

## Research Article

# Secure Information Transmissions in Wireless-Powered Cognitive Radio Networks for Internet of Medical Things

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In this paper, we consider the issue of the secure transmissions for the cognitive radio-based Internet of Medical Things (IoMT) with wireless energy harvesting. In these systems, a primary transmitter (PT) will transmit its sensitive medical information to a primary receiver (PR) by a multi-antenna-based secondary transmitter (ST), where we consider that a potential eavesdropper may listen to the PT's sensitive information. Meanwhile, the ST also transmits its own information concurrently by utilizing spectrum sharing. We aim to propose a novel scheme for jointly designing the optimal parameters, i.e., energy harvesting (EH) time ratio and secure beamforming vectors, for maximizing the primary secrecy transmission rate while guaranteeing secondary transmission requirement. For solving the nonconvex optimization problem, we transfer the problem into convex optimization form by adopting the semidefinite relaxation (SDR) method and Charnes–Cooper transformation technique. Then, the optimal secure beamforming vectors and energy harvesting duration can be obtained easily by utilizing the CVX tools. According to the simulation results of secrecy transmission rate, i.e., secrecy capacity, we can observe that the proposed protocol for the considered system model can effectively promote the primary secrecy transmission rate when compared with traditional zero-forcing (ZF) scheme, while ensuring the transmission rate of the secondary system.

## 1. Introduction

With the rapid development of wireless communication and networking technologies, an increasing number of devices need to be connected globally and communicate automatically. Therefore, the emerging of the Internet of Things (IoT) as a promising paradigm can achieve a fusing of the various technologies in 5G communication systems, which have been widely applied in smart cities, agriculture, and environment monitoring [1–6]. Moreover, the medical care and health care are becoming one of the most popular applications based on the IoT [7, 8], named the Internet of Medical Things (IoMT), which can collect the data from the

medical devices and applications to improve the treatment effect, disease diagnosis, and patient experience, while reducing misdiagnosis rate and treatment cost. According to the investigation of relevant organizations, the market share of IoMT will reach to roughly 117 billion dollars by the end of 2020 [9]. However, with the increasing use of IoMT equipment, the huge demand for radio spectrum has become a serious problem. In addition, the allocated radio spectrums are often underutilized due to the inflexible spectrum policies [10]. In order to facilitate an effective utilization of spectrum resources, cognitive radio technology was introduced in which unlicensed nodes could communicate with each other in an opportunistic manner over a licensed

frequency band without interrupting the primary transmissions [11–13].

Yet, power supply is another key constraint on the development of IoMT. In general, an IoMT system usually contains a large number of small-size devices that are battery-powered and difficult to be replaced. In order to solve this problem, wireless-powered technology has been paid high attention. The devices with EH capabilities can convert energy from the surrounding environment into electricity for data transmission, such as solar, wind, or RF signals [14]. Especially with the synchronous development of antenna and circuit designs, wireless EH based on RF signals has attracted more attention due to its advantages of wireless, low cost, and small form implementation [15–17]. Furthermore, the amount of harvested energy is in milliwatts, which is enough to power small-size IoMT devices, such as medical data sensors for short-distance transmissions. Therefore, the combination of cognitive radio and EH in medical wireless sensor networks can greatly improve both the spectrum and energy efficiencies.

Although adopting cognitive radio technology with EH can effectively improve the transfer efficiency for IoMT, the variety of medical devices in healthcare fields will introduce several security problems [18]. Since the energy-constraint sensors need to perform energy harvesting and then forward the sensitive patient data wirelessly, the other illegal sensors may be the potential eavesdropper to listen such confidential messages [19]. As an emerging field, a large number of healthcare manufacturers are rushing to utilize the IoT solutions in some applications without considering security. As a result, they will bring new security problems related to confidentiality, integrity, and availability. Furthermore, due to the limited capabilities, such as lack of effective computation and sufficient power supply, many sensors in IoMT cannot embed the encryption algorithm. Therefore, this lack of strong encryption across medical sensors makes themselves to be discovered and exploited by malicious users easily.

*1.1. Related Work.* To take the full advantage of the potential gains for wireless EH, the researchers developed simultaneous wireless information and power transmission (SWIPT) schemes in wireless networks that utilize RF signals to transmit energy and information to receivers. Chen et al. [20] applied the SWIPT in relay interference channels for multiple source-destination pair communication system, where each pair of link has a dedicated EH relay serving for relaying transmission. On this basis, the optimal power allocation ratio for each relay was deduced by adopting the distributed power allocation framework of game theory. A SWIPT scheme for amplify-and-forward (AF) bidirectional relaying network based on OFDM was proposed in [21], where a wireless-powered relay performed information processing and EH by utilizing two disjointed subcarrier groups, respectively. Based on the decode-and-forward (DF) mode, Shi et al. [22] designed an optimal resource allocation strategy to maximize the energy efficiency with the nonlinear SWIPT model under a two-way relay network. For cognitive

radio networks with energy harvesting in IoT systems, Zhang et al. [23] analyzed the outage probability of a random underlay cognitive network with EH-based assistant relay. The two main challenges for cognitive radio sensor networks in IoT systems were considered in [24], where the authors developed an architecture and proposed an energy management strategy for achieving balance between the transmission performance of the networks and operational life. In [25], the insecure characteristic of electronic medical records based on eHealth systems was considered, and then a corresponding secure encrypted scheme to ensure the data security was proposed. In [26], Gurjar et al. investigated an overlaid spectrum sharing network with SWIPT for IoT systems, where a pair of SWIPT-based devices is used as the relay to assist the transmission of the primary signals. Considering information security in cognitive radio-based IoT systems, Salameh et al. [27] presented a novel algorithm for channel allocation with time-sensitive data under the scenario of jamming attacks. A secure relay selection scheme based on channel state information and battery state information was proposed for energy harvesting-based cognitive radio networks in IoT networks [28].

*1.2. Motivation and Contributions.* Unlike the above-mentioned literates [27, 28], we consider an actual application scenarios for sanatorium or hospital under the cognitive radio-based IoTM networks to protect the patients' sensitive medical information. Consider an indoor environment for sanatorium or hospital, where the PT intends to transmit its sensitive medical data to the PR, while the ST performs data monitoring and transfer to the SR. In this scenario, the node ST has lack of energy supply and need to scavenge energy from the primary transmitter, while ST can be regarded as the relay to opportunistically access the licensed primary channel. Meanwhile, we assume that an attacker is located near the PR to eavesdrop the PT's medical data. Thus, to enable the secure transmission of the PT's signal, we investigate a typical cognitive radio network with wireless-powered relay (CRN-WPR) and jointly design the optimal EH time ratio and secure beamforming vectors to maximize the secrecy transmission rate of the primary system, while effectively guaranteeing the secondary transmission rate. The main contributions are summarized as follows:

- (i) We propose a corresponding protocol for EH and secrecy information transmission for a cognitive radio-based IoMT system, where the relay node ST is equipped with multiple antennas to perform EH at first and then transfer the sensitively primary signal with DF processing to the destination in security with its own signal.
- (ii) In order to protect the sensitive medical data being sent from the PT, we formulate the optimization problem based on maximizing the secrecy transmission rate of the primary system while ensuring the transmission requirement of the secondary system. We adopt SDR and Charnes–Cooper

transformation to transform the nonconvex optimization problem into a convex optimization problem to find a solution for the optimization problem. A corresponding algorithm is then developed. In addition, the zero-forcing (ZF) scheme is also applied to solve the optimization problem as a benchmark.

