

Sustainability of post-disaster and post-conflict sheltering in Africa: what matters?

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Abstract

Africa is the continent with the highest number of displaced people due to wars, humanitarian crises, resource scarcity, and extreme climate events. Post-disaster and post-conflict (PDPC) sheltering always sets out with the best intention of being a temporary solution but, in most cases, it turns into a (semi-)permanent habitat. Yet, sustainability criteria are seldom accounted for in PDPC sheltering even when some of the largest 'temporary' camps now host the third generation and house as many people as a medium sized city. The lack of consideration regarding sustainability mostly boils down to the view of sheltering as a product rather than a process, with a focus that, to date, has been either too technical (e.g., "tents-in-a-bag", "plug-and-play-houses") or too social (e.g., by investigating personal and social needs) without harmonising the two. This article aims to address this issue and advance the global debate on shelter sustainability by tapping into interdisciplinary expertise on both the African context and refugees' sheltering. A gender-balanced panel of experts identified key features of promising solutions through an iterative approach starting from existing available designs. Analytical Hierarchy Process (AHP) was then applied to establish the weight of technical and sustainability (across the three pillars of economy, environment, and society) indicators across the identified key features. Results show that solutions which adopt natural materials and local building techniques score the highest across the economic, environmental, social, and technical dimensions. Furthermore, the relative importance of these macro-categories differs greatly across genders, with female experts assigning a significantly stronger weighting to social indicators and male experts to environmental indicators. This research sheds new light on the sustainability of sheltering in Africa and paves the way for further work in the area.

Keywords: post-disaster; post-conflict; sheltering; Africa; refugees; sustainability; AHP; Delphi.

45 **1. Context and background**

46

47 Today's humanitarian crises have increased in frequency, impacting more people and
48 for longer periods of time. By the end of 2017, the United Nations High Commissioner
49 for Refugees (UNHCR) reported over 70 million persons of concern globally (UNHCR,
50 2017a), and 85% of the world's displaced people are hosted by developing countries
51 (UNHCR, 2017b). Africa hosts more than 37 million persons of concern, of whom over 6
52 million are refugees (UNHCR, 2017c). All these people require sheltering, which is
53 therefore a major global humanitarian issue. Post-disaster and post-conflict (PDPC)
54 sheltering¹ always sets out with the best intention of being a temporary solution but, in
55 most cases, it turns into a (semi-)permanent habitat (UNHCR, 2012). The Dadaab
56 refugee camp in Kenya started hosting Somali refugees in 1991 and continues to grow
57 (Figure 1) to the point that if it were a city it would be the fourth-largest of Kenya
58 (Guardian, 2011). Unsurprisingly, after 28 years there are people who have children
59 and grandchildren that were born in the Dadaab refugee complex² (UNHCR, 2018).
60



61 *Figure 1 - Ifo, one of the four refugee camps in the Dadaab complex (Kenya) [left], and the City of London and central*
62 *London [right]. The scale is the same and the spatial extension of Ifo exceeds that of the City of London – source Google*
63 *Maps (2019)*

64 While sustainability is at the heart of a global agenda on the development of cities
65 (Haughton and Hunter, 2004; Huovila et al., 2019), it is seldom considered in refugee
66 camps and PDPC sheltering as emphasised in a recent joint report by Ramboll & Save
67 the Children (2017). The report unveiled the shortcomings of current shelter design,
68 highlighting a lack of life-cycle thinking as a potential missing link between design and
69 sustainability. Ramboll & Save the Children (2017) particularly highlighted a lack of
70 appreciation of the environmental impacts of aid shelters as a clear knowledge gap and
71 noted that the fact that harming the environment is often neglected despite the
72 contribution to natural disasters, which in turn forcibly displaces even more people.
73

74 There remains, however, a tension between the need for stockpiled, instantly
75 deployable shelters and the view of shelter as a process where local communities
76 become implementing partners in the event of a crisis to drive long-term development

¹ Sheltering terminology is varied, diverse, and poorly agreed upon. Our choice for post-disaster post-conflict sheltering aims to be self-explanatory and to minimise confusion. For further discussion, the reader is referred to Barakat (2003) and Albadra et al. (2018).

² The Dadaab refugee complex consists of four camps: Dagahaley, Ifo, Ifo 2 and Hagadera.

77 by empowering the community. To this end, this article aims to build on existing
78 research and advance the global debate on shelter sustainability by tapping into
79 interdisciplinary expertise on both the African context and refugees' sheltering. The
80 following section reviews existing literature, while the mixed method research design
81 used is described in Section 3. Results are presented and discussed in Sections 4 and 5,
82 while Section 6 concludes the article.

83 **2. Literature Review**

84
85 In a systematic literature review focused on the past and future of post-disaster
86 reconstruction, Yi and Yang (2014) highlighted several aspects which are key to the
87 scope of this article. Firstly, when mapping global hubs of research in the field they
88 found that Africa is hardly represented. This adds to the finding that most of the
89 research in the field is carried out by academics in developed countries, often neglecting
90 the need for, and availability of, expertise in developing countries. They further
91 highlight that future research should focus on sustainability and integrated
92 development, which they have identified as an existing and significant gap (Yi and Yang,
93 2014). Within this sustainability ethos, the authors make a compelling case for
94 considering sustainability as early as possible after the disaster occurs rather than
95 "revisiting the issue after life returns to normal" (Yi and Yang, 2014, p.28). This aspect
96 echoes the findings of Abrahams (2014) who undertook a case study of transitional
97 shelter implementation in Haiti and concluded that neglecting environmental
98 sustainability can exacerbate the impact of the disaster and hinder the long-term
99 recovery. The author also identified barriers to environmental sustainability, which he
100 grouped into prioritisations and perceptions within the disaster response sector, as well
101 as structural and organisational barriers within the disaster response framework
102 (Abrahams, 2014).

103
104 Existing academic literature on the sustainability of post-disaster sheltering solutions is
105 scarce. In addition to the report by Ramboll & Save the Children (2017), which
106 concluded that this aspect is often overlooked, Albadra et al. (2018) reviewed academic
107 literature over the past four decades and found that only a few academic papers
108 addressed sheltering sustainability and life-cycle environmental impacts. Within this
109 body of literature, most articles focus on temporary housing and are based on case
110 studies. Atmaca (2017) carried out a life cycle assessment (LCA) for container and
111 prefabricated houses across a 15 and 25 year lifespan in Turkey, finding higher carbon
112 and energy values for the container houses. In a study that also considered life cycle
113 costs (Atmaca and Atmaca, 2016), the authors found that in addition to lower energy
114 requirements, prefabricated houses also incurred 30% lower costs on average. Both
115 studies concluded that the majority of the whole-life energy and carbon is linked to the
116 operational phase, with materials and construction accounting for a mere 12-14%.

117
118 Conversely, Song et al. (2016), who carried out an LCA of light-framed temporary
119 housing in a case study in Nanjing, China built with local technologies, found that the life
120 cycle energy of post-disaster temporary housing is much higher than that of low-energy
121 buildings, and that the construction contributes to 65% of the whole-life energy. To
122 mitigate such high embodied energy, the authors suggested using recycled materials as
123 well as lighter structures and light cladding.

