing performance is briefly analyzed from two aspects. i) Error model. ii) The probability of null relaying zone in the presence of localization errors. Extensive evaluations of how localization errors impact routing performance are presented in Section 6.2.

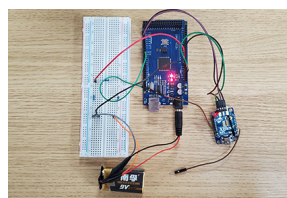


(a)

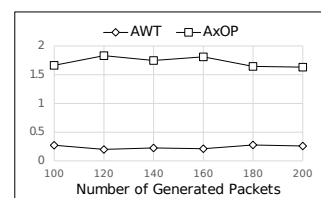


(b)

Fig. 8. (a) shows the experiment setup. (b) depicts the overall diagram of the network deployment.



(a)



(b)

Fig. 9. (a) presents a sensor node used in the experiment. (b) shows the results of evaluating the average waiting times and the average number of overhead packets varying the number of data packets.

5.2.1 Error Model

To study the impact of localization errors on the routing performance, we introduce Gaussian errors into the x and y coordinates on the actual position of a node. We assume that the location errors on x-axis and y-axis for each node are modeled by two independent Gaussian distributions with zero mean and different standard deviations. For simplicity, we assume that the node n_j locates at the origin of coordinates, as shown in Fig. 10 (a), and that the sender n_i and the sink n_d have no location errors. However, the location information of each node has errors in the simulation. The

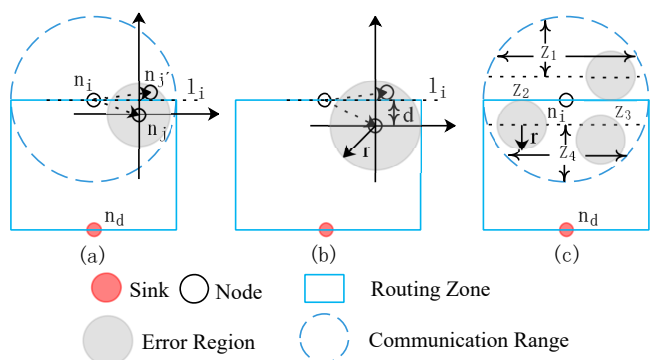


Fig. 10. (a) shows location errors model. (b) presents a simplified and enlarged version of (a). (c) depicts the region z_i .

real and measured location of n_j can be expressed as (x_j, y_j) and (x_j', y_j') respectively. Note that $x_j' = x_j + \varepsilon_a$ and $y_j' = y_j + \varepsilon_b$, where $\varepsilon_a \sim N(0, \sigma_1^2)$ and $\varepsilon_b \sim N(0, \sigma_2^2)$. Therefore, the probability density function of (x_j', y_j') is presented by Eq. (24).

$$f(x_j', y_j') = \frac{1}{2\pi\sigma_1\sigma_2} e^{-\frac{x_j'^2}{2\sigma_1^2} - \frac{y_j'^2}{2\sigma_2^2}} \quad (24)$$

We define r and d as the radius of error region and the distance from n_j to the line l_i , respectively, as shown in Fig. 10 (b). The probability density of d , denoted by $f(d)$, is formulated by Eq. (25) where A and B are the part of error region above the line l_i and the overall error region, respectively.

$$f(d) = \frac{\iint_A f(x_j', y_j') d\sigma}{\iint_B f(x_j', y_j') d\sigma} \quad (25)$$

Therefore, the probability that n_j actually located within the region z_3 is measured inside the region z_2 , denoted by p , is computed by Eq. (26) where ϕ denotes the communication range of n_i . The definition of the region of z_2 and z_3 refers to Fig. 10 (c).

$$p \approx \frac{2\phi \int_0^r f(u) du}{2\phi r} = \frac{\int_0^r f(u) du}{r} \quad (26)$$

