

1 **EVALUATING, PREDICTING AND MAPPING BELOWGROUND CARBON**
2 **STORES IN KENYAN MANGROVES**

3 Selena K. Gress¹, Mark Huxham¹, James G. Kairo², Lilian M. Mugi², Robert A. Briers¹

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5 ¹School of Life, Sport and Social Sciences, Edinburgh Napier University, Sighthill Campus,
6 Edinburgh, EH11 4BN, UK

7 ²Kenya Marine and Fisheries Research Institute, P. O. Box 81651 Mombasa, Kenya

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9 Corresponding Author: Selena K. Gress, School of Life, Sport and Social Sciences,
10 Edinburgh Napier University, Sighthill Campus, Edinburgh, EH11 4BN, UK,
11 selenagress@gmail.com

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13 **Keywords**

14 Mangrove sediment, belowground carbon, mapping, coastal carbon stores, carbon loss

15

16 **Type of Paper: Primary Research Article**

17 **Abstract**

18 Despite covering only approximately 138,000 km², mangroves are globally important carbon
19 sinks with carbon density values 3 to 4 times that of terrestrial forests. A key challenge in
20 evaluating the carbon benefits from mangrove forest conservation is the lack of rigorous
21 spatially resolved estimates of mangrove sediment carbon stocks; most mangrove carbon is
22 stored belowground. Previous work has focused on detailed estimations of carbon stores over
23 relatively small areas, which has obvious limitations in terms of generality and scope of
24 application. Most studies have focused only on quantifying the top 1m of belowground
25 carbon (BGC). Carbon stored at depths beyond 1m, and the effects of mangrove species,
26 location and environmental context on these stores, is poorly studied. This study investigated
27 these variables at two sites (Gazi and Vanga in the south of Kenya) and used the data to
28 produce a country-specific BGC predictive model for Kenya and map BGC store estimates
29 throughout Kenya at spatial scales relevant for climate change research, forest management
30 and REDD+ (Reduced Emissions from Deforestation and Degradation). The results revealed
31 that mangrove species was the most reliable predictor of BGC; *Rhizophora muronata* had the
32 highest mean BGC with 1485.5t C ha⁻¹. Applying the species-based predictive model to a
33 base map of species distribution in Kenya for the year 2010 with a 2.5m² resolution,
34 produced an estimate of 69.41 Mt C (± 9.15 95% C.I.) for BGC in Kenyan mangroves. When
35 applied to a 1992 mangrove distribution map, the BGC estimate was 75.65 Mt C (± 12.21
36 95% C.I.); an 8.3% loss in BGC stores between 1992 and 2010 in Kenya. The country level
37 mangrove map provides a valuable tool for assessing carbon stocks and visualising the
38 distribution of BGC. Estimates at the 2.5m² resolution provide sufficient detail for
39 highlighting and prioritising areas for mangrove conservation and restoration.

40 **Introduction**

41 Coastal ecosystems, including seagrasses, salt marshes and mangroves, are an important
42 carbon sink due to their high rates of primary production and their ability to bury carbon in
43 refractory long-term stores (Nellemann *et al.* 2009). Mangroves cover approximately 138,000
44 km² globally (Giri *et al.* 2010); although this accounts for less than 0.04% of the area of all
45 marine habitats, 10-15% of marine organic carbon burial occurs in mangroves (Duarte *et al.*
46 2005; Breithaupt *et al.* 2012). Aboveground biomass usually accounts for a small proportion
47 of the total carbon in mangrove ecosystems; the majority of it consists of stored organic
48 carbon in the sediment (IPCC 2001). Mangroves typically grow in deep, tidally submerged
49 sediments that support anaerobic decomposition pathways. These conditions facilitate slow
50 decomposition rates and moderate to high sediment carbon concentrations. Whilst initial
51 work suggested that mangroves typically store 3 to 4 times the sediment carbon of terrestrial
52 forests (~800 Mg ha⁻¹ and ~250Mg ha⁻¹ respectively; IPCC 2001), more recent research
53 shows that some mangrove forests may hold twice or more this quantity (Ezcurra *et al.* 2016
54 Fujimoto *et al.* 1999; Cuc *et al.* 2009; Donato *et al.* 2011; Kauffman *et al.* 2011).

55 Mangroves are receiving increasing interest as potential sites for carbon offset schemes such
56 as those facilitated by REDD+ (reduced emissions from deforestation and degradation) in
57 order to protect the large carbon stores within the sediment (Locatelli *et al.* 2014). However
58 to do this, accurate estimates of the stores are required (Pendleton *et al.* 2012, Siikamäki *et al.*
59 2012). Since most studies to date consider sediment depths only down to 1m, the estimates
60 for average mangrove sediment organic carbon (SOC) of between 479 t C ha⁻¹ and 1385 t C
61 ha⁻¹ may be significant underestimates (Fujimoto *et al.* 1999; Cuc *et al.* 2009; Donato *et*
62 *al.*2011; Kauffman *et al.* 2011). Tue *et al.* (2014) appears to be the only study that has
63 attempted to estimate belowground carbon stores down to 4m (based on carbon concentration
64 values at 1.5m – 2.5m).

65 At present the effects of variables influencing BGC are poorly understood, and further
66 research is justified. Whilst some studies have treated mangrove sediments within forests as
67 homogenous systems, others have found significant differences in BGC between different
68 species (Lacerda *et al.* 1995; Alongi *et al.* 2000; Bouillon *et al.* 2003; Huxham *et al.* 2010;
69 Liu *et al.* 2013; Wang *et al.* 2013; Sakho *et al.* 2014) and distances from the coast (Fujimoto
70 *et al.* 1999; Donato *et al.* 2011; Kauffman *et al.* 2011). The geomorphological setting of a
71 mangrove forest will potentially influence the import of allochthonous material, and the
72 production and export of autochthonous material, through river discharge, tidal amplitude,
73 wave power and turbidity (Adame *et al.* 2010; Saintilan *et al.* 2013; Yang *et al.* 2013) and
74 therefore the effects of these variables may vary between sites. Fujimoto *et al.* (1999) and
75 Donato *et al.* (2011) both found estuarine sites to have significantly higher average carbon
76 stores down to 2m; approximately 250 t C ha⁻¹ more than coral-reef typesites (1074 t C ha⁻¹
77 and 1170 t C ha⁻¹ compared to coral-reef type sites with 990 t C ha⁻¹ and 750 t C ha⁻¹
78 respectively). Kauffman *et al.* (2011) found mangrove sediments closer to the seaward edge
79 also had lower carbon stores; 479 t C ha⁻¹ and 1385 t C ha⁻¹ for seaward and landward sites
80 respectively. Liu *et al.* (2013) reported highest BGC in *Rhizophora stylosa* forests compared
81 to that of other species.