- (iii) The numerical results of the influence for the secrecy transmission rate on the primary system under different system parameters are given, such as primary transmission power, number of antennas, and transmission distance. The results demonstrate excellent secure transmission performance with proposed scheme than ZF scheme.

The rest of this paper is organized as follows. The Section 2 introduces the system model and transmission protocol. Section 3 formulates the optimization problem and proposes the corresponding solution with secure beamforming. Furthermore, the ZF scheme is also adopted to solve the optimization as a benchmark. The Section 4 presents the simulation results and corresponding analyses. The Section 5 summarizes this paper.

*Notations:* Throughout this paper, let  $(\cdot)^H$  denote the conjugate transpose.  $\mathbf{I}$  presents the identity matrix with appropriate dimension.  $[x]^+$  represents the maximum value between  $x$  and 0, while  $x^*$  denotes the optimal value of  $x$ .  $\prod_x^\perp$  denotes the orthogonal projection onto the orthogonal complement of the column space of  $x$ .  $\|\cdot\|$  denotes the Euclidean norm of a vector or a matrix and  $|\cdot|$  denotes the magnitude of a channel or the absolute value of a complex number. Table 1 lists the fundamental notations and parameters.

## 2. System Model and Transmission Protocol

*2.1. System Model.* We consider a cognitive radio network with wireless-powered relay (CRN-WPR) as shown in Figure 1. The primary system is composed of a primary transmitter (PT) and a primary receiver (PR), while the secondary system consists of a secondary transmitter (ST) and a secondary receiver (SR). There also exists an eavesdropper (ME) whose purpose is to intercept the PT's confidential data in the range of the primary system, where PT intends to send confidential data to PR. The primary system may be regard as the uplink of the transmission system with poor channel quality or lower rate. Therefore, the ST is willing to act as the relay for assisting the primary transmission while delivering its own data. We assume that the PT has a fixed power supply, while the ST may have limited battery storage, so it needs to obtain energy from the received RF signal. The ST is equipped with  $N$  antennas and other nodes operate in the half-duplex mode with a single antenna.

All channels undergo the flat block Rayleigh fading channel, which is characterized by quasistatic state of the channel in one transmission-slot and independent change in different transmission-slots. Let  $\mathbf{h}_{\text{PST}}$ ,  $\mathbf{h}_{\text{SS}}$ ,  $\mathbf{h}_{\text{SME}}$ , and  $\mathbf{h}_{\text{SPR}}$  be the  $N \times 1$  complex channel vectors of the PT-ST, ST-SR, ST-

ME, and ST-PR, respectively. The channel coefficients of the PT-PR and the PT-ME links are denoted by  $h_{\text{PP}}$  and  $h_{\text{PME}}$ . The global channel state information is available for the system, which is a common assumption in physical-layer security literatures [29, 30].

*2.2. Energy Harvesting and Information Transmission.* As depicted in Figure 1, the EH and information transmission in one transmission-slot include three phases. In the first phase, the PT uses a portion of time  $\alpha[\alpha \in (0, 1)]$  of the total block time  $T$  to transmit the dedicated energy signal  $x_e$  to ST for EH. Thus, the received signal at the ST can be expressed as

$$y_{\text{ST}}^{\text{I}} = \sqrt{P_p} \mathbf{h}_{\text{PST}} x_e + \mathbf{n}_{\text{ST}}, \quad (1)$$

where  $P_p$  represents the transmission power of the node PT,  $x_e$  denotes the unit-power energy signal, and  $\mathbf{n}_{\text{ST}} \sim \mathcal{CN}(0, \delta_{\text{ST}} \mathbf{I})$  is the received additive Gaussian white noise (AWGN) with variance of  $\delta_{\text{ST}}$ . For definiteness and without loss of generality, we assume  $T = 1$ . Thus, the amount of harvested energy at the ST can be calculated as

$$E_{\text{ST}} = \alpha \eta P_p \|\mathbf{h}_{\text{PST}}\|^2, \quad (2)$$

where  $\eta \in [0, 1]$  is energy conversion efficiency. Note that the amount of scavenged energy from noise is neglected because the harvested energy from the thermal noise can be negligible compared to the energy signal.

At the second phase of duration  $(1 - \alpha)T/2$ , the PT transmits confidential signal  $x_p$  with power  $P_p$ , the received signal at the ST is thus given as

$$y_{\text{ST}}^{\text{II}} = \sqrt{P_p} \mathbf{h}_{\text{PST}} x_p + \mathbf{n}_{\text{ST}}. \quad (3)$$

The achievable rate  $R_{\text{ST}}$  can be derived as

$$R_{\text{ST}} = \frac{(1 - \alpha)T}{2} \log_2 \left( 1 + \frac{P_p \|\mathbf{h}_{\text{PST}}\|^2}{\delta_{\text{ST}}} \right). \quad (4)$$

Due to the nature of the information broadcast, the PR and eavesdropper ME can also receive the signal  $x_p$  and the received signals at the PR and ME are given as

$$\begin{aligned} y_{\text{PR}}^{\text{II}} &= \sqrt{P_p} h_{\text{PP}} x_p + n_{\text{PR}}, \\ y_{\text{ME}}^{\text{II}} &= \sqrt{P_p} h_{\text{PME}} x_p + n_{\text{ME}}, \end{aligned} \quad (5)$$

respectively, where  $n_{\text{PR}} \sim \mathcal{CN}(0, \delta_{\text{PR}})$  and  $n_{\text{ME}} \sim \mathcal{CN}(0, \delta_{\text{ME}})$  denote AWGN at PR and ME, respectively.

During the third phase  $(1 - \alpha)/2$ , the node ST first decodes the received primary confidential signal  $\hat{x}_p$  based on DF processing and then simultaneously forwards  $\hat{x}_p$  and its own signal  $x_s$  by utilizing the beamforming vectors  $\mathbf{v}_p \in \mathbb{C}^{N \times 1}$  and  $\mathbf{v}_s \in \mathbb{C}^{N \times 1}$ , respectively. Therefore, the corresponding received signal at the PR and eavesdropper ME are expressed as

$$\begin{aligned} y_{\text{PR}}^{\text{III}} &= \mathbf{h}_{\text{SPR}}^H \mathbf{v}_p \hat{x}_p + \mathbf{h}_{\text{SPR}}^H \mathbf{v}_s x_s + \mathbf{n}_{\text{PR}}, \\ y_{\text{ME}}^{\text{III}} &= \mathbf{h}_{\text{SME}}^H \mathbf{v}_p \hat{x}_p + \mathbf{h}_{\text{SME}}^H \mathbf{v}_s x_s + \mathbf{n}_{\text{PR}}, \end{aligned} \quad (6)$$

TABLE 1: List of parameters and their physical meaning/expression.