5.2.2 The Probability of null relaying zone in the Presence of Localization Errors

We define the relaying zone of n_i as the overlapping region between its routing zone and its communication range. There are two cases which could cause null relaying zone. i) Nodes that are actually within the region z_3 are measured inside the region z_2 and no nodes are within the region z_4 . ii) Nodes that actually locate within the region z_2 are considered within the region of z_1 or z_2 after localization. The definition of the region z_i ($i = 1, 2, 3, 4$) refers to Fig. 10 (c). Here, we do not consider the case that nodes located inside/outside the communication range of n_i are measured outside/inside the communication range of n_i . We assume that there are n nodes within the region of z_3 and z_4 after all nodes are randomly deployed in a specific area. Hence, the number of nodes located in the region of z_1 and z_2 is equal to $m_i - n$, where m_i is the size of n_i ' neighbors. The probability that there are n nodes within the region of z_3 and z_4 after nodes deployment is formulated by Eq. (27). Note that the sum of the area of z_1, z_2, z_3 , and z_4 is equal to $\pi\phi^2$ and s_{z_i} is the area of z_i . s_{z_i} is calculated by Eq. (28) where $\theta = \arccos \frac{r}{\phi}$.

$$Pr_1 = \binom{m_i}{n} \left(\frac{s_{z_3} + s_{z_4}}{\pi\phi^2} \right)^n \left(1 - \frac{s_{z_3} + s_{z_4}}{\pi\phi^2} \right)^{m_i - n} \quad (27)$$

$$s_{z_i} = \begin{cases} \frac{\pi\phi^2}{2} - \frac{\pi\theta\phi^2}{180} - \phi r \sin\theta & i = 1 \text{ or } i = 4 \\ \frac{\pi\theta\phi^2}{180} - \phi r \sin\theta & i = 2 \text{ or } i = 3 \end{cases} \quad (28)$$

We denote E_i as the number of nodes within the region z_i after nodes deployment. Due to positioning errors, the actual number of nodes within the region z_i is denoted by E_i' . When $E_3 + E_4 = n$, the probability, $E_3' + E_4' = 0$, is equal to the probability that all of n nodes are within the

region z_3 and these nodes are measured within the region z_2 owing to positioning errors, which is computed by Eq. (29).

$$Pr_2 = \binom{n}{n} \left(\frac{s_{z_3}}{s_{z_3} + s_{z_4}} \right)^n \left(1 - \frac{s_{z_3}}{s_{z_3} + s_{z_4}} \right)^{n-n} \binom{n}{n} p^n (1-p)^{n-n} \quad (29)$$

Similarly, when $E_1 + E_2 = m_i - n$, the probability, $E_3' + E_4' = 0$, is equal to the probability that there are k nodes within the region z_2 and each of them does not locate within the region z_3 after localization, which is calculated by Eq. (30).

$$Pr_3 = \sum_{k=0}^{m_i - n} \binom{m_i - n}{k} \left(\frac{s_{z_2}}{s_{z_1} + s_{z_2}} \right)^k \left(1 - \frac{s_{z_2}}{s_{z_1} + s_{z_2}} \right)^{m_i - n - k} \binom{k}{k} (1-p)^k p^{k-k} \quad (30)$$

Finally, the probability of null relaying zone in the presence of localization errors is formulated by Eq. (31).

$$Pr = \sum_{n=0}^{m_i} Pr_1 \times Pr_2 \times Pr_3 \quad (31)$$

6 PERFORMANCE EVALUATION

This section is devoted to the performance evaluation of our proposed routing protocol FLORA. Extensive experimental simulations have been performed. Before reporting the simulation results, we first introduce the simulation setup.

6.1 Simulation Setup

In this paper, we evaluated the performance of FLORA with a simulator used in [26], [27]. Our source code is available online in the link: <https://github.com/howbani/FLORA>.