124

125 Amin Hosseini et al. (2016) conducted a case study of temporary housing units in Bam,
126 Iran and, rather than quantifying impacts, they propose a new multi-criteria decision-
127 making method to assess the sustainability of post-disaster temporary housing units.
128 Their sustainability analysis is based on the three sustainability pillars and considers
129 economic, social, and environmental requirements. Economic indicators are
130 construction and maintenance costs; social indicators are construction time, risk
131 resistance against natural or man-made disasters, and comfort; and environmental
132 indicators are embodied energy and carbon, waste generation, and water consumption
133 (Amin Hosseini et al., 2016). This framework is then applied to four different solutions
134 to identify the one that offers the best performance. One issue with their results is that
135 values for embodied energy and carbon are entirely taken from the ICE database
136 (Hammond and Jones, 2011), which is strictly UK specific and therefore unlikely to
137 represent the Iranian context. The use of inapplicable numbers might well affect the
138 validity of the results produced although the framework could still be used if supported
139 by appropriate data.

140
141 Another framework, with the different aim of assessing the resilience embedded in
142 reconstruction projects of post-disaster housing, was developed by Ahmed and
143 Charlesworth (2015). Their framework was intended to be used as a tool in the field
144 and is based on three stages: pre-assessment, assessment, and consolidation. Their take
145 on sustainability is that more resilient housing, designed with future risks in mind, can
146 increase its durability and thus prove more sustainable. The authors tested their tool in
147 the Cook Islands and Sri Lanka, concluding that it proved useful to NGOs to evaluate the
148 disaster resilience of previously built housing projects.

149
150 Arslan and Cosgun (2008) adopted a qualitative approach to investigate the reuse and
151 recycle potential of temporary houses after occupancy, from a case study in Duzce,
152 Turkey. They observed production, occupancy, and dismantling phases concluding that
153 better pre-disaster design and organisation is necessary to maximise the recycling and
154 reuse potential of housing units once they have been vacated. The authors also
155 identified the necessity of integrated planning and distribution between all actors
156 involved (local and national governments, NGOs, and the affected communities). In
157 another case study in the same location in Turkey, Arslan (2007) optimised the design
158 of a temporary housing unit to maximise the reuse and recycle potential in the
159 transition from dismantling the unit to the reconstruction of a permanent house.

160
161 Design was also the key focus of Tucker et al. (2014) who used a case study in Sri Lanka
162 to illustrate a structured approach to sustainable design of post-disaster housing. This
163 was used to generate a housing design that meets the desired environmental criteria.
164 One of the limitations that the authors identify, in line with what Yi and Yang (2014)
165 also highlighted in their review, is the lack of involvement of relevant stakeholders (e.g.
166 inhabitants) or expert groups in developing countries. Nonetheless, their approach of
167 applying lessons from traditional housing to the construction of post-disaster housing
168 shows that more sustainable solutions can be achieved “because the materials and
169 construction methods are more rooted in the cultural and climatic contexts” (Tucker et
170 al., 2014, p.177).

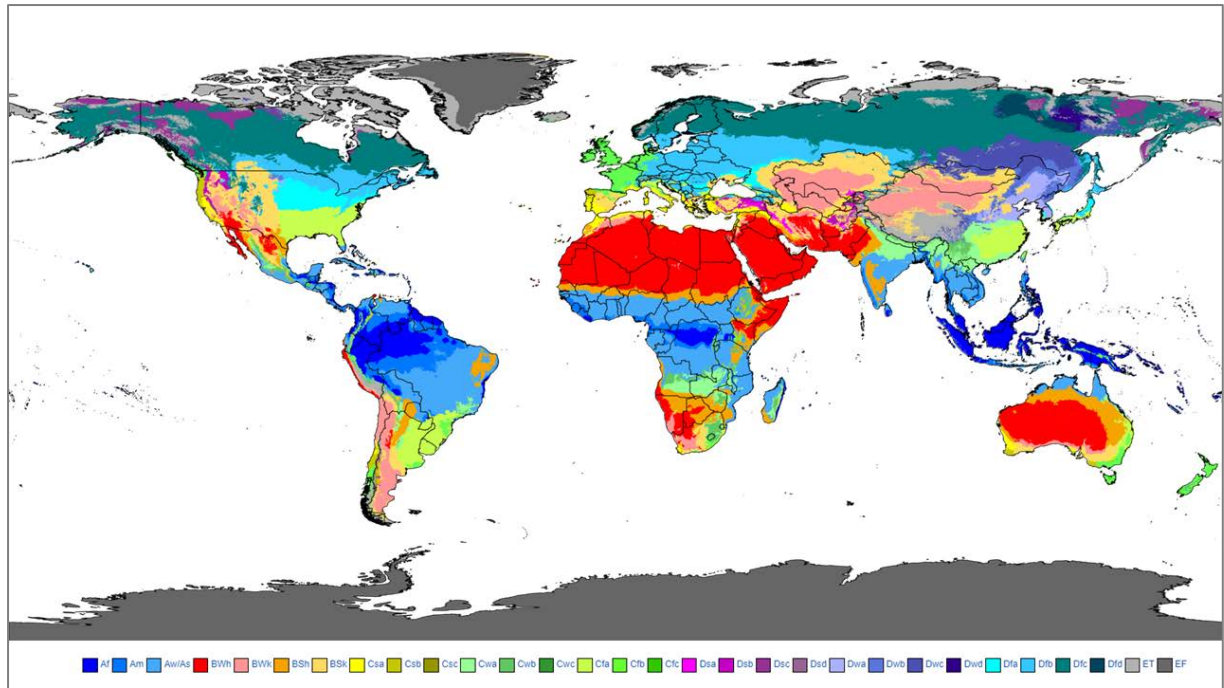
171
172 In a recent study, Fosas et al. (2018) focused on improved refugee housing through
173 cyclic design applied to the Azraq camp in Jordan. Their work is solely focused on the

174 operational phase (i.e. the occupancy stage) and the authors propose the thermal
175 monitoring of existing shelters to develop and validate baseline simulation models,
176 which can then be used for improvement and optimisation cycles before mass-
177 construction (Fosas et al., 2018). Their analysis of the Azraq camp revealed that existing
178 shelters overheat significantly, causing thermal distress and increased morbidity. The
179 cyclic design approach they proposed resulted in the incorporation of simple passive
180 design strategies which yielded substantial performance improvements in terms of
181 thermal comfort.

182
183 Escamilla and Habert (2015) offer a more holistic approach to sustainability evaluation
184 through their assessment of the economic and environmental performance—through
185 life cycle costing (LCC) and LCA, respectively—of 20 shelter designs across 11 different
186 global locations. They concluded that both global and local materials can be used
187 sustainably in sheltering, and that shelters with high cost and/or environmental impact
188 are not associated with a better technical performance. In particular, local materials
189 provide better environmental performance and lower costs while globally sourced
190 materials show higher costs and better technical performance (Escamilla and Habert,
191 2015). Later work, partly by the same authors (Celentano et al., 2019), identified the
192 speed of shelter delivery as a crucial element to respond to crises efficiently and avoid
193 spontaneous unsafe or unlawful informal re-settlements. They found a significant
194 correlation between material procurement and speed, with construction time strongly
195 influenced by the complexity of roof design (Celentano et al., 2019). They also proposed
196 a multiscale approach for material selection to drive efficient reconstruction.