Parameter	Meaning/expression
$\mathbf{h}_{\text{PST}}, \mathbf{h}_{\text{SS}}, \mathbf{h}_{\text{SME}}, \mathbf{h}_{\text{SPR}}$	$N \times 1$ complex channel vectors of the PT-ST, ST-SR, ST-ME, and ST-PR, respectively
$h_{\text{PP}}, h_{\text{PME}}$	Channel coefficients of the PT-PR and the PT-ME
$\alpha$	Duration of energy harvesting
$T$	Total block time
$x_e, x_p$	Transmit dedicated energy signal and confidential signal at PT
$\hat{x}_p, x_s$	Decoded primary signal and secondary signal at ST
$P_p$	PT's transmission power
$\mathbf{n}_{\text{ST}}, \mathbf{n}_{\text{PR}}, \mathbf{n}_{\text{ME}}, \mathbf{n}_{\text{SR}}$	Received AWGN at ST, PR, ME, and SR
$\eta$	Energy conversion efficiency from signal power to circuit power
$R_{\text{ST}}, R_{\text{PR}}, R_{\text{ME}}, R_{\text{SR}}$	Achievable rate at ST, PR, ME, and SR, respectively
$\bar{R}_{\text{PR}}, \bar{R}_{\text{ME}}$	Overall transmission rates at PR and ME
$R_{\text{SEC}}$	Secrecy rate of the primary system
$\mathbf{v}_p, \mathbf{v}_s$	Relaying beamforming vector and cognitive beamforming vector
$E_{\text{ST0}}$	Initial power at the ST
$r_s$	Minimal transmission rate requirement for the secondary system
$\Gamma$	An auxiliary optimization variable to bound the achievable rate of the eavesdropper ME
$\beta$	Power allocation coefficient

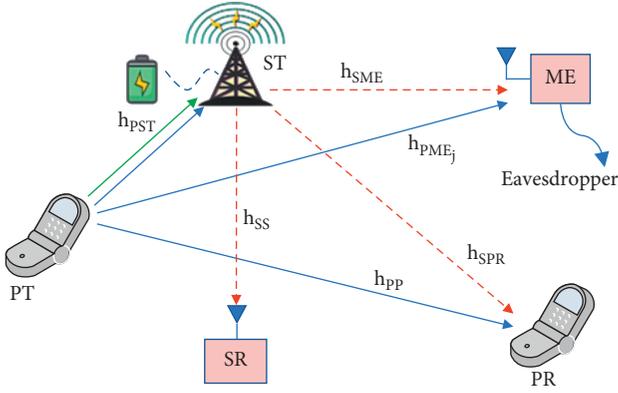


FIGURE 1: The system model of a CRN-WPR. The green line denotes the first phase for energy harvesting and the blue lines and red lines represent the second and third information transmission phases from the PT and ST, respectively.

respectively. The PR attempts to retrieve  $\hat{x}_p$  from  $y_{\text{PR}}^{\text{III}}$  in the presence of the secondary signal  $x_s$ . In the meanwhile, the eavesdropper also intends to intercept signal  $\hat{x}_p$ . Thus, the achievable rates at the PR and ME in last two phases can be expressed as

$$R_{\text{PR}} = \frac{(1-\alpha)T}{2} \log_2 \left( 1 + \frac{P_p |h_{\text{PP}}|^2}{\delta_{\text{PR}}} + \frac{|\mathbf{h}_{\text{SPR}}^H \mathbf{v}_p|^2}{|\mathbf{h}_{\text{SPR}}^H \mathbf{v}_s|^2 + \delta_{\text{PR}}} \right),$$

$$R_{\text{ME}} = \frac{(1-\alpha)T}{2} \log_2 \left( 1 + \frac{P_p |h_{\text{PME}}|^2}{\delta_{\text{ME}}} + \frac{|\mathbf{h}_{\text{SME}}^H \mathbf{v}_p|^2}{|\mathbf{h}_{\text{SME}}^H \mathbf{v}_s|^2 + \delta_{\text{ME}}} \right). \quad (7)$$

At the SR, the received signal is given by

$$y_{\text{SR}} = \mathbf{h}_{\text{SS}}^H \mathbf{v}_s x_s + \mathbf{h}_{\text{SS}}^H \mathbf{v}_p \hat{x}_p + \mathbf{n}_{\text{SR}}. \quad (8)$$

Similar to the PR, the SR treats  $\hat{x}_p$  as interference and then detects the desired secondary signal  $x_s$ . The achievable rate at the SR is given by

$$R_{\text{SR}} = \frac{(1-\alpha)T}{2} \log_2 \left( 1 + \frac{|\mathbf{h}_{\text{SS}}^H \mathbf{v}_s|^2}{|\mathbf{h}_{\text{SS}}^H \mathbf{v}_p|^2 + \delta_{\text{SR}}} \right). \quad (9)$$

### 3. Problem Formulation and Secure Beamforming

In this section, we first define the secrecy rate of the primary system, which is a critical performance index to illustrate the transmission security of the sensitive data [31, 32] and then formulate the optimization problem with maximizing the primary secrecy rate aiming to satisfy the minimum achievable rate for the secondary system and power constraint of the relay node ST. In order to effectively obtain the optimal parameters to keep data in safety, we also propose a mathematically efficient optimization scheme to solve the problem with a two-stage procedure.

**3.1. Problem Formulation.** Based on the DF cooperative communication scheme, the overall transmission rates at PR and ME equals the minimum rate of the two-hop transmissions, respectively [32], i.e.,

$$\begin{aligned} \bar{R}_{\text{PR}} &= \min\{R_{\text{ST}}, R_{\text{PR}}\}, \\ \bar{R}_{\text{ME}} &= \min\{R_{\text{ST}}, R_{\text{ME}}\}. \end{aligned} \quad (10)$$

Based on the definition of [33], the secrecy rate of the primary system for the considered secrecy CRN-WPR can be expressed as

$$R_{\text{SEC}} = [\bar{R}_{\text{PR}} - \bar{R}_{\text{ME}}]^+. \quad (11)$$

Substituting the results of equation (8) into equation (9), the overall primary secrecy rate is then given as

$$R_{\text{SEC}} = [\min(R_{\text{ST}}, R_{\text{PR}}) - R_{\text{ME}}]^+. \quad (12)$$

In the following, the EH ratio and secure beamforming vectors are jointly designed by maximizing the primary secrecy rate subject to the minimum achievable rate for the

SR and power constraint of the ST. Mathematically, the considered optimization problem can be represent as P1:

$$\begin{aligned} & \max_{\alpha, \mathbf{v}_P, \mathbf{v}_S} \left[ \min(R_{ST}, R_{PR}) - R_{ME} \right]^+ \\ \text{s.t.} \quad & \text{C1: } R_{SR} \geq r_s \\ & \text{C2: } \|\mathbf{v}_P\|^2 + \|\mathbf{v}_S\|^2 \leq \frac{2(\alpha\eta P_P \|\mathbf{h}_{PST}\|^2 + E_{ST0})}{1 - \alpha} \\ & \text{C3: } 0 < \alpha < 1, \end{aligned} \quad (13)$$

where C1 means that the achievable rate of SR should be larger than or equal to minimum rate  $r_s$  and C2 denotes the transmission power constraint at the ST with  $E_{ST0}$  representing the initial power at the ST.