82 A key challenge in evaluating the carbon benefits from mangrove forest conservation is the
83 lack of rigorous spatial estimates of mangrove sediment carbon stocks. Mapping the spatial
84 distribution of belowground carbon has been of great interest as exemplified by the
85 increasing number of publications in mapping (Saatchi *et al.* 2011; Baccini *et al.* 2012;
86 Jardine and Siikamäki 2014; Viscarra Rossel *et al.* 2014). Attempts at modelling and
87 mapping BGC stores in a variety of ecosystems have been made around the world: e.g.
88 temperate forests/vegetation (Howard *et al.* 1995; Milne and Brown 1997; Arrouays *et al.*
89 2001; Wu *et al.* 2003; Tate *et al.* 2005; Guo *et al.* 2006, after initial work by Kern 1994; Yu

90 *et al.* 2007; Bui *et al.* 2009; Rossel *et al.* 2014), tropical and subtropical forests (Bernoux *et al.* 2002; Batjes 2005 and 2008) and mangroves (Twilley *et al.* 1992; Siikamäki *et al.* 2012; Hutchison *et al.* 2013; Jardine and Siikamäki 2014). The most recent attempt to estimate mangrove belowground carbon at a global scale was by Jardine and Siikamäki (2014). Based on a compilation of sediment samples from 61 independent studies and using climatological and locational data as predictors, various predictive modelling alternatives were explored including machine-learning methods. Global mangrove BGC was estimated to be 5.00 ± 0.94 Pg C (assuming a 1 metre soil depth) however this was highly variable over space; BGC in carbon-rich mangroves was as much as 2.6 times the amount found in carbon-poor mangroves. Significant within-country variation was also present. In Indonesia, the most carbon rich forests contain 1.5 ± 0.12 times as much carbon per hectare as the most carbon poor forests. Liu *et al.* (2013) however did not find significant differences in BGC between mangrove sites within China. Whilst global models and maps are useful in informing a general understanding of the importance of mangroves, assessments at the level of countries, regions and sites are required for practical management outcomes such as pin-pointing likely REDD+ locations and to better understand the drivers of variation in carbon storage.

106 Based on field work undertaken in two Kenyan mangrove forests (Gazi and Vanga), the current research had the following objectives:

- 108 1) To calculate belowground carbon stores (which we define to exclude live root biomass) down to 1 m and to mean sediment depths and to assess the relationships between a range of variables – including species composition, sediment depth, aboveground biomass (AGB) and location – and the amount of BGC present.
- 112 2) To compare results between Gazi and Vanga for site differences and to establish the significance and generality of environmental influences in order to develop a

114 predictive model that allows estimates of carbon storage in other Kenyan mangrove
115 forests.

116 3) To use spatial data to produce a map of belowground carbon stores throughout Kenya
117 and an estimate of total belowground mangrove carbon stocks in the country.

118 4) To estimate the change in BGC in Kenyan mangroves between 1992 and 2010.

119

120

121

122 **Methods**

123 *Study Sites*

124 Sampling was carried out in Gazi Bay (latitude -4.43123, longitude 39.50346) and Vanga
125 (latitude -4.65948, longitude 39.21847), Kenya. Gazi Bay sits 50km south of Mombasa and
126 has a mangrove forest of 592 ha (Huxham *et al.* 2015). Nine of the ten mangrove species in
127 East Africa are found in Gazi Bay; *Avicennia marina*, *Bruguier gymnorrhiza*, *Ceriops tagal*,
128 *Heritiera littoralis*, *Lumnitzera racemosa*, *Rhizophora mucronata*, *Sonneratia alba*,
129 *Xylocarpus granatum* and *Xylocarpus molucensis*. Gazi has been the site of many studies on
130 mangroves including productivity, above and belowground biomass quantification, mangrove
131 degradation and litter dynamics (Bosire *et al.* 2005; Kairo *et al.* 2008; Tamoooh *et al.* 2008;
132 Lang'at *et al.* 2012; Lang'at *et al.* 2014).

133 Situated at the most southern point of Kenya, the mangrove forest at Vanga is approximately
134 2351 ha (Huxham *et al.* 2015) and is dominated by *Avicennia marina*, *Ceriops tagal* and
135 *Rhizophora mucronata* but has the same nine species present as at Gazi Bay.

136

137 *Study Design*

138 Data on above and belowground variables were taken from 10m x 10m forest inventory plots,
139 selected to cover areas with differing species composition and distances from the seaward
140 fringe. A total of 48 and 29 plots were sampled in Gazi (in 2012) and Vanga (in 2013)
141 respectively; these were a mix of plots that had been established and sampled previously for
142 other studies (Cohen *et al.* 2013; Lang'at *et al.* 2014) and that were established for the present

143 work. Plot selection had a stratified random approach in order to cover the range of mangrove
144 species and distance from the coast.

145 At each plot two sediment cores were taken using a 3m Russian peat corer (Van Walt) at
146 random points from within the plot (although avoiding areas within 0.5m of the edge). All
147 visible living roots were removed from samples, whilst any dead root material (necromass)
148 was retained (see supplementary information for sampling methods). The mean sediment
149 depth for each plot was calculated from 5 depth measurements taken at random points using a
150 steel rod hammered down until resistance was met (with the aim to reach bedrock) or the
151 maximum depth (2.97m) was achieved, in which case this depth was taken as the minimum
152 estimate for that point (resistance was most commonly met due to roots or lack of strength
153 hammering the rod down; see Supplementary Information for underestimated depths). A suite
154 of aboveground variables were also recorded for each plot: the aboveground biomass (AGB),
155 calculated from DBH, the GPS location to allow calculation of distance from the seaward
156 fringe (subsequently Distance From the Coast; DFC) and the dominant tree species or species
157 mix; plots were classified based on the percentage distribution of mangrove species present.
158 If more than 80% of individual trees consisted of one species within the plot then this was
159 considered a monospecific plot of that species. If, however, there was a greater mix of
160 mangrove species with one single species not having a dominance of more than 80%, it was
161 categorised as a mixed plot of the most dominant species. The species groups used were:
162 *Avicennia marina* (*Avicennia*), *Avicennia marina* Mix (*Avicennia* Mix), *Rhizophora*
163 *mucronata* (*Rhizophora*), *Rhizophora mucronata* Mix (*Rhizophora* Mix) and *Ceriops tagal*
164 (*Ceriops*).