197
198 Technical aspects are a fundamental consideration as they help pinpoint solutions that
199 are technically sound and economically viable. However, some of the studies reviewed
200 showed that focusing solely on the technicalities of shelter design risks sheltering being
201 viewed as a product rather than as a key element of a process that accepts incremental
202 additions and amendments. According to the International Organisation for Migration
203 (IOM, 2012), this is a vital role of shelters. Technical assessments also exclude social
204 considerations, and solutions designed solely with a technical focus in mind can fall
205 short of meeting users' needs and respecting diverse and local cultures. Social and
206 cultural inadequacy is indeed one of the shortcomings in PDPC housing identified by
207 Félix et al. (2013). Significant improvements in cultural aspects and social sustainability
208 have also been identified as critical elements to improve global humanitarian response
209 by Alshawawreh et al. (2017), during site visits to the Syrian camps in Jordan and
210 interviews with their residents. Geographical foci are important not just to account for
211 the diversity of cultures that must be respected, but also for an effective design that
212 reflects the diversity of the global climate. This is evident from the Köppen-Geiger (Beck
213 et al., 2018; Peel et al., 2007) climate classification map (Figure 2).

214
215 Africa has several clearly distinguished climate zones and, realistically, each one would
216 have solutions that work better than they do in different climates. One-size-fits-all
217 solutions are therefore unlikely to ever work in PDPC sheltering, much as they fail to
218 work for regular buildings (Oliver, 2007), as they ignore the diversity that exists both
219 with people and the environment.



220
221

Figure 2 – Global Köppen-Geiger climate classification map (Beck et al., 2018) - CC BY 4.0

222 The literature reviewed in this section has highlighted several important aspects, which
 223 this article intends to build on. Firstly, Africa is severely underrepresented in the
 224 existing literature at different levels: as the focus of existing studies, in terms of
 225 academic authors, and in providing local expert knowledge and stakeholders’
 226 involvement. These are all key elements to achieve long-term development,
 227 sustainability, and community empowerment. Furthermore, a substantial share of the
 228 existing literature is based on case studies. This proves the need for a contextualised
 229 approach with local foci, due to the sheer difference that exists in PDPC situations
 230 around the world. This view is further supported by technical analyses and life cycle
 231 assessments carried out for different shelter solutions around the world: no single
 232 optimal solution exists from a technical, environmental, and economic viewpoint.
 233 Additionally, short-termism does not pay off and the benefits are only maximised if
 234 sustainability considerations come as early as possible following a disaster or conflict.

235

236 An opportunity also emerged to learn from traditional housing techniques, including
 237 materials and construction methods, which could result in solutions better suited to
 238 meet the needs of their intended users. Additionally, the literature reviewed showed
 239 that, in order to be holistically addressed, sustainability requires the consideration of at
 240 least the following four intertwined dimensions:

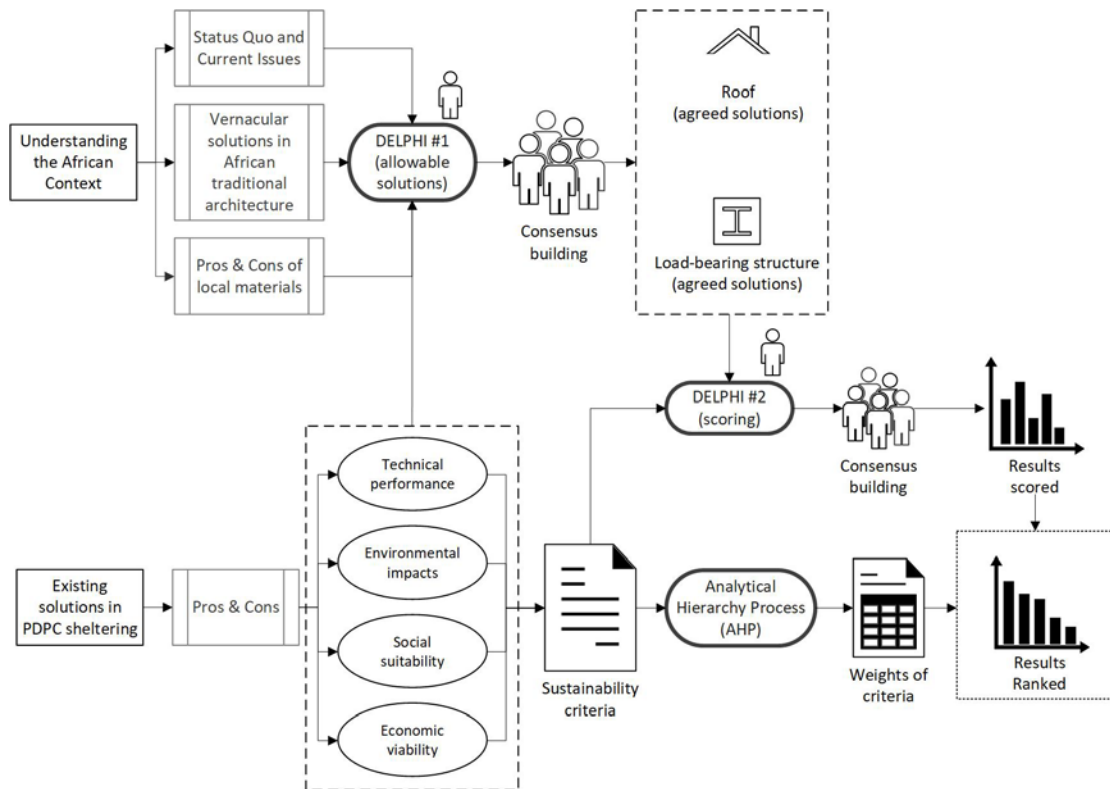
- 241 - technical performance – to ensure solutions that are fit for purpose
- 242 - economic viability – to ensure solutions can be realistically procured by NGOs
243 and deployed in the field
- 244 - low environmental impacts - to reduce the harm to the planet and to avoid high
245 carbon emissions due to materials, transportation and operation that in turn
246 further contribute to climate change and natural disasters, and
- 247 - social suitability – to ensure the solutions benefit the intended users and their
248 communities and act to drive long-term empowerment and development.