**3.2. Optimal Secure Beamforming Design.** According to the analysis of formula (13), we can observe that (P1) is a nonconvex function, which is difficult to derive three optimal variables  $(\alpha, \mathbf{v}_P, \mathbf{v}_S)$  concurrently. This section proposes a mathematically efficient optimization scheme with two-stage procedure for solving the (P1) as follows:

- (i) In the stage I, we obtain the optimal secure beamforming  $(\mathbf{v}_P^*, \mathbf{v}_S^*)$  for any given energy harvesting duration  $\alpha$
- (ii) In the stage II, the global optimal solution  $(\alpha^*, \mathbf{v}_P^*, \mathbf{v}_S^*)$  can be found based on one-dimension search over  $\alpha$

In the stage I, the maximization of the primary secrecy rate is equivalent to maximizing the achievable rate of the PR subject to an alternative upper bound on the achievable rate of ME. Thus, for a given  $\alpha = \alpha_0$ ,  $R_{ST}(\alpha_0)$  is the constant value and the problem (P1) can be transformed into the following problem (P2):

$$\begin{aligned} & \max_{\mathbf{v}_P, \mathbf{v}_S} \frac{(1 - \alpha_0)T}{2} \log_2 \left( 1 + \frac{P_P |h_{PP}|^2}{\delta_{PR}} + \frac{|\mathbf{h}_{SPR}^H \mathbf{v}_P|^2}{|\mathbf{h}_{SPR}^H \mathbf{v}_S|^2 + \delta_{PR}} \right) \\ \text{s.t.} \quad & \text{C1: } \frac{(1 - \alpha_0)T}{2} \log_2 \left( 1 + \frac{|\mathbf{h}_{SS}^H \mathbf{v}_S|^2}{|\mathbf{h}_{SS}^H \mathbf{v}_P|^2 + \delta_{SR}} \right) \geq r_s \\ & \text{C2: } \|\mathbf{v}_P\|^2 + \|\mathbf{v}_S\|^2 \leq \frac{2(\alpha_0\eta P_P \|\mathbf{h}_{PST}\|^2 + E_{ST0})}{1 - \alpha_0} \\ & \text{C3: } \frac{(1 - \alpha_0)T}{2} \log_2 \left( 1 + \frac{P_P |h_{PME}|^2}{\delta_{ME}} + \frac{|\mathbf{h}_{SME}^H \mathbf{v}_P|^2}{|\mathbf{h}_{SME}^H \mathbf{v}_S|^2 + \delta_{ME}} \right) \leq \Gamma, \end{aligned} \quad (14)$$

where  $\Gamma$  represents an auxiliary optimization variable to bound the achievable rate of the eavesdropper ME, thus the maximum primary secure rate can be obtained by adjusting value of  $\Gamma$ . The optimal value of  $\Gamma^*$  can be founded by one-dimension search since it is a nonnegative value. Note that

the optimization problem (P2) is still nonconvex concerning with beamforming vectors  $\mathbf{v}_P$  and  $\mathbf{v}_S$ .

Considering  $\log_2(x)$  is monotonically increasing function of  $x$  and defining  $\mathbf{H}_{SPR} = h_{SPR} h_{SPR}^H$ ,  $\mathbf{H}_{SME} = h_{SME} h_{SME}^H$ ,  $\mathbf{H}_{SS} = h_{SS} h_{SS}^H$ ,  $\mathbf{V}_P = \mathbf{v}_P \mathbf{v}_P^H$ , and  $\mathbf{V}_S = \mathbf{v}_S \mathbf{v}_S^H$ , the problem (P2) can be denoted as a fractional programming problem, but the objective function is still nonconvex since two optimization variables  $\mathbf{V}_P$  and  $\mathbf{V}_S$  exist in the numerator and denominator of objective function, respectively. To solve the problem (P2) more effectively, the fractional programming problem can be equivalently reformulated to a convex SDR problem by utilizing Charnes–Cooper transformation [34]. Thus, we let

$$\lambda = \frac{1}{\text{tr}(\mathbf{H}_{SPR} \mathbf{V}_S) + \delta_{SR}}, \quad (15)$$

while defining  $\tilde{\mathbf{V}}_P = \lambda \mathbf{V}_P$  and  $\tilde{\mathbf{V}}_S = \lambda \mathbf{V}_S$ , the corresponding SDR of problem (P2) can be rewritten as (P3):

$$\begin{aligned} & \max_{\mathbf{v}_P, \mathbf{v}_S, \lambda} \text{tr}(\mathbf{H}_{SPR} \tilde{\mathbf{V}}_P) \\ \text{s.t.} \quad & \text{C1: } \text{tr}(\mathbf{H}_{SPR} \tilde{\mathbf{V}}_S) + \lambda \delta_{SR} = 1, \\ & \text{C2: } \text{tr}(\mathbf{H}_{SS} \tilde{\mathbf{V}}_S) - \Gamma_S \text{tr}(\mathbf{H}_{SS} \tilde{\mathbf{V}}_P) \geq \lambda \Gamma_S \delta_{SR}, \\ & \text{C3: } \text{tr}(\tilde{\mathbf{V}}_P) + \text{tr}(\tilde{\mathbf{V}}_S) \leq \frac{2\lambda(\alpha_0\eta P_P \|\mathbf{h}_{PST}\|^2 + E_{ST0})}{1 - \alpha_0} \\ & \text{C4: } \text{tr}(\mathbf{H}_{SME} \tilde{\mathbf{V}}_P) - \Gamma_e \text{tr}(\mathbf{H}_{SME} \tilde{\mathbf{V}}_S) \leq \lambda \Gamma_e \delta_{ME} \\ & \text{C5: } \tilde{\mathbf{V}}_P \succeq 0, \tilde{\mathbf{V}}_S \succeq 0, \lambda > 0, \end{aligned} \quad (16)$$

where  $\Gamma_S = 2^{2r_s/1-\alpha_0} - 1$  and  $\Gamma_e = 2^{2T/1-\alpha_0} - (P_P |h_{PME}|^2 / \delta_{ME}) - 1$ .

It must be noted that SDR cannot guarantee to derive the optimal solution  $(\mathbf{v}_P^*, \mathbf{v}_S^*)$  with rank-one. In the following, the first step is to prove that the rank of optimal  $\tilde{\mathbf{V}}_P^*$  equals to one, and then we propose a method to structure the optimal  $\tilde{\mathbf{V}}_S^*$  with rank-one when the rank of  $\tilde{\mathbf{V}}_S$  is greater than one.