6.1.1 Simulation Settings

When the simulation starts, the nodes whose initial energy is 0.5 Joules are randomly deployed in a $400m \times 400m$ sensing field and the sink node starting with unlimited energy is positioned in the center of the field. All nodes run BOX-MAC, have the same duty cycles and consume the energy according to the *First Order Radio Model* as in [28]. We use the same interference model as ORR [4]. The maximum error ratio of each link and the maximum retransmission times of each node are set to 0.2 and 3, respectively. To study the impact of localization errors on the performance of our protocol, we set the maximum location errors to $6m$ which is typically GPS positioning errors [19]. We assume that the network generates a data packet from a random sensor node to the sink in each 0.1s. The size of the data packet is set to 1024bits. The default simulation parameters are listed in Table 5.

TABLE 5
SIMULATION PARAMETERS

Parameter	Default Value
Network Topology	Random
Network Size	400m × 400m
Generation Rate	1 packet/0.1s
Number of Nodes	120 (include sink)
Sink	1 static sink (center)
Transmission Rate	2Mbps
Simulation Time	480s
Active Time	2s
Sleep Time	1s
MAC	BOX-MAC
Communication Range	80m

6.1.2 Evaluation Metrics

The following evaluation metrics are used to evaluate the simulation results. i) **Energy Consumption (EC)**: The total energy consumption required to deliver data packets from the sources to the sink. ii) **Average Number of Overhead Packets (AxOP)**: When the sender broadcasts a packet to its forwarders, all its awoken forwarders will receive the packet. However, after coordination, one of these forwarders is selected to relay the packet while the other forwarders will abort the received packet. The aborted packet is regarded as redundant packets. AxOP is the ratio of the redundant packet's count plus the control packet's count to the number of delivered packets. iii) **Average Waiting Times (AWT)**: When all forwarders of the sender are at the sleep state, it has to wait until one of its forwarders wakes up and successfully receives the data packet. AWT is the ratio of the total waiting times to the number of delivered packets. iv) **Packet Delivery Ratio (PDR)**: The ratio of the number of packets successfully received at sink to the number of packets generated by randomly selected source nodes. v) **Network Lifetime (NL)**: The time (in seconds) from the simulation starting moment to the moment that the first node completely depletes its energy.

6.1.3 Representative Approach for Comparison

In order to thoroughly evaluate the performance of our protocol, namely FLORA, we select two different protocols for comparison, *Opportunistic Routing based on Residual Energy*, namely ORR [4], and *Fuzzy Multiobjective Routing Algorithm*, namely FMO [16]. ORR is an opportunistic routing protocol for asynchronous duty-cycled wireless sensor networks. FMO is a fuzzy-based multiple routing algorithm. Further details on these two protocols can be found in the Section 2. In our simulation of ORR, the α parameter is set to 1.0. The authors of ORR evaluated the performance of ORR by varying α from 0 to 4 and pointed out that α greater than 1.0 has small impacts on the performance. In our implementation of FMO, the value of α , β , γ and Δ are set to 0.2, 0.2, 0.9 and 0.2 respectively, which are the optimal parameter values as reported by the authors of FMO.

6.2 Simulation Results

The performance of the network is evaluated by varying two different evaluation scenarios, the communication ranges and the wake-up intervals. The simulation results in different scenarios are reported individually in the following

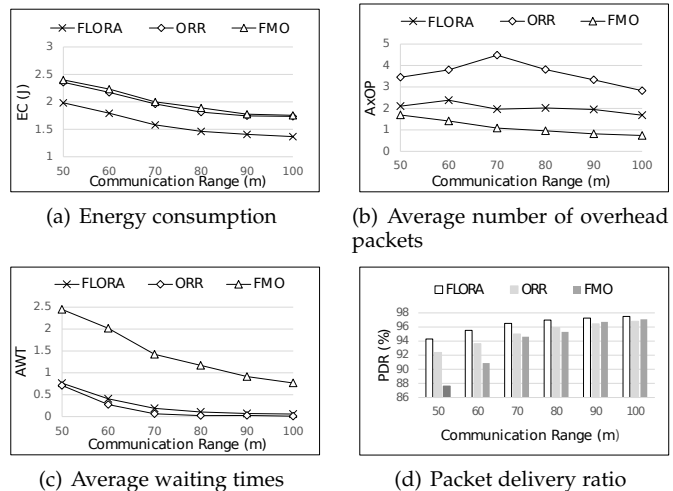


Fig. 11. Network performance varying communication ranges.

two subsections and the concluded result is the average of 100 independent runs of the same configurations to mitigate the impacts of randomness and to guarantee the accuracy of simulation results.