249

250 All these considerations have formed the basis for this research, and helped to shape
 251 the methodology adopted, which is discussed in the next section.
 252

253 3. Methodology

254
 255 The interdisciplinarity of this research, as well as the complexity of the topic it deals
 256 with, guided us towards a mixed methods research design and the overarching
 257 framework is shown in Figure 3.
 258



259
 260 *Figure 3 - Mixed methods research framework designed for, and utilised in, the current research*

261 Preliminary work was carried out in parallel at both the University of Cape Town,
 262 Africa, and Edinburgh Napier University, UK. Team members in Africa focused on
 263 understanding the status quo in the country, including numbers of displaced people,
 264 shares of people displaced by conflict and by disasters, number and population of
 265 informal settlements, and country specific analyses for Uganda, Kenya, Ethiopia, Sudan,
 266 DRC, Nigeria, and South Africa. The team also worked on retrieving, reviewing and
 267 understanding information related to traditional African architecture (e.g. Denyer,
 268 1978), as well as traditional construction techniques and local materials (e.g. Van
 269 Lengen, 2008). Concomitantly, the team in the UK reviewed and analysed the state of
 270 the art on post-disaster post-conflict sheltering. The analysis focused on both existing
 271 solutions (PDPC shelters that have been used in the field) and novel designs (PDPC
 272 shelters that have been engineered and prototyped but never deployed in a
 273 disaster/conflict context). Both clusters have been assessed against the four key
 274 dimensions which emerged from the literature review: environmental impacts, social
 275 suitability, economic viability, and technical performance.
 276

277 The analysis of these four dimensions allowed a comprehensive list of sustainability
 278 criteria to be derived. The factors identified for each sustainability dimension are
 279 shown in Table 1.

280 *Table 1 - Factors considered across the four sustainability dimensions*

Social	Social Status
	Involvement of local people
	Familiarity to intended users
Environmental	Local availability of materials required
	Healthy (does not harm, e.g. toxic substances)
	Low environmental impacts (e.g. carbon emissions)
Economic	Low construction costs
	Long potential lifespan
	Low life cycle costs
Technical	Easy to maintain
	Safe (e.g. low fire risk, sound structure)
	High construction speed

281
 282 Both teams prepared reports of their work that constituted the starting point for the
 283 following phases of the research, namely the Delphi and the Analytical Hierarchy
 284 Process (AHP) methods. The Delphi has been used twice: to reach consensus on a
 285 manageable number of agreed solutions for both the load bearing structure and the roof
 286 of PDPC sheltering (Delphi #1), and to reach consensus on the scoring of the identified
 287 solutions based on the sustainability criteria identified in the preliminary phase (Delphi
 288 #2). The AHP has instead been used to assign weights to the sustainability criteria
 289 (through the individual comparative weighting of the factors presented in Table 1), and
 290 combined them with the scored results in order to rank the results according to the
 291 individual weights of each criterion. Both methods are described in detail in the
 292 following sub-sections.

293 294 **3.1 The Delphi method**

295
 296 The Delphi method (or technique) was first presented by Norman Dalkey and Olaf
 297 Helmer in the 1950s (Franklin and Hart, 2007) and it has since been widely employed in
 298 several aspects of management, applied, medical, engineering, environmental, and
 299 social sciences (Ameyaw et al., 2016; Harland et al., 1999; Jorm, 2015; MacCarthy and
 300 Atthirawong, 2003; Strand et al., 2017). A Delphi is often used either as a forecasting
 301 technique or as a tool “to investigate and understand the factors that influence or may
 302 influence decision-making on a specific issue, topic or problem area” (MacCarthy and
 303 Atthirawong, 2003, p.796). In practice, the Delphi structures a plural communication so
 304 that individuals can effectively deal with complex problems (Linstone and Turoff, 1975)
 305 through a systematic and iterative process that aims to develop a consensus by
 306 attempting to use the combined knowledge of a panel of experts (Wisniewski, 2009).
 307 However, the Delphi should not be confused with other techniques using multi-expert
 308 opinions (e.g. workshops) since it avoids group interactions of individuals that might
 309 result in induced response, and requires anonymity to prevent biases (MacCarthy and
 310 Atthirawong, 2003). Therefore, “the psychological factors affecting panel discussions
 311 such as compromising, the ‘bandwagon’ effect, or displaying an unwillingness to reverse

312 or modify a previously stated opinion in the face of reasonable counter arguments, can
 313 be minimized” (McDermott and Stock, 1980, p.3). Overall, the Delphi is characterised by
 314 four specific features (Benson et al., 1982; Tavana et al., 1996): (1) anonymity among
 315 the panel of experts; (2) obtaining a statistical group response from structured
 316 questioning; (3) iteration; and (4) controlled feedback. They have all been adhered to
 317 when implementing the Delphi for this research.

318
 319 The panel selection is at least as vital as the questions asked. According to Clayton
 320 (1997), an accurate choice of the panel members is essential for the reliability of the
 321 data collected throughout the process. However, what does “to be expert” mean? As
 322 expressed by Martino (1993), panellists should be expert in knowing more than most
 323 people about the topic being considered. Therefore, a panel member should be selected
 324 with regard to the topic under investigation and expertise in other areas is irrelevant. In
 325 order to achieve a comprehensive perspective of the topics considered, the panel
 326 members should also come from several fields. Sampling them all from the same
 327 professional and cultural background would be the first step to invalidate the study.
 328 Thus, these guidelines were followed in convening the panel of experts. A further issue
 329 in selecting panel members is in their number. A recent study (Toppinen et al., 2017)
 330 reports that Delphi panellists can range from few to 50, and within the existing
 331 literature only one study suggested a rule for the number of experts to be involved.
 332 McDermott and Stock (1980) suggest that consensus decisions achieved by Delphi
 333 panels with five or more experts are superior to individual decision making. Therefore,
 334 we have attempted to avoid this lower bound reference value and managed to recruit a
 335 total of nine experts for this research. Details that can be disclosed about the panel are
 336 given in Table 2.

337
 338 *Table 2 - Background details on the expert panel*

Professional Background	Geographical location	Gender
Humanitarian engineering	Africa (3)	F (5)
NGO (Refugee camps)	Middle East (2)	M (4)
Architectures of emergencies	Europe (4)	
Bio-architecture		
Biomimicry and policy-making		
Construction management		
Project management		
Structural engineering		
Humanitarian logistics		

339

340 **3.2 Analytic Hierarchy Process (AHP)**

341

342 The Analytic Hierarchy Process (AHP) is a structured technique for handling complex
 343 decision-making problems which was developed by Thomas Saaty (1987; 1988) and
 344 has also been applied in conflict- and disaster-related research (e.g. Saaty, 1990; Tuğba
 345 Turğut et al., 2011). Both quantitative and qualitative factors are combined by using the
 346 AHP in the decision-making process. AHP is a flexible and adaptable tool and it has
 347 therefore found several applications in the field of engineering and the built
 348 environment. For instance, it has been used to select the location of tsunami shelter
 349 (Choi et al., 2012) as well as environmentally friendly design alternatives (Ng, 2016), or

350 to identify suitable construction methods for bridges (Pan, 2008) and building
 351 components (Moghayedi and Windapo, 2018). The AHP can be divided into the
 352 following steps:

- 353
- 354 1. Structure the decision hierarchy, considering the goal of the study and
 355 determine the criteria and sub-criteria
- 356 2. Establish a set of all judgments in the comparison matrix in which the set of
 357 elements is compared to itself
- 358 3. Determine the relative importance of factors by calculating the corresponding
 359 eigenvectors to the maximum eigenvalues of comparison
- 360 4. Verify the consistency of judgments across the Consistency Index (CI) and the
 361 Consistency Ratio (CR)
- 362

363 In our specific case, this meant creating a set including the dimensions and their factors
 364 presented in Table 1, which was compared to itself by using the fundamental scale of
 365 pair-wise comparison shown in Table 3. The criteria on the same level of the hierarchy
 366 are compared to establish the relative importance compared to the criterion of the
 367 higher level. This process allows values that weigh criteria to be obtained and to define
 368 a ranking of the alternatives. For instance, within the social dimension, one sample
 369 comparison was 'Kindly indicate the relative importance of familiarity to people to
 370 social status'. This was implemented in Excel to capture the quantitative nature of the
 371 method and a sample screenshot is given in Section 2 of the supplementary material.