Let  $\theta_1, \theta_2, \theta_3$ , and  $\theta_4$  represent the Lagrange multipliers, i.e., dual variables, related to constraints C1 to C4 in equation (16), respectively. Thus, the corresponding Lagrange function of problem (P3) can be expressed as

$$\mathcal{L}(\tilde{\mathbf{V}}_P, \tilde{\mathbf{V}}_S, \theta_1, \theta_2, \theta_3, \theta_4) = \text{tr}(\xi \tilde{\mathbf{V}}_P) + \text{tr}(\psi \tilde{\mathbf{V}}_P) + \rho, \quad (17)$$

where

$$\begin{aligned} \xi &= \mathbf{H}_{SPR} - \theta_2 \Gamma_S \mathbf{H}_{SS} - \theta_3 \mathbf{I} - \theta_4 \mathbf{H}_{SME}, \\ \psi &= -\theta_1 \mathbf{H}_{SPR} + \theta_2 \mathbf{H}_{SS} - \theta_3 \mathbf{I} + \theta_4 \Gamma_e \mathbf{H}_{SME}, \end{aligned} \quad (18)$$

and  $\rho$  denotes the residual information that is not related to the proof. According to the definition of Karush–Kuhn–Tucker conditions and Lagrange function of problem (P3), we have

$$\begin{aligned} \xi^* \tilde{\mathbf{V}}_P^* &= 0, \\ \psi^* \tilde{\mathbf{V}}_S^* &= 0. \end{aligned} \quad (19)$$

Assuming the harvested energy and initial energy are all used for secure beamforming transmission in the third phase, the power constraint C3 in equation (16) is activated with equality, thus the dual variable  $\theta_3^* > 0$ . Since the transmission channel vectors  $\mathbf{H}_{SS} \succeq 0$  and  $\mathbf{H}_{SME} \succeq 0$ , we can derive that  $\text{rank}(-\theta_2^* \Gamma_S \mathbf{H}_{SS} - \theta_3^* \mathbf{I} - \theta_4^* \mathbf{H}_{SME}) = N$ . Furthermore, since  $\text{rank}(\mathbf{H}_{SPR}) \leq 1$ , it follows that  $\text{rank}(\xi^*) \geq N - 1$ . Based on equation (19), we thus obtain  $\text{rank}(\tilde{\mathbf{V}}_P^*) = 1$ .

Define  $\kappa^* = -\theta_1^* \mathbf{H}_{SPR} - \theta_2^* \mathbf{H}_{SS} - \theta_3^* \mathbf{I} + \theta_4^* \mathbf{H}_{SME}$ , thus we have

$$\psi^* = \kappa^* + 2\theta_2^* \mathbf{H}_{SS}. \quad (20)$$

Since  $\mathbf{H}_{SPR} \succeq 0$ ,  $\mathbf{H}_{SS} \succeq 0$ , and  $\mathbf{H}_{SME} \succeq 0$ , we can obtain that  $\text{rank}(-\theta_1^* \mathbf{H}_{SPR} - \theta_2^* \mathbf{H}_{SS} - \theta_3^* \mathbf{I}) = N$ . Moreover, since  $\text{rank}(\mathbf{H}_{SME}) \leq 1$ ,  $\text{rank}(\kappa^*) \geq N - 1$  can be derived:

- (i) If  $\text{rank}(\kappa^*) = N$ , we can obtain  $\text{rank}(\psi^*) = N - 1$ , thus it follows from equation (19) that  $\text{rank}(\tilde{\mathbf{V}}_S^*) = 1$  and  $\tilde{\mathbf{V}}_S^*$  is equal to  $\text{aww}^H$ , where  $w \in \mathbb{C}^{N \times 1}$  denotes the spanning null space of  $\psi^*$  and  $a > 0$ . Thus, the corresponding optimal value of (P3) is  $(\tilde{\mathbf{V}}_P^*/\lambda^*, \tilde{\mathbf{V}}_S^*/\lambda^*)$ ;
- (ii) If  $\text{rank}(\kappa^*) = N - 1$ , we can observe that  $\text{rank}(\tilde{\mathbf{V}}_S^*) > 1$  and thus it requires constructing a new solution with rank-one. First, we obtain the orthonormal basis  $u \in \mathbb{C}^{N \times 1}$  of the null base of  $\kappa^*$ , which is defined as  $\kappa^* u = 0$  and  $\text{rank}(u) = 1$ . Then, based on the expression of  $\kappa^*$ , we can further derive that  $\mathbf{H}_{SS} u = 0$ . Thus, the optimal solution of  $\tilde{\mathbf{V}}_S^*$  is given by

$$\tilde{\mathbf{V}}_S^* = buu^H + \text{aww}^H, \quad (21)$$

where  $b \geq 0$ ,  $\|w\| = 1$ , and  $w^H u = 0$ . Finally, the optimal result of  $\tilde{\mathbf{V}}_S^*$  with rank-one can be rewritten as  $\tilde{\mathbf{V}}_S^* = \tilde{\mathbf{V}}_S^* - buu^H$ . Thus, the reconstructed optimal solution for (P3) is  $(\tilde{\mathbf{V}}_P^*/\lambda^*, \tilde{\mathbf{V}}_S^*/\lambda^*)$ .

For fixed  $\alpha = \alpha_0$ , the optimal solutions  $(\Gamma^*, \tilde{\mathbf{V}}_P^*, \tilde{\mathbf{V}}_S^*)$  can be obtained through one-dimension search  $\Gamma$  based on the following equation:

$$(\Gamma^*, \mathbf{V}_P^*, \mathbf{V}_S^*) = \arg \max_{\alpha=\alpha_0} \text{problem (P3)}, \quad (22)$$

thus, the optimal secure beamforming vectors  $(\mathbf{v}_P^*, \mathbf{v}_S^*)$  can be obtained by adopting eigenvalue decomposition (EVD) of  $\tilde{\mathbf{V}}_P^*/\lambda^*$  and  $\tilde{\mathbf{V}}_S^*/\lambda^*$ .

In order to obtain the global optimal solution for problem (P1) in the second stage, one-dimension search related to  $\alpha$  is then utilized. The optimal solution is chosen from the following equation:

$$(\alpha^*, \Gamma^*, \mathbf{V}_P^*, \mathbf{V}_S^*) = \arg \max_{\alpha \in (0,1)} \text{problem (P1)}. \quad (23)$$

The whole algorithm process can be described in Algorithm 1, which is shown as follows.

**3.3. Secure Beamforming Based on Zero-Forcing Rule.** This section investigates another secure beamforming solution based on zero-forcing (ZF) rule as a benchmark, in which the primary transmission will not be interfered by other

transmissions. Therefore, based on the criterion of ZF rule [35], the beamforming vectors  $\mathbf{v}_{S,ZF}$  and  $\mathbf{v}_{P,ZF}$  for the primary and secondary systems should be in the null space of  $\mathbf{h}_{SPR}^\perp$  and  $\mathbf{h}_{SS}^\perp$ , respectively, i.e.,  $\mathbf{h}_{SPR}^H \mathbf{v}_{S,ZF} = 0$  and  $\mathbf{h}_{SS}^H \mathbf{v}_{P,ZF} = 0$ . Since there exists an eavesdropper in the system to listen the primary's confidential information, the beamforming  $\mathbf{v}_{P,ZF}$  should also be in the null space of  $\mathbf{h}_{SME}^\perp$ , i.e.,  $\mathbf{h}_{SME}^H \mathbf{v}_{P,ZF} = 0$ . In order to be fair in secondary transmission power, we further define  $\mathbf{v}_{P,ZF} = \sqrt{\beta} P_{ST} \hat{\mathbf{v}}_{P,ZF}$  and  $\mathbf{v}_{S,ZF} = \sqrt{(1-\beta)} P_{ST} \hat{\mathbf{v}}_{S,ZF}$  with  $\hat{\mathbf{v}}_{P,ZF}^H \hat{\mathbf{v}}_{P,ZF} = 1$  and  $\hat{\mathbf{v}}_{S,ZF}^H \hat{\mathbf{v}}_{S,ZF} = 1$ , where  $\beta$  represents the power allocation coefficient and  $P_{ST} = 2(\alpha \eta P_P \|\mathbf{h}_{PST}\|^2 + E_{ST0})/(1-\alpha)$  denotes the secondary transmission power. Based on equations (13) and (14), the optimization problem based on the ZF rule can be formulated as (P4)