165

166 *Sample Preparation and Loss on Ignition (LOI)*

167 Samples were oven dried at 60°C until a constant weight was achieved (generally between 24
168 and 48hrs depending on electricity shortages in the field) and then burned at 550°C for 2
169 hours to measure organic matter. Based on results from carbon and nitrogen analysis using a
170 Carlo Erba NA2500 CN analyser (see Supplementary Information), samples were converted
171 to carbon concentration (CC) using the following regression equation:

$$172 \quad CC(g / g) = 0.00172 + 0.426 \times OM(g / g) \quad [1]$$

173 Samples were then converted to carbon density:

$$174 \quad CD(g / cm^3) = CC \times (DW(g) \div V(cm^3)) \quad [2]$$

175 where CD, DW and V represent carbon density, dry weight and sediment volume (35cm³)
176 respectively.

177 The non-living belowground carbon (BGC) stores (t/ha) were calculated to two depths: a) 1m
178 and b) sediment mean depths for each species group using the following equations:

$$179 \quad BGC_{1m}(t / ha) = mCD \times 100 \times 100 \quad [3]$$

$$180 \quad BGC_{md}(t / ha) = mCD \times mD \times 100 \quad [4]$$

181 where BGC_{1m}, BGC_{md}, mCD and mD represent BGC to 1 metre, BGC to mean depth, mean
182 carbon density and mean sediment depth respectively.

183

184 *Statistical Analysis*

185 All analyses were performed using R Version 3.0.2 (R Core Team, 2013). Where required to
186 satisfy assumptions of normality of residuals data were log₁₀ transformed.

187 With the aim of producing a predictive model it was necessary to assess the extent of site
188 differences in the patterns observed. Therefore data from Gazi and Vanga were combined.
189 The effects of species and site on mean sediment depth within plots were tested using two-
190 way ANOVA. Carbon density was analysed using a mixed-model ANCOVA, with species
191 and site as fixed effects, sediment depth as a covariate and core nested within plot as a
192 random effect. A two-way ANOVA was used to test the effect of species and site on BGC to
193 1m and to mean plot depth. Analyses were performed with and without a spatial error term
194 (linear and exponential models were fitted) included to assess whether accounting for plot
195 spatial location improved model fit. In all cases including a spatial error term did not
196 significantly improve the fit of the model (likelihood ratio tests, $P > 0.05$) so only results
197 from non-spatial models are reported.

198 The effects of distance from the seaward fringe and AGB on BGC were analysed using
199 ANCOVA analysis with site as a fixed effect to determine whether the relationship between
200 BGC and distance from the coast and AGB varied between sites.

201 *Model Validation*

202 The BGC values obtained from the model were compared with reference data collected
203 independently (Lilian Mugi, unpublished data) using a similar methodology from two
204 mangrove sites near Mombasa in order to assess how well the model represented carbon
205 levels from unknown sites. Only values for monospecific *Rhizophora* plots were compared
206 as there were insufficient data to allow comparison with other species groups. The BGC
207 values for the Mombasa data were originally calculated using a generic conversion factor
208 from organic matter to carbon concentration (Dontato *et al.* 2011) so in order to be
209 comparable to the data presented here, the regression equation established through C/N
210 analysis was applied.

211

212 *Source of mangrove distribution and composition data*

213 Two Kenyan mangrove distribution maps were used for mapping the belowground carbon.
214 Firstly a species composition map from 1992 (areal extent of 51,880 ha), based on visual
215 interpretations of medium scale (1:25,000 resolution) black and white aerial photographs
216 (Kirui *et al.* 2013) where individual mangrove areas for the entire coastline were classified in
217 terms of the species present. Secondly a mangrove distribution map from 2010 (areal extent
218 of 45,590 ha) based on 2.5m² resolution SPOT data (Rideout *et al.* 2013) where only
219 distinction between mangrove and non-mangrove was made.

220 *Calculations and Mapping*

221 BGC estimates throughout Kenya were produced for both 1992 and 2010 data to estimate
222 changes in mangrove BGC between these dates. The 1992 estimate was based on the original
223 species composition data. For the 2010 BGC estimate the 1992 species composition layer was
224 clipped to remove the areas of mangrove lost over this period, and also some areas of
225 expansion accounted for. Based on the species composition recorded in the 1992 original
226 polygon areas, species group codes were allocated to each polygon to be consistent with the
227 species groups sampled in the field. For areas with a mixed species composition, the first
228 species listed was assumed to be the dominant. Sediment depth for areas of each species
229 group was taken as the mean value calculated from the fieldwork. As plots dominated by
230 *Xylocarpus granatum* and *Sonneratia alba* were not present in Gazi and Vanga no species
231 group was present for these. Based on the available literature (Muzuka & Shunula 2006),
232 both these species were found to have carbon values most similar to *Avicennia* Mix and were
233 therefore included in this group. Where species was unknown, either in the original data or

234 where there were areas of expansion, a mean BGC and sediment depth calculated across all
235 species groups was used.

236 This clipped layer with the species code field was then converted to a raster layer (2.5m² cell
237 resolution) before being reclassified with the appropriate corresponding BGC (t ha⁻¹) figures
238 scaled to the area of the raster cells. Total BGC across all Kenyan mangroves was then
239 calculated by summing all the values in the raster layer. Calculations and estimates were
240 based on 2.5m² resolution data. Differences in resolution between the 1992 and 2010 data
241 could potentially influence the estimates and extent of change determined, but the results
242 obtained by deriving estimates at a coarser resolution (5m²) did not differ significantly from
243 those obtained from 2.5m² resolution data, so this was used throughout.

244 For clarity of presentation when dealing with such a large and linear resource a map at a
245 scale of 1km² was produced for displaying BGC for the entire Kenyan coastline. This was
246 done by summing all the values for individual cells within a 1km² area.