372
 373 *Table 3 - Scale for pair-wise comparisons in AHP*

Definition	Relative importance
Equal importance	1
Moderate importance	3
Strong importance	5
Demonstrated importance	7
Extreme importance	9
Intermediate values	2, 4, 6, 8

374
 375 The Consistency Index (CI) is defined as:

$$376 \quad CI = \frac{\lambda_{max} - n}{n - 1} \quad (\text{Eq. 1})$$

378
 379 where λ_{max} is the eigenvalue corresponding to the matrix of pair-wise comparisons and
 380 n is the number of elements being compared. The Consistency Ratio (CR) is calculated
 381 using the following equation:

$$382 \quad CR = \frac{CI}{RCI} \quad (\text{Eq. 2})$$

384
 385 where RCI is a random consistency index related to the number of criteria (n)
 386 considered, with values given in Table 4.

387
 388

Number of criteria (n)	RCI
1,2	0.00
3	0.58
4	0.90
5	1.12
6	1.24
7	1.32
8	1.41
9	1.45
10	1.49

390
 391 The measurement of consistency reflects whether an individual understands and
 392 captures the interactions among different factors of the problem, or if their decision is
 393 more a matter of randomly hitting a target. However, perfect consistency is hard to
 394 achieve in real life problem-solving. Saaty (1996) stated that inconsistency must be
 395 precisely one order of magnitude less important than consistency, or simply 10% of the
 396 total concern with consistent measurement. If it were larger it would disrupt consistent
 397 measurement and if it were smaller it would make an insignificant contribution to a
 398 change in measurement. This threshold has been applied in the AHP employed in this
 399 research.

400 **4. Results (Delphi)**

401
 402 **4.1 First application: determining allowable solutions**

403
 404 The first round of the Delphi saw the panel presented with the question of identifying
 405 allowable solutions for PDPC sheltering based on their diverse and multidisciplinary
 406 expertise, and in light of the evidence from the preliminary work carried out in Africa
 407 and the UK. Given the evidence from existing literature on the criticality of the roof, the
 408 experts were asked to treat the load bearing structure and the roof separately and
 409 identify allowable solutions for both They were also asked to bear in mind evidence
 410 presented related to the African contexts as well as positive and negative aspects of
 411 existing solutions and novel designs in PDPC sheltering. The panel was tasked with
 412 identifying a “manageable” number of allowable solutions where the exact number was
 413 not prescribed *a priori* but rather left to the consensus building process of the Delphi. In
 414 total, five rounds of the Delphi were required in this first application: two to reach
 415 consensus on load-bearing structures and three on the roof.

416
 417 To rate the different elements in the Delphi, a three-point Likert scale was used (Jacoby
 418 and Matell, 1971) since our intention was not to achieve convergence towards a single
 419 solution, but rather to understand whether there was enough support by the experts to
 420 either keep or discard each solution in turn. It was not prescribed that each roof
 421 solution be applicable to every load-bearing solution but that at least one load-bearing
 422 solution could fit each identified roof solution. Regarding the latter, the number of
 423 allowable solutions exceeded the “manageable” number as intended by the research
 424 team. However, one of the strengths of the Delphi is to allow the panel to defend their
 425 views and maintain strong divergence in their opinions, indicating polarity among the
 426 experts into two (or more) schools of thought (McDermott and Stock, 1980). This

427 phenomenon occurred in this research and therefore the Delphi was ended when no
 428 further agreement could be reached on reducing the number of allowable solutions for
 429 the roof. Results of what the panel decided are shown in Table 5.

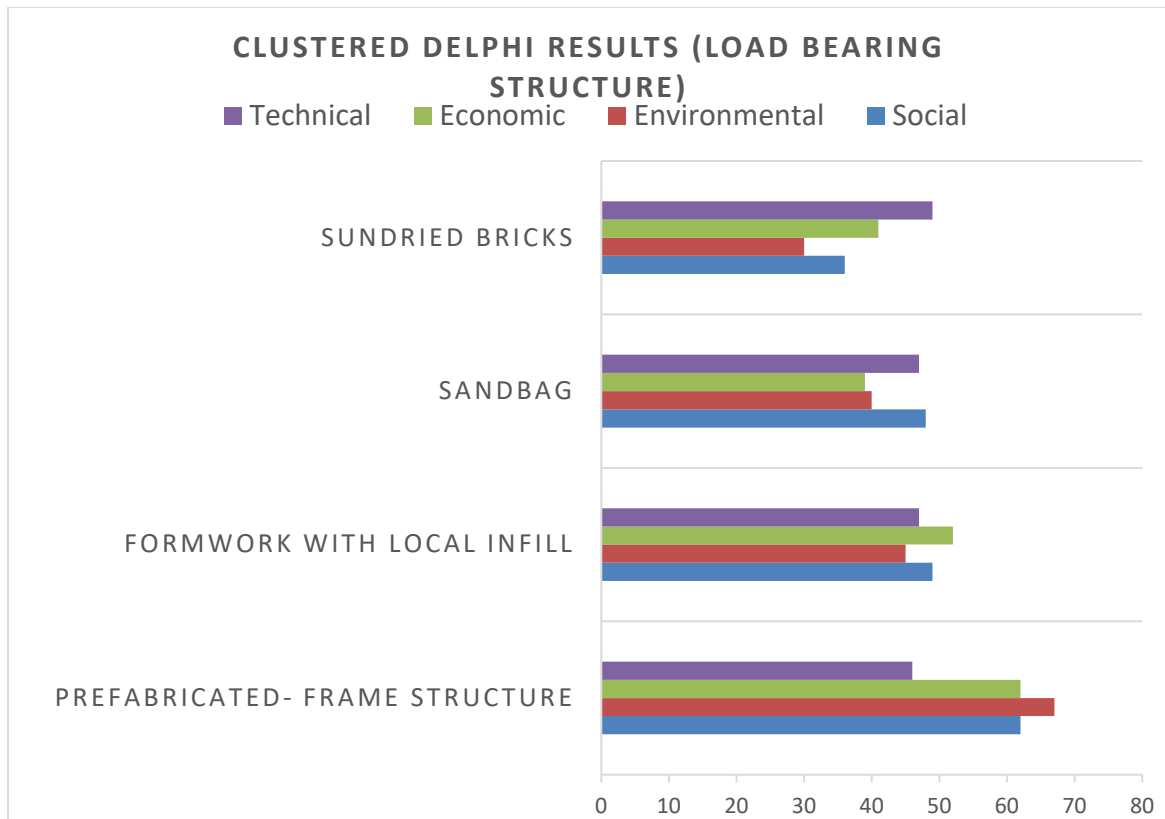
430
 431 *Table 5 - Allowable solutions for both the load bearing structure and the roof that emerged from the first application of*
 432 *the Delphi in this research - *Natural frame was intended as a frame made of natural materials (e.g. timber, bamboo)*