$$\begin{aligned} & \max_{\hat{\mathbf{v}}_{P,ZF}, \hat{\mathbf{v}}_{S,ZF}} \frac{(1-\alpha)T}{2} \log_2 \left( 1 + \frac{P_P |h_{PP}|^2 + \beta P_{ST} |\mathbf{h}_{SPR}^H \hat{\mathbf{v}}_{P,ZF}|^2}{\delta_{PR}} \right) \\ \text{s.t.} \quad & \text{C1: } \frac{(1-\alpha)T}{2} \log_2 \left( 1 + \frac{(1-\beta)P_{ST} |\mathbf{h}_{SS}^H \hat{\mathbf{v}}_{S,ZF}|^2}{\delta_{SR}} \right) \geq r_S \\ & \text{C2: } \frac{(1-\alpha)T}{2} \log_2 \left( 1 + \frac{P_P |h_{PME}|^2}{\delta_{ME}} \right) \leq \Gamma \\ & \text{C3: } \mathbf{h}_{SPR}^H \hat{\mathbf{v}}_{S,ZF} = 0, \mathbf{h}_{SS}^H \hat{\mathbf{v}}_{P,ZF} = 0, \mathbf{h}_{SME}^H \hat{\mathbf{v}}_{P,ZF} = 0 \\ & \text{C4: } 0 < \alpha < 1. \end{aligned} \quad (24)$$

Based on the objective function of the optimization problem (P4), we can observe that the optimal  $\hat{\mathbf{v}}_{P,ZF}$  should maximize the primary transmission rate under the constraint C3. Thus, the optimal  $\mathbf{v}_{P,ZF}$  can be obtained by utilizing the following optimization problem:

$$\begin{aligned} & \max_{\hat{\mathbf{v}}_{P,ZF}} |\mathbf{h}_{SPR}^H \hat{\mathbf{v}}_{P,ZF}|^2 \\ \text{s.t.} \quad & \mathbf{h}_{SS}^H \hat{\mathbf{v}}_{P,ZF} = 0, \mathbf{h}_{SME}^H \hat{\mathbf{v}}_{P,ZF} = 0. \end{aligned} \quad (25)$$

Since both the constraint functions in equation (25) include  $\hat{\mathbf{v}}_{P,ZF}$ , we thus can define a new matrix  $\mathbf{H}_S = [\mathbf{h}_{SS}^H; \mathbf{h}_{SME}^H]$  and the constraint function can be rewritten as  $\mathbf{H}_S \hat{\mathbf{v}}_{P,ZF} = 0$ . To satisfy the new constraint,  $\hat{\mathbf{v}}_{P,ZF}$  can be obtained by solving the orthogonal value of  $\mathbf{H}_S$ , which means that  $\hat{\mathbf{v}}_{P,ZF}$  should be the null space of  $\mathbf{H}_S$ . To obtain the maximization of  $|\mathbf{h}_{SPR}^H \hat{\mathbf{v}}_{P,ZF}|^2$ , the optimal  $\hat{\mathbf{v}}_{P,ZF}^*$  should be chosen the one which is in the direction of the orthogonal projection of  $\mathbf{h}_{SPR}^H$  on to the subspace  $\mathbf{H}_S^\perp$ , where the optimal  $\hat{\mathbf{v}}_{P,ZF}^*$  is given by

$$\hat{\mathbf{v}}_{P,ZF}^* = \frac{\left( \mathbf{I} - \left( \mathbf{H}_S \mathbf{H}_S^H / \|\mathbf{H}_S\|^2 \right) \right) \mathbf{h}_{SPR}}{\left\| \left( \mathbf{I} - \left( \mathbf{H}_S \mathbf{H}_S^H / \|\mathbf{H}_S\|^2 \right) \right) \mathbf{h}_{SPR} \right\|}. \quad (26)$$

Similarly, the optimal  $\hat{\mathbf{v}}_{S,ZF}^*$  can be derived by analyzing the constraint function  $\mathbf{h}_{SPR}^H \hat{\mathbf{v}}_{S,ZF} = 0$  in equation (24), where

**Initialize**  $\alpha = \alpha_0$  and  $\Gamma = \Gamma_0$ ; define  $\Gamma_{\max}$  as a large positive real number;  $\Delta\alpha$  and  $\Delta\tau$  are all small positive real numbers as the iterative steps for one-dimension search

- 1 **for** a given  $\alpha = \alpha_0$  **do** S1-S4
- 2     S1: given  $\Gamma = \Gamma_0$ , then solve problem (P3) and derive the optimal solution  $(\tilde{\mathbf{V}}_p^*, \tilde{\mathbf{V}}_s^*, \lambda^*)$  by utilizing CVX tools
- 3     S2: obtain optimal  $(\tilde{\mathbf{V}}_p^*, \tilde{\mathbf{V}}_s^*)$  through the following procedures
- 4     **if**  $\text{rank}(\tilde{\mathbf{V}}_p^*) = 1$  and  $\text{rank}(\tilde{\mathbf{V}}_s^*) = 1$ , **then**
- 5         The optimal solution for problem (P3) is  $(\tilde{\mathbf{V}}_p^*/\lambda^*, \tilde{\mathbf{V}}_s^*/\lambda^*)$
- 6     **else**
- 7         Reconstruct an optimal solution  $(\tilde{\mathbf{V}}_p^*/\lambda^*, \tilde{\mathbf{V}}_s^*/\lambda^*)$  for problem (P3) with  $\text{rank}(\tilde{\mathbf{V}}_p^*) = 1$  and  $\text{rank}(\tilde{\mathbf{V}}_s^*) = 1$  based on equation (21)
- 8     **end if**
- 9     S3: **let**  $\Gamma = \Gamma + \Delta\tau$  when  $\Gamma < \Gamma_{\max}$  and then go to S1-S2
- 10    S4: **choose** the optimal solution  $(\Gamma^*, \mathbf{V}_p^*, \mathbf{V}_s^*)$  from equation (22) and derive optimal secure beamforming vectors  $(\mathbf{V}_p^*, \mathbf{V}_s^*)$  by performing EVD
- 11 **end for**
- 12 **Update**  $\alpha = \alpha + \Delta\alpha$  and S1-S4
- Choose** the optimal solution  $(\alpha^*, \Gamma^*, \mathbf{v}_p^*, \mathbf{v}_s^*)$  based on equation (23)

ALGORITHM 1: Optimal secure beamforming design.