247

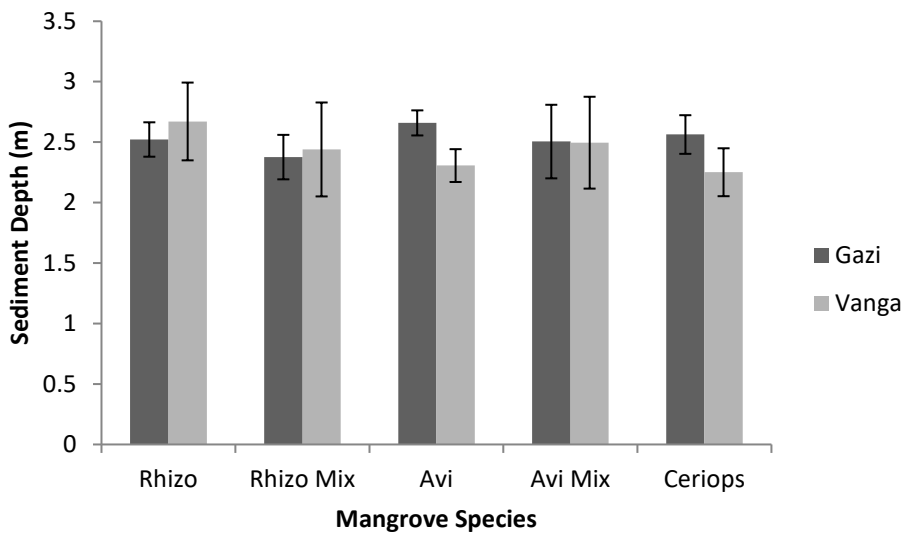
248

249 **Results**

250 *Sediment Depth*

251 Mean sediment depth across both sites was 2.53m; there were no significant differences in
252 sediment depth between sites or species (Figure 1). Because sediment depth could only be
253 measured to a maximum of 2.97m, due to the length of the rod, there was an underestimation
254 of sediment depth in plots at both sites. The percentages of underestimated plots (a mean of
255 both sites) were: *Avicennia* 43%, *Avicennia* Mix 50%, *Rhizophora* 58%, *Rhizophora* Mix
256 29%, *Ceriops* 38%. All plots with underestimated depths were recorded as 2.97m for the
257 purpose of calculating mean sediment depth. Hence the depths given here, and used in the
258 modelling, are underestimates, with values for *Rhizophora* the most conservative.