6.2.1 Scenario 1: Varying the Communication Ranges

In this scenario, the performance of the network is evaluated by varying the communication ranges from 50m to 100m. Other parameters for this scenario are listed in Table 5.

(a) **Energy Consumption**: The results of evaluating the energy consumption varying the communication ranges are depicted in Fig. 11 (a). The energy consumption of the three routing protocols simultaneously decreases as the communication range increases since greater communication ranges results in fewer number of hops to the sink. This in turn reduces the number of nodes that packets traverse. Consequently, the total energy consumption reduces. Although ORR has more than FMO in the AxOP (see Fig. 11 (b)), the energy consumption in ORR is comparable to FMO for the two reasons. On the one hand, the delivery ratio of ORR is greater than that of FMO (see Fig. 11 (d)). Lower delivery ratio incurs more packet retransmissions, which inevitably causes more energy consumption. On the other hand, in order to maximum network lifetime, the packets in FMO will traverse a longer path to reach the sink, which causes more energy consumption. Compared to ORR and FMO, FLORA achieves better energy saving due to the following two reasons. First, each node, in FLORA, calculates its forwarder set according to the information locally sensed from neighbor nodes. However, ORR and FMO need to collect the information of the entire network to compute its forwarder set. This incurs a rise in the number of control packets, which results in a big amount of energy. Second, FLORA realizes that the roles of nodes have positive impacts on the network performance. In FLORA, the nodes close to the sink pay more attention to the energy of neighbors than transmission distance factor, which leads to less energy consumption. As a result, FLORA achieves better energy saving than ORR and FMO.

(b) **Average Number of Overhead Packets**: Fig. 11 (b) shows the evaluation results of the average number of over-

head packets varying the communication ranges. Several observations are concluded. i) When the communication range is lower than $70m$, the AxOP in ORR increases as the communication range becomes larger since greater communication range increases the number of candidate nodes. This inevitably increases the number of redundant packets. However, when the communication is greater than $70m$, the AxOP in ORR decreases as the communication range increases since greater communication range leads to fewer number of hops to the sink, which in turn reduces the number of overhead packets. ii) Compared to ORR, FLORA achieves better performance due to the following reasons. In FLORA, each node updates its forwarder set according to the information locally sensed from neighbors. However, ORR first need to collect the information from the entire network to compute its optimal number of forwarders n_{max} and then distributes the information to each node. This undoubtedly increases the number of control packets. In addition, it is inappropriate to use a global n_{max} to control number of forwarders by virtue of nodes with different number of neighbors. In FLORA, each node individually calculates its maximum threshold of forwarders based on the number of neighbors and only the nodes whose action is *forward* have qualification to relay packets. This effectively limits the number of forwarders and reduces the number of duplicate packets. iii) The AxOP in FMO gets smaller as the communication range increases owing to the decline in the number of hops to the sink and the rise in the delivery ratio (see Fig. 11 (d)).

(c) **Average Waiting Times:** The comparison of ORR, FMO and FLORA for evaluating the average waiting times over the communication ranges is plotted in Fig. 11 (c). The average waiting times of the three protocols get smaller as the communication range increases for the following two reasons. On the one hand, the increment of communication range will reduce the number of hops to the sink. Fewer hops mean that the number of nodes that the packets traverse decreases, which reduces the waiting times. On the other hand, the increment of the delivery ratio (see Fig.11 (d)) leads to a rise in the number of delivered packets. Compared to FMO, FLORA and ORR achieve better performance since each node in FLORA and ORR selects multiple potential forwarders. A sender with multiple forwarders can use different paths to forward packets to the destination, which effectively reduces the waiting times. Nevertheless, this also leads to a rise in the AxOP (see Fig. 11 (b)). **Even though ORR has more than** FLORA in the AxOP, FLORA is approximately similar to ORR with a minor difference in the AWT. The key reason is that FLORA considers the location characteristics of nodes during the routing process. In FLORA, the nodes far from the sink forward packets to the nodes close to the sink as soon as possible, which results in the reduction of waiting times.