Allowable Solutions (load-bearing structure)	Allowable Solutions (roof)
Prefabricated frame structure	Flat - precast concrete and clay pot frame with mud plaster
Formwork with local infill	Flat - natural frame* and clay (on closely packed timber)
Sundried bricks	Flat - natural frame and corrugated sheeting
Sandbags	Pitched - frameless with sandbags
	Pitched - natural frame and corrugated fiberglass/resin
	Pitched - natural frame - thatch/grass
	Pitched - natural frame - tiles
	Pitched - natural frame - metal
	Pitched - natural frame - plastic
	Pitched - natural frame - canvas/hemp

433
 434 **4.2 Second application: scoring solutions against sustainability criteria**
 435

436 In the second application of the Delphi method in this research, the experts were
 437 presented with a questionnaire developed from the sustainability criteria that emerged
 438 from the preliminary work (Table 1). They were asked to rate each of the allowable
 439 solutions (for both the load bearing structure and the roof) against these criteria on a
 440 three-point Likert scale. The overall criteria had been clustered along the four main
 441 dimensions previously described: social suitability, economic viability, technical
 442 performance, and environmental impacts. The full questionnaire presented to the panel,
 443 and that was operationally implemented in Survey Monkey, is given as supplementary
 444 material. In the first round the experts were asked to provide individual ratings. These
 445 were collected, analysed, and combined by the research team and in the second round
 446 the panel were shown the combined results with the overall scores. At this stage, they
 447 were asked whether they agreed on the resulting score, which they did and thus the
 448 Delphi ended after two rounds. Given the 12 questions and the 14 solutions (10 for the
 449 roof and four for the load-bearing structures), the nine experts answered 168 questions
 450 each, totalling 1,512 valid answers. Clustered results for the load-bearing structure and
 451 the roof are shown in Figure 4 and Figure 5, respectively. Due to how the Likert scale
 452 was presented to the experts and implemented in the Delphi, lower numbers indicate
 453 better performance.

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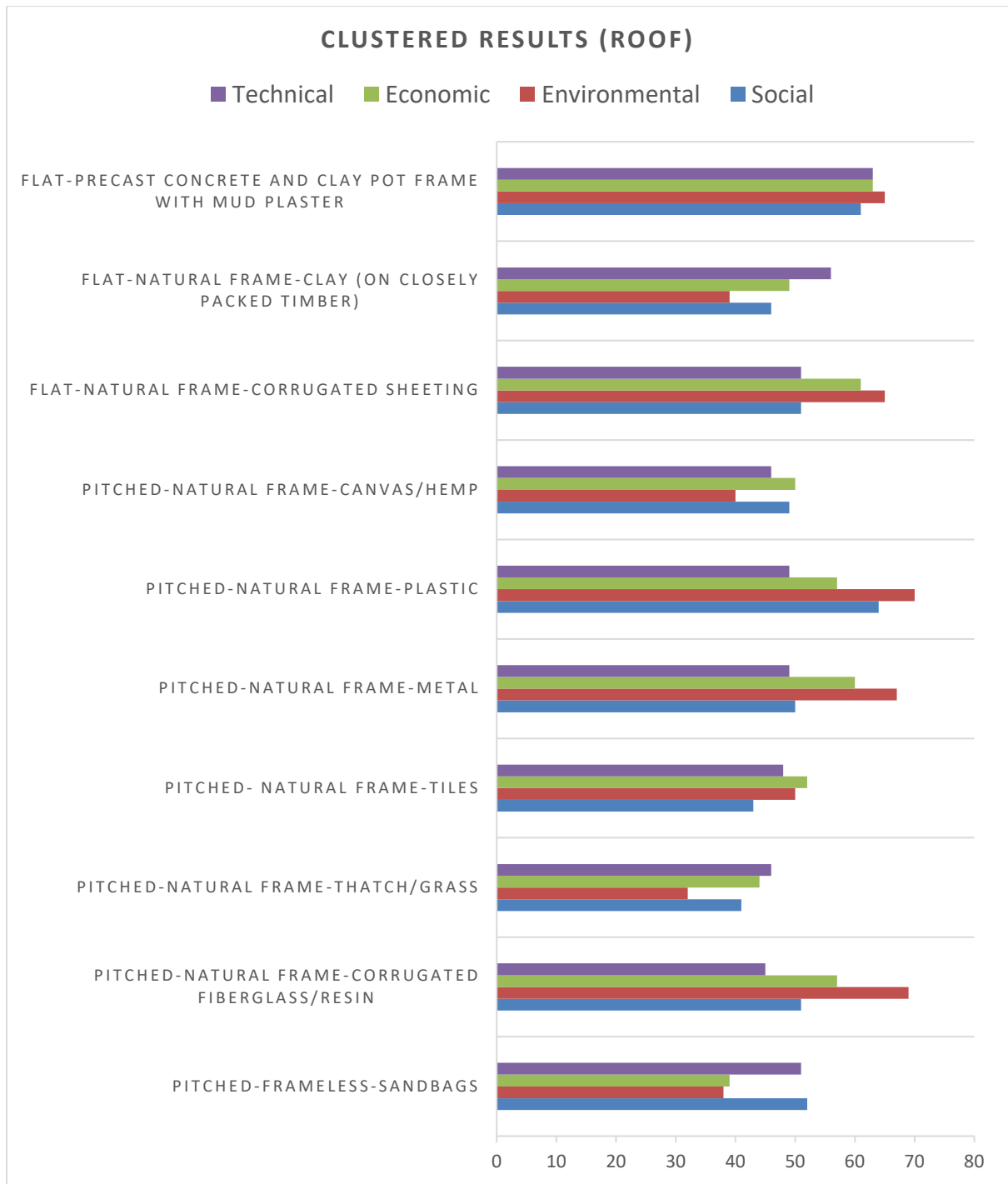


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Figure 4 - Clustered results for the allowed solutions for the load-bearing structure from the second application of the Delphi. The scale on the x-axis refers to the summation of the results from the three-point Likert scale used by the experts. Due to how the Likert scale was presented to the experts and implemented in the Delphi, lower numbers indicate better performance

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Figure 4 and Figure 5 show how different solutions (for both the load bearing structure and the roof) may have very good scores in one of the clusters and very poor scores in another, therefore leaving the decision maker unsure on what clusters should be prioritised. To address this gap, we have used the AHP to assign weights to different clusters and the results are shown in the next section. It should be noted however that the results presented so far (i.e. without further weightings applied) can also be extremely useful to expert decision-makers who already know well what the preponderant criteria in their specific context are and would therefore benefit from “raw” results which have not been further processed.