259



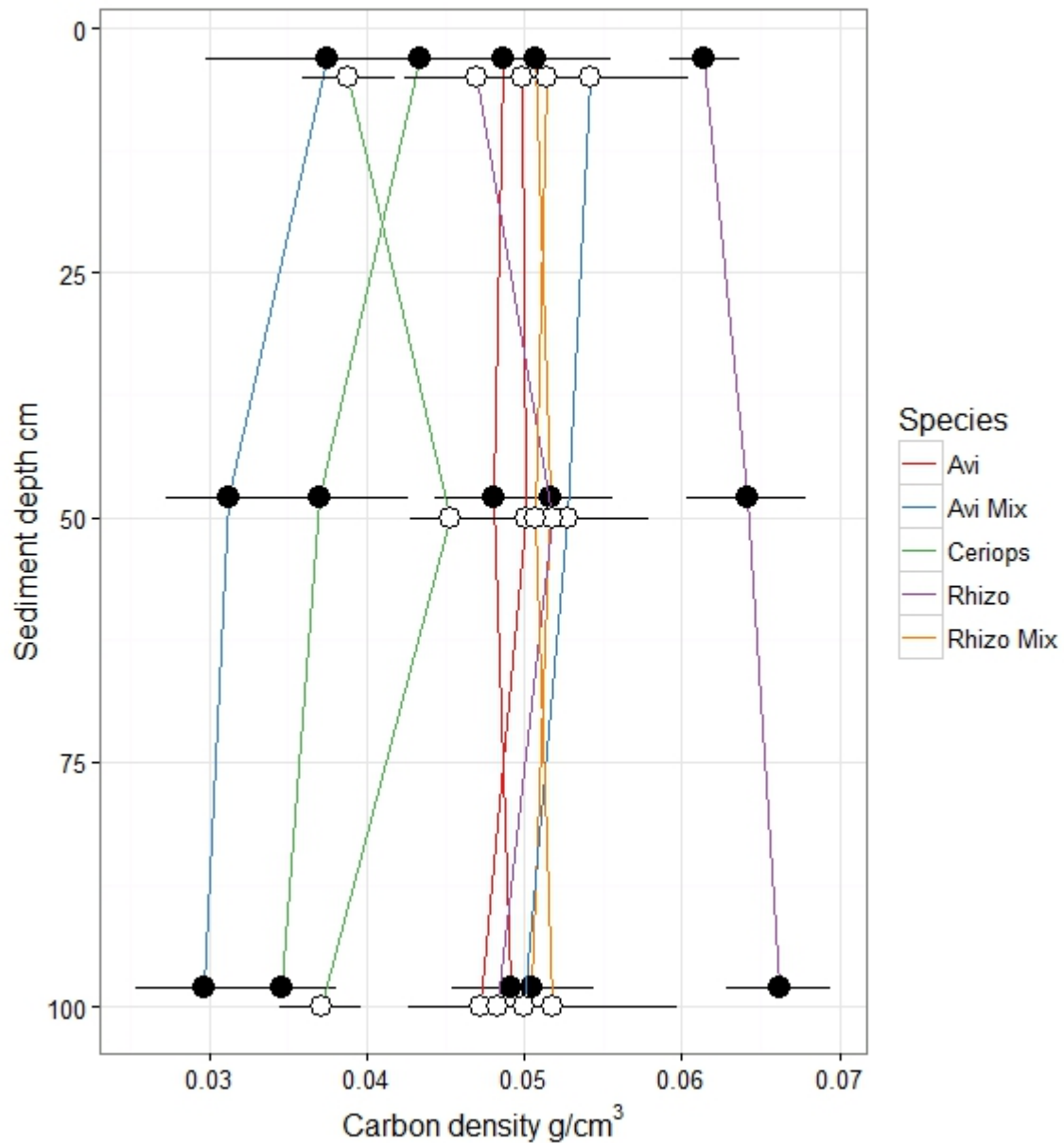
260

261 Figure 1: Sediment depth (mean \pm 95% CI) for each mangrove species group in Gazi (n=120) and Vanga
262 (n=29).

263

264 *Carbon Density*

265 Carbon density data from Gazi were obtained down to 3m where sediment extended this far.
266 Analysis of changes with depth at this site indicated that there was no significant change with
267 depth (see Supplementary Information for details) so at Vanga samples were only taken down
268 to 1m. For combined analysis, only data to 1m was considered for both sites for
269 comparability. An ANCOVA analysis with species and site as categorical factors and depth
270 as covariate revealed a borderline significant interaction for carbon density between species
271 and site ($F=2.52$, $df=4,63$, $p=0.0498$; Figure 2). Depth as a covariate had no effect on carbon
272 density ($F=0.38$, $df=1,263$, $p=0.3427$), therefore quantification of BGC stores was based on a
273 mean value calculated across all depths. The borderline site/species interaction was driven by
274 *Avicennia* Mix and possibly *Rhizophora* which showed the strongest difference between sites
275 (Figure 3). Analysis of the main effects revealed no species effect on carbon density ($F=5.39$,
276 $df=4, 63$, $p=0.1304$). Although no species effect was evident in Vanga, carbon density was
277 found to be significantly different between species of mangrove in Gazi ($F=5.624$, $df=4,37$,
278 $p=0.0012$). The carbon density values were used to derive BGC in the predictive model,
279 hence a judgement was needed on whether species identity should be retained as a factor.
280 Given the high significance at Gazi (where plot number and statistical power were greater)
281 and the similar trends found for the main species at Vanga, the species distinction was
282 retained as a factor in the model.



283

284 Figure 2: Depth profile of carbon density to 1m at Gazi (Black) and Vanga (White) for each species group
 285 (mean \pm 95% CI).

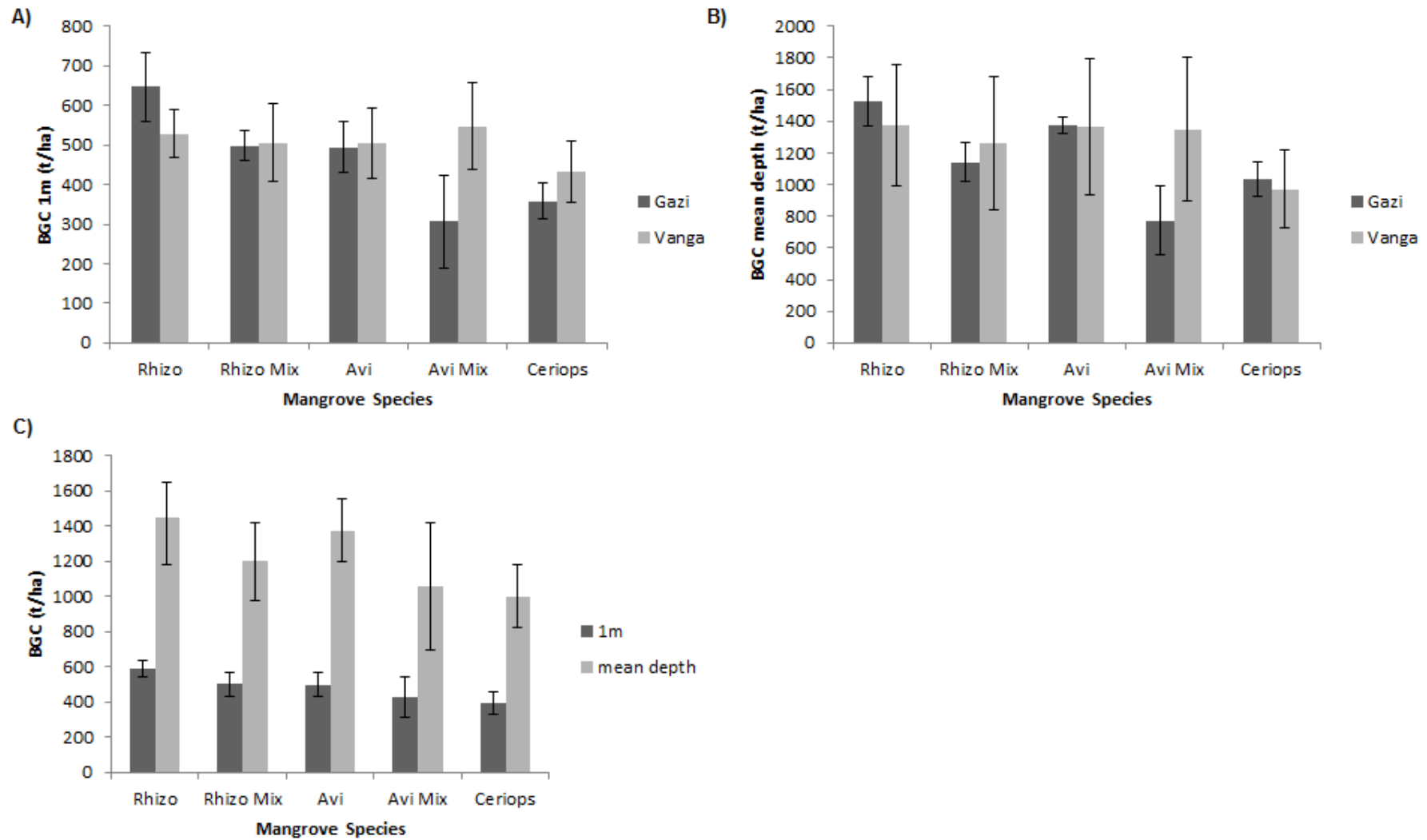
286

287 *Site and Species Effect on Belowground Carbon Stores*

288 Belowground carbon stores to 1m depth did not differ significantly between sites but species
 289 had a significant effect on BGC_{1m} ($F=3.92$, $df=4,59$, $p=0.0068$, see Figure 3). In Vanga
 290 *Avicennia* Mix and *Ceriops* plots had the highest and lowest mean BGC_{1m} ; 546 t C ha^{-1} and

291 433 t C ha⁻¹ respectively. *Rhizophora* was the next highest to *Avicennia* Mix with a mean of
292 528 t C ha⁻¹ which is similar to the species differences found in Gazi where *Rhizophora* had
293 the highest mean BGC_{1m} (637 t C ha⁻¹). *Avicennia* Mix however had the lowest BGC_{1m} in
294 Gazi with a mean of 307 t C ha⁻¹.

295 Post-hoc Tukey test comparisons of species with combined data (as there was no site effect)
296 revealed *Rhizophora* BGC to 1m to be significantly greater than *Avicennia* Mix and *Ceriops*
297 (mean of 583 t C ha⁻¹; 427 t C ha⁻¹, $p=0.0017$ and 396 t C ha⁻¹, $p=0.0014$ respectively).