(d) **Packet Delivery Ratio:** The results of evaluating packet delivery ratio varying the communication ranges are shown in Fig. 11 (d), which indicate that FLORA outperforms ORR and FMO since the delivery ratio is related with link quality and the number of forwarders. Different from FMO, each node in FLORA has multiple forwarders. This means that the sender does not need to wait for a specific node to wake up and to forward packets, which increases

the number of delivered packets. In addition, ORR and FMO need to send a lot of control packets for collecting the entire network information to calculate the forwarder set. This causes more packets loss due to collision.

(e) **Network Lifetime:** Fig. 12 presents the results of evaluating the network lifetime varying the communication ranges. From Fig. 12, we can conclude that the network lifetime of the three protocols grows as the communication range gets larger owing to the increment in the number of neighbor nodes of the sink and the rise in the delivery ratio. Compared to ORR and FMO, FLORA achieves longer network lifetime due to the following reasons. During the process of forwarders selection, ORR collects global topological information prior to compute its optimal number of forwarders, and meanwhile FMO computes its minimum weight path from the sources to the sink according to the information collected from the whole network. This incurs a large number of control packets and consumes more energy. Different from ORR and FMO, FLORA updates its forwarder set based on the local information. During the routing process, FLORA realizes positive impacts of the roles of nodes on the network performance. In FLORA, the nodes with two hops to the sink assign a greater weight to residual energy factor, which results in a longer network lifetime. In addition, in FLORA, only nodes whose action is *forward* have qualification to relay packets. This effectively reduces the number of redundant packets, which in turn results in less energy consumption. Consequently, FLORA achieves longer network lifetime than ORR and FMO.

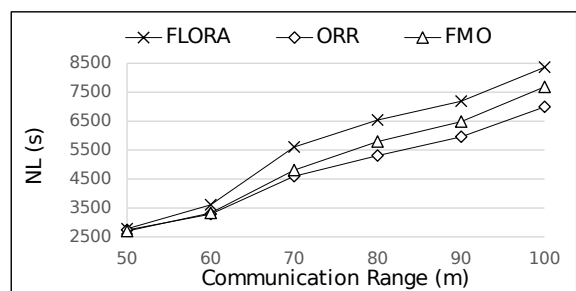


Fig. 12. The network lifetime varying communication range.

6.2.2 Scenario 2: Varying the Wake-Up Intervals

In this scenario, the performance of the network is evaluated by varying the wake-up intervals. The sleep period is set to $1s$ and while the active period varies from $1s$ to $5s$. Other parameters for this scenario are the same with the simulation parameters listed in Table 5.

(a) **Energy Consumption:** The comparison of ORR, FMO with FLORA for evaluating the energy consumption over the active period is presented in Fig. 13 (a). The energy consumption of three protocols slightly grows as the active period gets longer. Longer active period makes nodes awake for a long time, which inevitably leads to a rise in the total energy consumption. FLORA achieves better energy saving than ORR and FMO for the following reasons. In FLORA, each node computes its forwarder set according to the local information. This reduces the number of control packets, which in turn incurs a decline in the energy consumption. Furthermore, FLORA considers the location characteristics

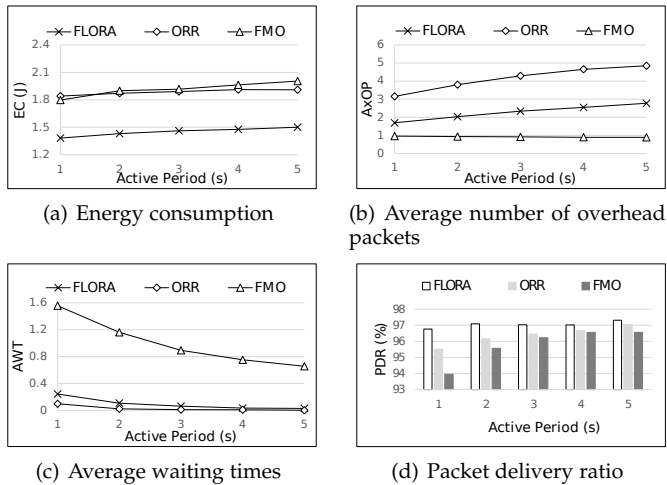


Fig. 13. Network performance varying wake-up intervals.