$\tilde{\mathbf{v}}_{S,ZF}^*$  should be the null space of  $\mathbf{h}_{SPR}^\perp$ , i.e.,  $\tilde{\mathbf{v}}_{S,ZF}^*$  belongs to the subspace  $\mathbf{h}_{SPR}^\perp$ . Here, we try to maximize the  $|\mathbf{h}_{SS}^H \tilde{\mathbf{v}}_{S,ZF}^*|^2$  so that more ST's transmission power can be used to transfer primary data to effectively ensure the secure transmission of information in the primary system. Therefore, the optimal  $\tilde{\mathbf{v}}_{S,ZF}^*$  can be derived as

$$\tilde{\mathbf{v}}_{S,ZF}^* = \frac{\left( \mathbf{I} - \left( \mathbf{h}_{SPR} \mathbf{h}_{SPR}^H / \|\mathbf{h}_{SPR}\|^2 \right) \right) \mathbf{h}_{SS}}{\left\| \left( \mathbf{I} - \left( \mathbf{h}_{SPR} \mathbf{h}_{SPR}^H / \|\mathbf{h}_{SPR}\|^2 \right) \right) \mathbf{h}_{SS} \right\|}. \quad (27)$$

According to (24), we can find that the objective function is an increasing function while C1 is a decreasing function with the increase of  $\beta$  and we can obtain the optimal  $\beta^*$  through deriving the upper bound of  $\beta$ . Therefore, the optimal  $\beta^*$  can be expressed as

$$\beta^* = 1 - \delta_{SR} \left( \frac{2^{2r_s/(1-\alpha)T} - 1}{P_{ST} |\mathbf{h}_{SS}^H \tilde{\mathbf{v}}_{S,ZF}^*|^2} \right). \quad (28)$$

Then, the optimal energy harvesting duration  $\alpha^*$  and  $\Gamma^*$  can be derived by adopting one-dimensional search.

#### 4. Simulations and Analyses of Security Transmission Performance

In this section, we will verify the security transmission performance of the primary and transmission efficiencies of the secondary system by comparing the proposed scheme and ZF-based scheme. Unless stated otherwise, we assume that all noise power are normalized to unity, i.e.,  $\delta_{PR} = \delta_{SR} = \delta_{ME} = 1$ . We also consider a scenario where the transmission distance between the PT and PR is 8 m, while the distance between the ST and SR is 3 m. Moreover, the ST is equipped with 4 antennas, and the energy harvesting efficiency is set as  $\eta = 0.5$ . The transmission channel can be modeled as  $h = d^{-\omega/2} e^{j\omega}$  with  $d$  and  $\omega = 3.5$  denoting the distance and path loss exponent, respectively [36]. The minimum transmission rate of the secondary system and

maximal auxiliary optimization variable is set to be  $r_s = 0.5$  bit/s/Hz and  $\Gamma_{\max} = 1.0$  bit/s/Hz, respectively.

Figure 2 illustrates the secrecy rate of the primary system with respect to the primary transmission power for different initial energies at the ST. In this figure, both the secrecy rates of the primary system with the proposed scheme and ZF scheme are improved with the increase of primary transmission power, respectively. Moreover, the proposed scheme outperforms the ZF scheme in terms of the primary's secrecy rate. With the lower primary transmission power, the superiority of the proposed scheme is obvious and the primary secrecy rates with both schemes are close in high primary transmission power. With the increase of the initial energy at the ST, the secrecy rate gets better as shown in Figure 2 since the more transmission power will be utilized to assist the transmission of the primary signals.

Figure 3 compares the secrecy rates of the primary system with the proposed scheme and ZF scheme against the antenna number at the ST. Obviously, with the increase of the antenna number, the secrecy rates gets better continually since more antennas will result in a higher spatial reuse efficiency. Similarly, the primary secrecy rate is always high for the proposed scheme.

Figure 4 shows the primary secrecy rates with the proposed scheme and ZF scheme against the transmission distance between the PT and ST. From this figure, we can observe that the proposed scheme is superior to the ZF scheme in terms of the primary secrecy rate, regardless the position of the ST. With the increase of the  $d_{PST}$ , the primary secrecy rates first become better and then become worse. When the transmission distance  $d_{PST}$  is short, the secrecy rates get better with the increase of the  $d_{PST}$  because more energy will be harvested for signal transmission and shorter distance for primary signal transferring. However, when the distance  $d_{PST}$  is longer, the secrecy rates get worse since the amount of harvested energy will be decreased and more path-loss will result in a negative effect for the ST to process the PT's signal. Furthermore, we can obtain that the optimal positions of the ST are roughly 3m and 4m for the proposed scheme and ZF scheme, respectively.

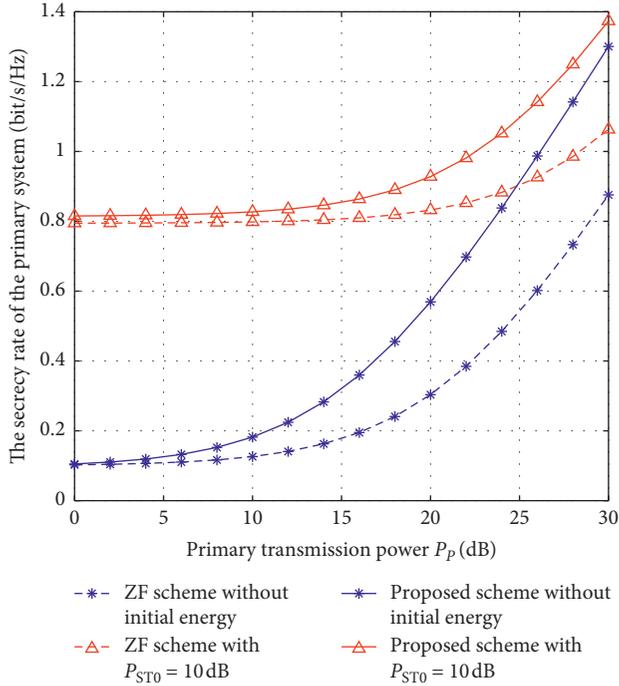


FIGURE 2: The secrecy rate of the primary system with respect to the primary transmission power  $P_p$  for different initial energies at the ST. The antenna number  $N=4$ ,  $d_{PST}=4$  m,  $d_{SPR}=d_{PP}-d_{PST}$ ,  $d_{PME}=d_{PP}$ .

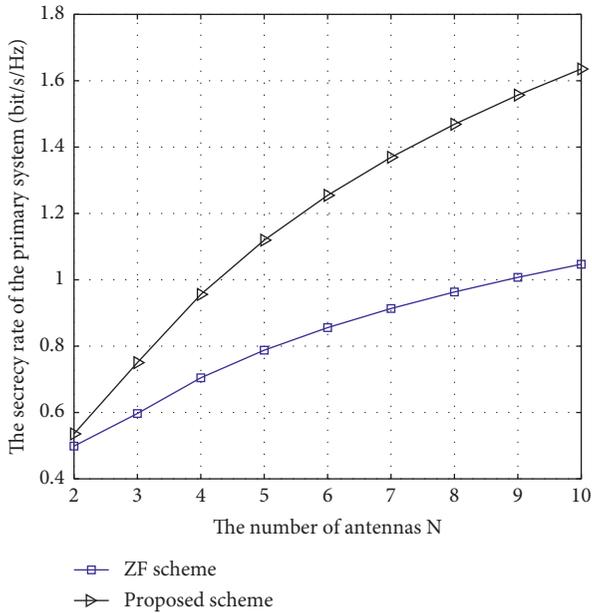


FIGURE 3: The secrecy rate of the primary system with respect to the number of antenna at the ST.  $P_p=10$  dB,  $P_{ST0}=0$  dB.  $d_{PST}=4$  m,  $d_{SS}=2$  m,  $d_{SPR}=d_{PP}-d_{PST}$ ,  $d_{SME}=d_{SPR}$ ,  $d_{PME}=d_{PP}$ .