298

299 Figure 3: Belowground carbon (t/ha) for each species group at Gazi and Vanga, A) BGC to 1m sediment depth and B) BGC to mean sediment depth C) BGC for combined
 300 sites

301 Whilst there was no site effect, species had a significant effect on BGC_{md} ($F=3.32$, $df=4,59$,
302 $p=0.0162$, see Figure 3). In both Gazi and Vanga, *Rhizophora* had the highest mean BGC_{md};
303 1597 t C ha^{-1} and 1374 t C ha^{-1} respectively. The lowest BGC_{md} recorded in Vanga was for
304 *Ceriops* (mean of 993 t C ha^{-1}) whereas in Gazi, *Ceriops* was second lowest (mean of 1032 t
305 C ha^{-1}) and *Avicennia* Mix was lowest with a mean of 770 t C ha^{-1} .

306

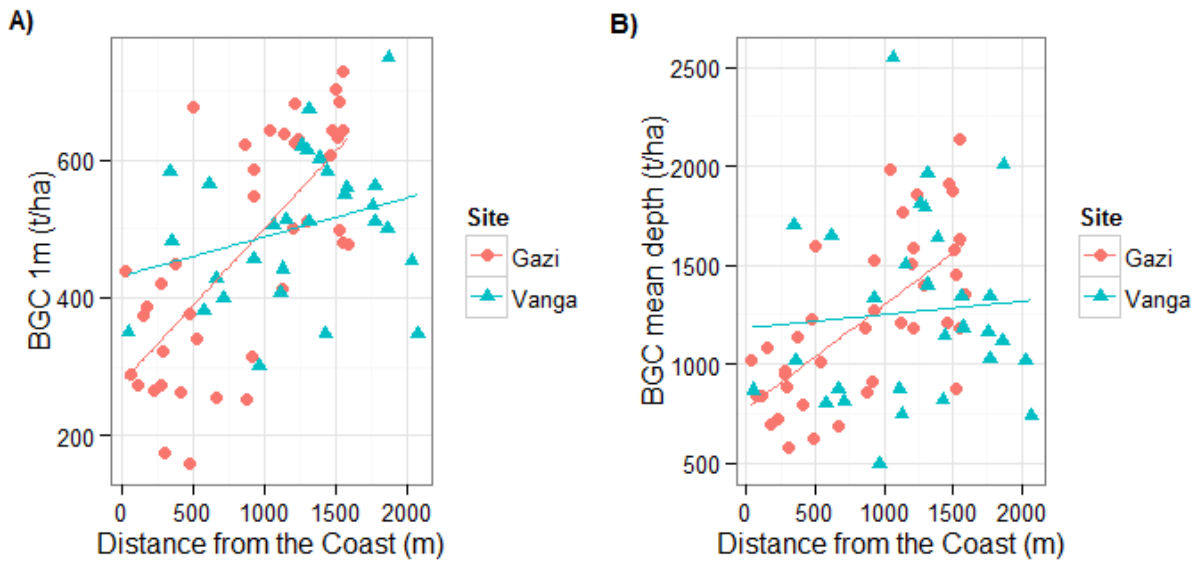
307 For data combined across sites, *Rhizophora* had the highest mean BGC, with 1485 t C ha^{-1}
308 which was significantly higher than *Avicennia* Mix (Tukey test; mean of 1058 t C ha^{-1} ,
309 $p=0.0102$). *Avicennia* had the second highest BGC with a mean of 1363 t C ha^{-1} (significantly
310 greater than *Avicennia* Mix, Tukey test, $p=0.0453$). *Ceriops* had the lowest BGC to mean
311 depth with a mean of 1013 t C ha^{-1} .

312

313 *Effects of Environmental Context*

314 There was a trend for BGC to increase with distance from the seaward fringe (DFC) at both
315 sites, however this was more pronounced at Gazi than at Vanga (Figure 4), generating
316 significant interactions between distance and site for BGC at 1m and mean plot depths
317 (ANCOVA; $F=10.1$, $df=1,65$, $p=0.0023$ for BGC_{1m}; $F=6.12$, $df=1,65$, $p=0.0160$ for BGC_{md}).
318 Although DFC thus seemed to be a potentially important predictor of BGC, including the
319 species group factor in the model showed that these two predictors were strongly confounded
320 (Variance Inflation Factor = 684.19). The observed effect of DFC is highly correlated with
321 differences in mangrove species composition. When assessed independently, species
322 explained more variance than DFC (BGC_{1m} 41.5% vs. 23.1%, BGC_{md} 47.4% vs. 31.8%)

323 suggesting that this variable had a greater predictive value for BGC.



324

325 Figure 4: The relationship between distance from the coast (m) and A) belowground carbon to 1m and B)

326 belowground carbon to mean depth (t/ha) at Gazi (red circles; n=40) and Vanga (blue triangles; n=29).

327

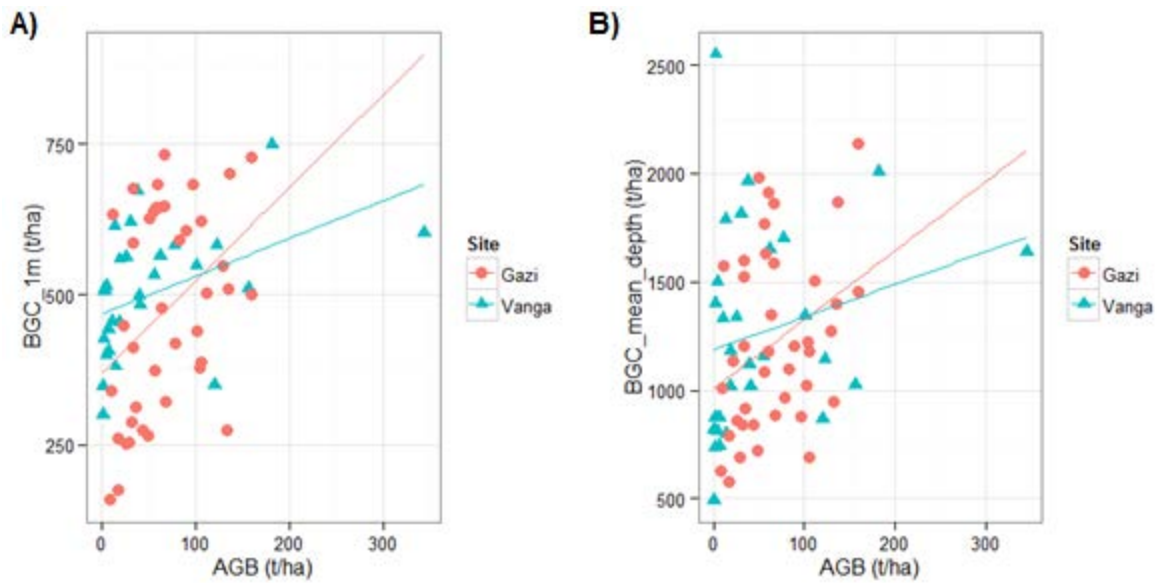
328 AGB had a significant, weak, positive relationship with BGC_{1m} and BGC_{md} (adjusted