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Figure 5 - Clustered results for the allowed solutions for the roof from the second application of the Delphi. The scale on the x-axis refers to the summation of the results from the three-point Likert scale used by the experts. Due to how the Likert scale was presented to the experts and implemented in the Delphi, lower numbers indicate better performance

475 **5. Results (AHP)**

476

477 AHP was employed in this research to determine the relative importance of the four
478 sustainability dimensions examined. Each expert produced their own scores which
479 were then averaged across all members of the panel.

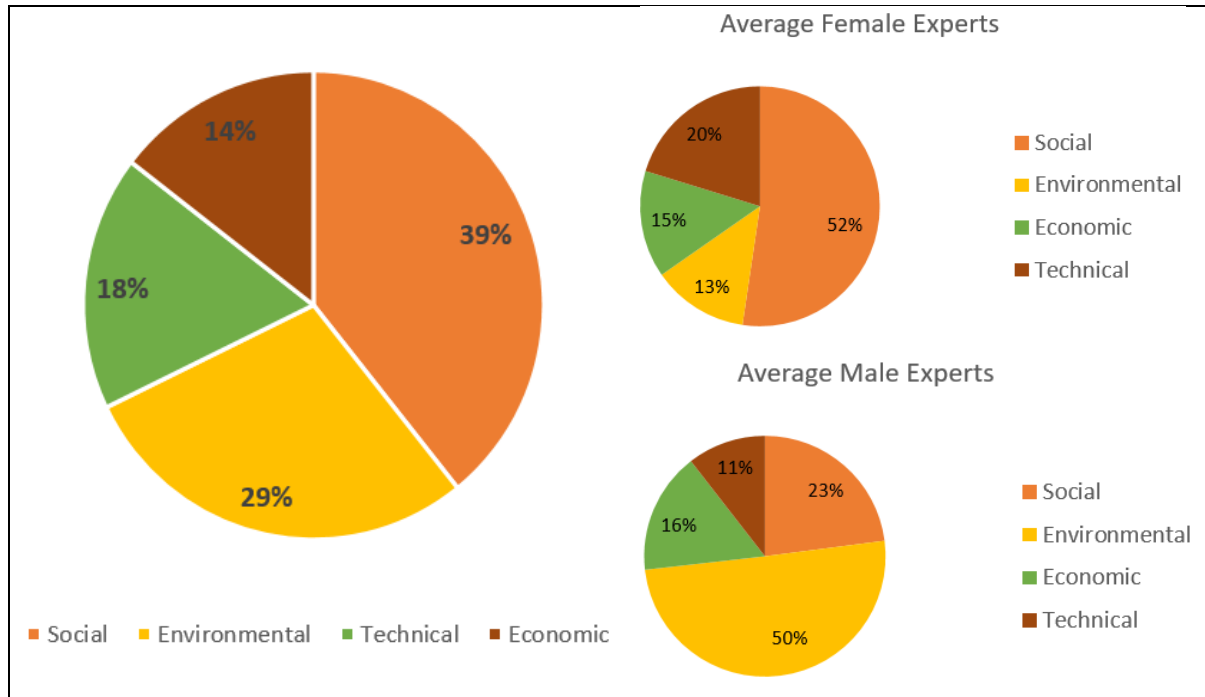
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482 **5.1 The relative importance of sustainability dimensions**

483

484 Figure 6 shows the results from the AHP. On the left-hand side of the figure, results for
 485 the whole panel are shown. It can be noted that social suitability is the most important
 486 dimension, making up for 39% of the total share. It is followed by environmental
 487 considerations (29%), with technical performance and economic viability deemed as
 488 the least important dimensions with relative shares of 18% and 14%, respectively.
 489



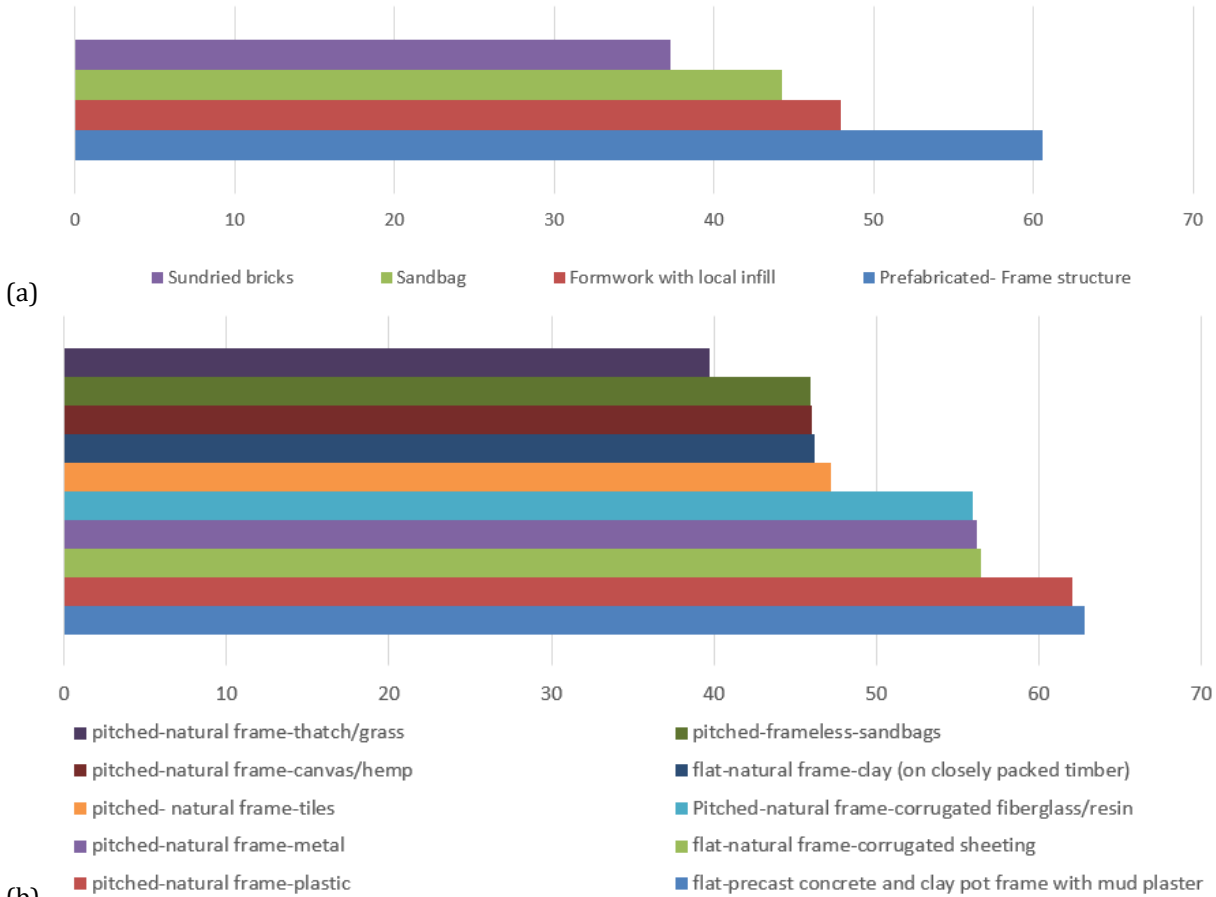
490 *Figure 6 - AHP results for the four dimensions assessed (left), and the same results clustered according to a gender*
 491 *analysis for female (upper right) and male (lower right) experts*