Figure 5 shows the secrecy rate of the primary system corresponding to the ST's initial energy for different primary transmission power. In this figure, we can observe that the secrecy rates of the primary system with both the schemes are close with the increase of the ST's initial energy, which further illustrates the proposed scheme is superior to the ZF scheme. Specifically, the proposed scheme outperforms the ZF scheme

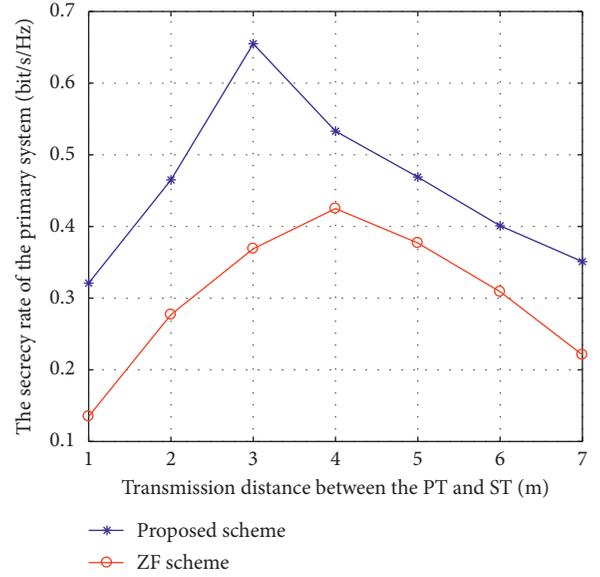


FIGURE 4: The secrecy rate of the primary system with respect to the distance between the PT and ST.  $P_p=10$  dB,  $P_{ST0}=0$  dB,  $d_{SS}=2$  m,  $d_{SPR}=d_{PP}-d_{PST}$ ,  $d_{SME}=d_{SPR}$ ,  $d_{PME}=d_{PP}$ . The antenna number  $N=4$ .

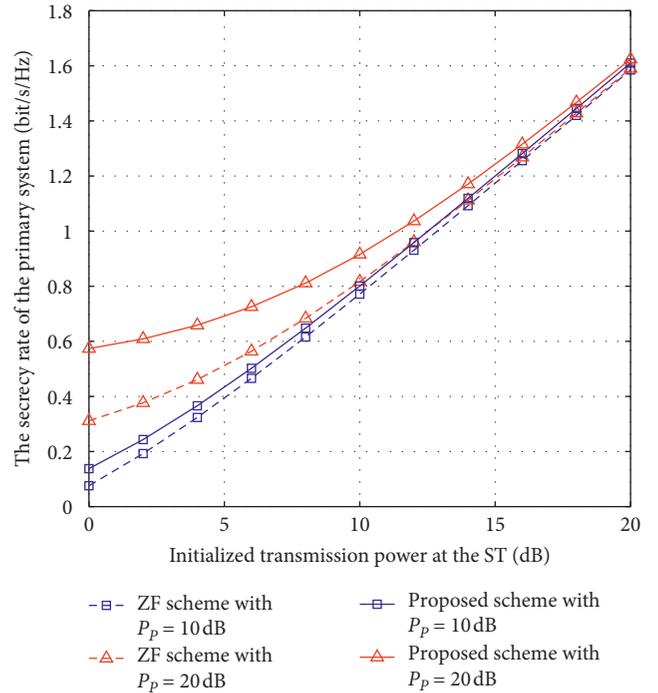


FIGURE 5: The secrecy rate of the primary system with respect to the initialized transmission power  $P_{ST0}$  at the ST for different primary transmission power  $P_p$ .  $d_{PST}=4$  m,  $d_{SS}=2$  m,  $d_{SPR}=d_{PP}-d_{PST}$ ,  $d_{SME}=d_{SPR}$ ,  $d_{PME}=d_{PP}$ . The antenna number  $N=4$ .

in a lower primary power range. However, in the higher initial primary power range, the gap of the secrecy rates of the primary system between the proposed scheme and the ZF scheme gets small. Therefore, the proposed scheme in this paper is more effective when the initial energy is small.

Figure 6 shows the achievable rate of the secondary system with respect to the primary transmission power.

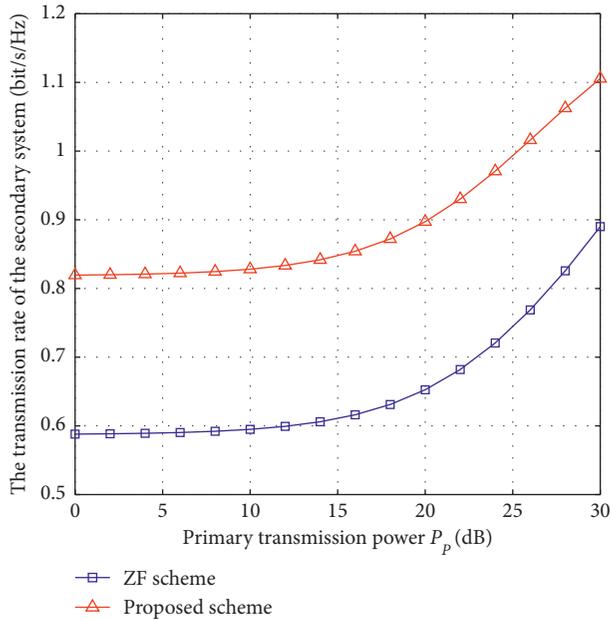


FIGURE 6: The transmission rate of the secondary system with respect to the primary transmission power  $P_p$ .  $P_{ST0} = 10$  dB.  $d_{PST} = 4$  m,  $d_{SS} = 2$  m,  $d_{SPR} = d_{PP} - d_{PST}$ ,  $d_{SME} = d_{SPR}$ ,  $d_{PME} = d_{PP}$ . The antenna number  $N = 4$ .

From the figure, the throughput of the secondary system with both the scheme is enhanced with the increase of the primary transmission power, which because of more energy will be harvested for the signal transmission. In the meanwhile, the propose scheme outperforms the ZF scheme, which verifies the effectiveness of the proposed scheme.

## 5. Conclusions

This paper studied the secure transmission problem for the cognitive radio-based IoMT with energy harvesting when the sensitive medical data sent from the PT can be listened by a malicious eavesdropper. For the sake of protecting the security of the sensitive data, we formulate the corresponding optimization problem and propose a novel algorithm for jointly designing the optimal EH duration and secure beamforming vectors to maximizing the primary secrecy transmission rate while ensuring the transmission requirement of the secondary system. In fact, the number of eavesdroppers may usually be more than one, and the proposed scheme still can be utilized to obtain optimized beamforming vectors. The numerical results presents excellent secure transmission performance with the proposed scheme than zero-forcing scheme, which can be implemented into the IoMT devices to effectively protect the security of the sensitive data.

## Data Availability

The simulation results based on Matlab used to support the findings of this study are available from the corresponding author upon request.

## Conflicts of Interest

The authors declare that there are no conflicts of interest regarding the publication of this paper.

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