329 $R^2=0.8\%$, $F=12.2$, $df=1,65$, $p=0.0009$ and adjusted $R^2=4\%$, $F=5.97$, $df=1,65$, $p=0.0173$

330 respectively, Figure 5). There was no effect of site on this relationship.

331

332



333

334 Figure 5: The relationship between aboveground biomass (t/ha) and A) belowground carbon to 1m and B)
335 belowground carbon to mean depth (t/ha) at Gazi (red circles; n=40) and Vanga (blue triangles; n=29).

336

337 *Predictive Model*

338 We used the data from two sites to produce a model that could provide a first estimate of
339 BGC in mangroves across Kenya, assuming that any variables that showed large differences
340 in their effects between these two sites could not be included in a country-wide model. The
341 following variables were examined:

342 Sediment Depth: No strong effect of species on sediment depth was evident. However,
343 owing to the known underestimation of sediment depth to differing extents in different
344 species plots (see Supplementary Information), the decision was made to retain the separate
345 species groups for subsequent use in deriving BGC stores.

346 Species differences in BGC stores: There was a consistent effect of species on BGC; species
347 groups showed broadly similar variation at both sites with the exception of *Avicennia* Mix

348 (one of the less common species groups) so this factor was retained in the model. As carbon
349 was not found to decline with sediment depth, BGC to mean sediment depth (rather than
350 BGC to 1m) was used in the model. The estimates for carbon storage using mean sediment
351 depth should be more accurate than if depth was limited to the top 1m, although they are still
352 likely to be underestimates given the high percentage of plots with under-estimated mean
353 depth.

354 DFC: Whilst DFC showed a positive relationship with BGC at both sites its effect was highly
355 confounded with species group and hence only the latter was retained in the model.

356 AGB: Whilst the relationship between AGB and BGC was significant it was very weak,
357 showing little predictive power. Only a small total area of Kenyan mangrove forest has been
358 assessed for AGB using inventory approaches and there is large variability in the estimates of
359 AGB based on allometric modelling (Cohen et al. 2014). Including AGB in the model would
360 make little contribution to predictive ability and limit the areas to which it could be applied,
361 so the variable was not included.

362 With only the species differences in BGC levels retained as a predictor, the final model for
363 predicting BGC across the Kenyan coast was derived as a series of equations giving the mean
364 BGC (t/ha) to mean depth for each species group (model adjusted $R^2 = 51.4\%$). Where the
365 mangrove species coverage was unknown, a mean BGC calculated across all species groups
366 can be used:

367
$$\text{Avicennia BGC}_{\text{md}} = A \times 1363 \pm 208 \quad [5]$$

368
$$\text{Avicennia Mix BGC}_{\text{md}} = A \times 1058 \pm 307 \quad [6]$$

369
$$\text{Rhizophora BGC}_{\text{md}} = A \times 1485 \pm 216 \quad [7]$$

370
$$\text{Rhizophora Mix BGC}_{\text{md}} = A \times 1201 \pm 186 \quad [8]$$

371 $\text{Ceriops BGC}_{\text{md}} = A \times 1012 \pm 164$ [9]

372 $\text{Mangrove BGC}_{\text{md}} = A \times 1220 \pm 103$ [10]

373 Where BGC_{md} and A represent belowground carbon to mean depth and, mangrove area
374 respectively.

375

376 *Model Validation*

377 When the BGC values for 74 reference sites in Mombasa were compared with the model
378 BGC values for *Rhizophora* plots the 95% confidence intervals overlapped (Mombasa mean
379 $\pm 95\%$ CI = 599.9 ± 69.3 , Model mean = 582.7 ± 48.2) and therefore there was no significant
380 difference between observed and predicted BGC figures, suggesting that the model predicted
381 values at unknown sites adequately.