492 An additional, interesting finding that emerged from analysing the AHP results is the
 493 staggering difference in sustainability considerations when results are clustered
 494 according to the gender of our experts. Female experts felt strongly that social
 495 suitability was by far the most important dimension, making up for more than half of
 496 the total share. This is followed by technical performance that scored somewhat higher
 497 (20%) than economic viability (15%). Environmental impacts are considered as the
 498 least important dimension in PDPC sheltering by female experts, making up for just
 499 13% of the total. This is wholly reversed when the analysis moves to male experts. For
 500 them, it is the environmental dimension which deserves the greatest attention (50%),
 501 followed by the social one (23%). Economic (16%) and technical considerations follow,
 502 but the technical performance, which was the second most important dimension for
 503 female experts, is surprisingly barely considered by the male experts, totalling just 11%.
 504 Such significant polarisation of results was not observed when the results were
 505 analysed against other commonalities related to the experts, for instance their
 506 geographical location. Explaining why results were so significantly different between
 507 male and female experts goes beyond the scope of this research, but our findings
 508 suggest that gender might well play a fundamental role in sustainability considerations
 509 and how different elements are prioritised. As such, gender perspectives and priorities
 510 in PDPC situations certainly represent an interesting and important area for further
 511 research.

512 **5.2 Ranked results**

513

514 The ultimate scope of having AHP weights was to use them to determine single overall
 515 scores for the allowable solutions identified for both the load-bearing structure and the
 516 roof. These are shown in Figure 7. As explained in the methodology section, a lower
 517 score indicates better performance due to how the Likert scale was presented to the
 518 experts.
 519



520 *Figure 7 - Weighted results for the allowable solutions identified for both the structure (a) and the roof (b). Lower values*
 521 *indicate better performance.*

522 Given the predominance of social suitability from the overall AHP results (Figure 6),
 523 which included sub-criteria such as local involvement and familiarity to people, it is
 524 unsurprising that the solutions with better performance are those that align greatly
 525 with those two sub-criteria. For instance, sundried bricks (Figure 7a) are the best-
 526 performing option for the load bearing structure because this solutions strongly relies
 527 on the local involvement of affected communities and, in the African context, it is also a
 528 technique likely to be known to many. Similarly, when it comes to the roof (Figure 7b), a
 529 pitched roof made of a natural frame covered by thatch or grass was the one with the
 530 best score. However, the weights obtained from the AHP have neared significantly
 531 different solutions which were further apart previously (Figure 5). For instance, in the
 532 case of the roof, apart from the clear winner mentioned above and the clear losers
 533 (concrete frame and natural frame with plastic sheeting), all other solutions are within
 534 10 points of one another. This suggests that the preferred solution can differ depending

535 on the context, and that there are more nuances to be considered rather than aiming to
536 find an overall best performer for Africa.

537 **6. Conclusions**

538

539 Natural disasters and humanitarian crises have increased in frequency, impacting more
540 people and for longer. The United Nations High Commissioner for Refugees classifies
541 those people as forcibly displaced, and Africa alone hosts about half of the global total.
542 Post-disaster and post-conflict (PDPC) sheltering is therefore a global humanitarian
543 concern, which substantially impacts millions of lives. PDPC is often framed in terms of
544 urgency and emergency and this has resulted in sustainability considerations seldom
545 being accounted for, despite the fact that many refugee camps are as big as medium-
546 sized cities. This article therefore intended to shed light on the sustainability of PDPC
547 sheltering by adopting a mixed method research approach to tap into expertise on both
548 the African context as well as refugees' sheltering.

549

550 Through multiple rounds of Delphi and the use of AHP, the aim was twofold. First, we
551 sought to identify allowable solutions that would work for PDPC in Africa and assess
552 their performance across four main sustainability dimensions: social suitability,
553 environmental impacts, technical performance, and economic viability. Second, we
554 wanted to establish the relative weights that those dimensions have when evaluated
555 comparatively. It emerged that social and environmental considerations are the most
556 important sustainability dimensions for PDPC sheltering in Africa, according to our
557 diverse panel of experts. We also found that results vary greatly if they are clustered
558 and analysed according to the expert's gender. Female experts ranked social
559 sustainability the highest and environmental sustainability the lowest. Male experts
560 conversely ranked environmental sustainability the highest, but social sustainability
561 was second (and not last) in importance. Our results suggest that solutions which are
562 familiar to people and involve them as much as possible, and that are made of natural
563 and local materials would be preferred from an overall sustainability perspective.
564 However, for many of the solutions analysed results are relatively close, suggesting that
565 several solutions might work best depending on the context and on which specific
566 criterion is to be prioritised in a given context.

567

568 This study has a number of limitations, which can also point towards future work. The
569 expert panel, while meeting existing guidelines for Delphi studies and AHP, was limited
570 to only nine people. Broader groups of experts could produce different results and
571 therefore our findings could be further evaluated when the number of experts involved
572 increases. This represents an interesting area for further research, either as a broader
573 Delphi, or through other means such as surveys, questionnaires and interviews to
574 gather the views of a larger number of people and even more stakeholders. Another
575 limitation consisted of using existing solutions and novel designs for post-disaster and
576 post-conflict sheltering to identify sustainability factors and elicit the experts' opinion.
577 This could have limited their freedom somewhat in identifying alternative solutions
578 which have not yet been used nor even designed. Using the findings from our work as
579 the inputs to a design exercise with no boundaries could therefore be interesting for
580 future work. Similarly, reviewing existing solutions in light of the comparative weights
581 that we have identified could add a quantitative, more holistic metric to the
582 sustainability evaluations of PDPC solutions for Africa. In this article, we focused on the

583 generic definition of post-disaster and post-conflict sheltering, to capture the sudden
584 need for large numbers of people to relocate, thus triggering an unplanned increase in
585 sheltering demand. However, the post-disaster and post-conflict contexts are very
586 different and while technical solutions that work for one might also work for the other,
587 the operating environment (e.g. actors involved, in-country support, etc.) is utterly
588 different. This represents a further limitation of our work and future research could
589 have a deeper focus to represent the peculiarities of the two contexts. Lastly, the gender
590 polarisation in the results that we observed is an interesting trait which deserves to be
591 further investigated with broader numbers to support conclusive claims.

592

593 It is hoped that by enriching and broadening our understanding of sustainability
594 considerations in post-disaster and post-conflict sheltering we will be able to move
595 away from an urgency-driven operating mode and develop effective solutions that are
596 tailored to the context of use and sustainable in both the short- and long-terms.

597

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