of nodes during the routing process. In FLORA, the nodes with fewer hops assign a lower weight to the transmission distance factor, which further reduces the energy consumption for transmitting and receiving packets.

(b) **Average Number of Overhead Packets:** The results of evaluating the average number of overhead packets varying the active period are plotted in Fig. 13 (b). The average number of overhead packets of FLORA and ORR increases as the active period becomes longer. The longer active period undoubtedly increases the probability of multiple receivers, which in turn generates more redundant packets. Compared to ORR, FLORA outperforms ORR for the same reasons explained in 6.2.1 (b). Unlike ORR, each node in FMO only has a forwarder instead of a set of forwarders. Therefore, the increasing active period has a small impact on FMO.

(c) **Average Waiting Times:** Fig. 13 (c) shows the results of evaluating the average waiting times over the active period. The average waiting times of the three protocols decrease as the active period increases since the waiting times not only depend on number of candidate nodes but also on active period. Longer active period increases the probability that when the sender is awake, one of its forwarders is also awake, which reduces the waiting times. Although the AxOP in FLORA is fewer than that of ORR (see Fig. 13 (b)), the AWT in FLORA is comparable to ORR. The main reason is that FLORA realizes positive impacts of the roles of nodes during routing process. In FLORA, the nodes far from the sink assign a greater weight to transmission distance factor since these nodes have enough energy. Greater transmission distance will result in fewer hops, which in turn incurs less waiting times.

(d) **Packet Delivery Ratio:** The results of evaluating packet delivery ratio varying the wake-up intervals are depicted in Fig. 13 (d). The delivery ratio of the three protocols slightly grows with the increment of active period since longer active period increases the probability that when the sender is awake, one of its forwarders is also awake. This in turn increases the number of delivered packets. In terms of delivery ratio, FLORA outperforms ORR and FMO for the same reasons explained in 6.2.1 (d).

(e) **Network Lifetime:** Fig. 14 depicts the results of evaluating network lifetime varying the active period, which indicates that the network lifetime of the three protocols decreases as the active period increases due to the rise in the energy consumption (see Fig. 13 (a)). Compared to ORR and FMO, FLORA achieves longer network lifetime for the same reasons explained in 6.2.1 (e).

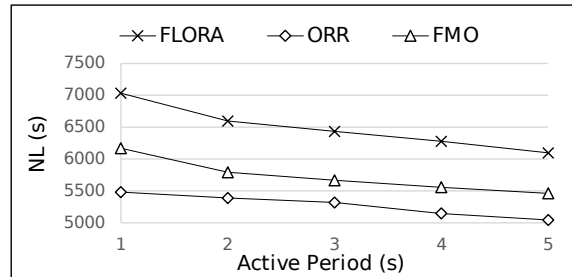


Fig. 14. The network lifetime varying wake-up intervals.

7 CONCLUSION

In this paper, we propose FLORA, which is a distributed, fuzzy logic based, and opportunistic routing protocol for asynchronous duty cycled WSNs. Our proposed FLORA realizes positive impacts of the roles of nodes on the network performance and takes the roles of nodes into consideration during the routing process. The simulation results demonstrated FLORA achieves better performance compared to its counterparts in terms of energy consumption, overhead packets, waiting times, packet delivery ratio and network lifetime.

Furthermore, the main idea of our work can apply to *Mobile ad-hoc Network* (MANET) and *Vehicular ad-hoc Networks* (VANETs) by constructing appropriate membership functions and modifying entries in the pairwise comparison matrix.

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