382

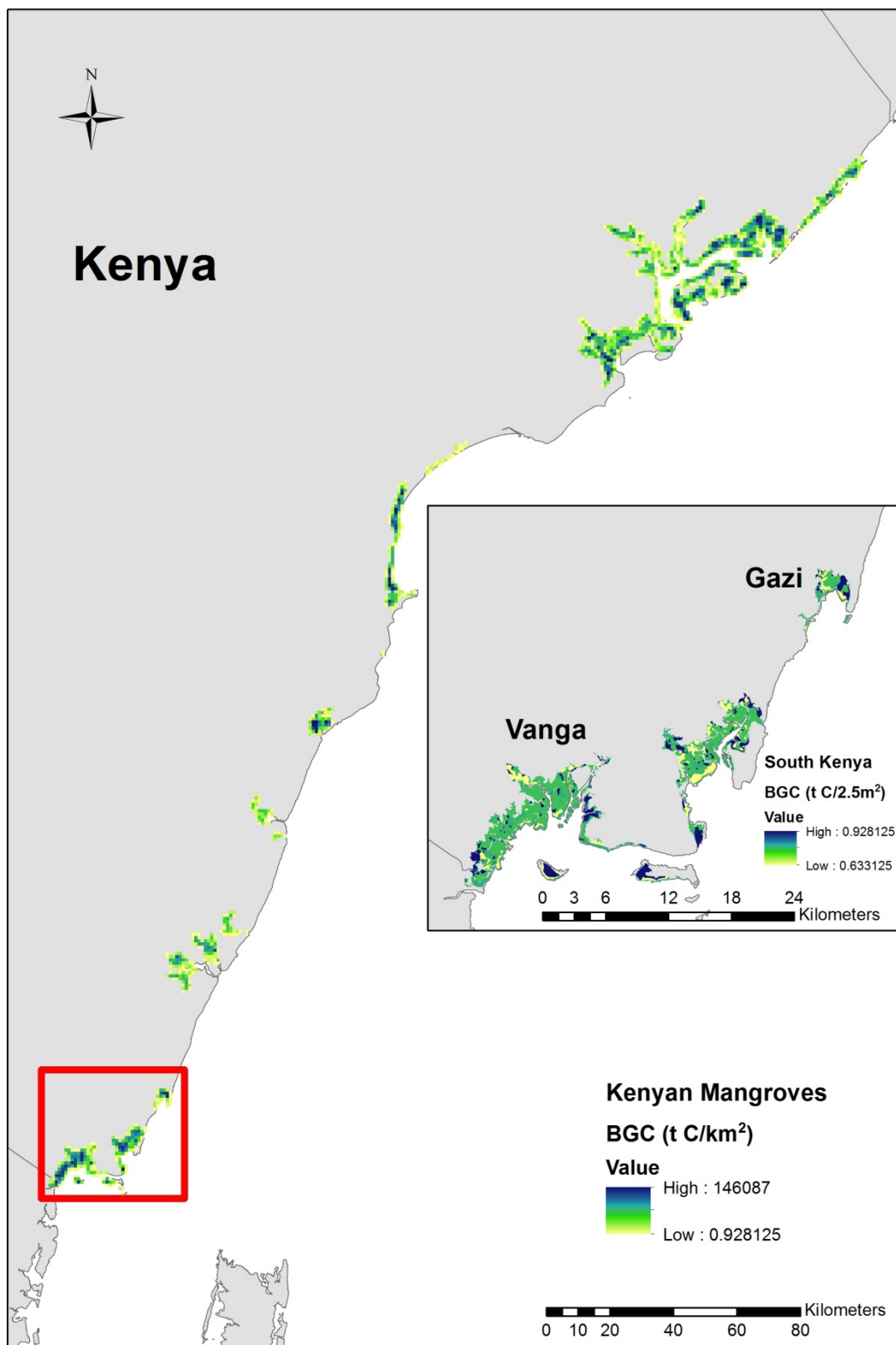
383 *Calculations and Mapping*

384 Using the predictive model, total mangrove BGC in Kenya was estimated to be $69.41 (\pm 9.15$
385 95% C.I.) Mt C (Figure 6). Figure 6 shows the BGC distribution throughout the Kenyan coast
386 at a spatial resolution of 1km^2 based on the mean values. Using an overall mean mangrove
387 (no species differentiation) BGC model across all mangrove areas revealed similar BGC
388 estimates; 68.39 Mt C (± 5.76 95% C.I.).

389 Areas of high belowground carbon storage, shown in red, are found throughout Kenya,
390 especially in the North (Figure 6). Areas of low BGC stores (green) appear to be concentrated
391 in the most southern region of Kenya. The inset map in Figure 6 shows the BGC stores in the
392 southern mangrove area where the field work was undertaken.

393 Applying the species based predictive model to the 1992 mangrove distribution map
394 produced a mean estimate of 75.65 Mt C (\pm 12.21 95% C.I.). Using the overall mean
395 mangrove model produced a mean estimate of 67.9 Mt C (\pm 6.24 95% C.I.). Using the
396 species based predictive model, this suggests a mean potential loss of 6.24 Mt C (8.3%)
397 between 1992 and 2010 in Kenya.

398



399

400 Figure 6: Mapping of spatial variation in mangrove BGC stores in Kenya at 1km² spatial resolution based on
 401 2010 mangrove distribution. Inset map shows the study sites in the South of Kenya.

402 **Discussion**

403 Few studies have explored the variability in BGC across different mangrove sites.
404 Differences in sediment type, biology, hydrology, and geomorphological settings can all
405 contribute to variation in carbon dynamics and storage (Adame *et al.* 2010; Donato *et al.*
406 2011; Coronado-Molina *et al.* 2012; Saintilan *et al.* 2013; Yang *et al.* 2013; Jardine &
407 Siikamaki 2014). Whilst coarse-grained global models are useful for understanding the broad
408 role that mangroves play in the global carbon cycle this site-based variation must be
409 accommodated in producing tools for local management. Here we used detailed information
410 from two separate sites to derive a predictive model for Kenya as a whole, assuming that
411 similarities and differences between our field sites would be representative for other forests in
412 the country.

413

414 *Sediment Depth*

415 Sediment depth was found to be consistent across Gazi and Vanga with a mean depth of
416 2.5m. Most published BGC estimates assume a depth of only 1m hence it is likely that
417 current figures are underestimates. The attempt at a global BGC predictive model by Jardine
418 & Siikamaki (2014) also assumed a global sediment depth of only 1m, suggesting their work
419 may substantially underestimate global stores. Whilst the work presented here shows a mean
420 depth of 2.5m, Tue *et al.* (2014) reported mangrove sediment depths of >4m in Vietnam and
421 studies in the Caribbean have shown depths of up to 8m (McKee *et al.* 2007). As shown in
422 the methods and Supplementary information, the mean sediment depth of 2.5m is an
423 underestimate as bedrock was rarely met (resistance from roots, lack of strength needed to
424 core deeper and exhausting the full length of the rod often prevented this). Mean BGC figures
425 presented here are therefore also underestimates; albeit an improvement on current estimates.

426 *Carbon Density*

427 No differences were found between our two sites in carbon density. This was an important
428 finding; site differences here would remove any rationale for extrapolating to other sites,
429 without taking site-specific data. Consistent with previous research, there were significant
430 differences between species groups in carbon density at both sites (Alongi *et al.* 2000;
431 Bouillon *et al.* 2003; Huxham *et al.* 2010; Liu *et al.* 2013; Wang *et al.* 2013; Sakho *et al.*
432 2014). Hence species identity was included as the key variable in the predictive model. .
433 Some previous work has found carbon density to vary with depth (e.g. Alongi *et al.* (2000)).
434 The absence of a depth effect here may suggest that a decrease in carbon concentration and
435 an increase in bulk density with depth cancel out any depth effect in carbon density (Fujimoto
436 *et al.* 1999; Donato *et al.* 2011; Tue *et al.* 2012; Adame *et al.* 2013; Bianchi *et al.* 2013;
437 Saintilan *et al.* 2013; Tue *et al.* 2014).

438

439 *Belowground Carbon Stores and Predictive Modelling*

440 The analyses presented here show no significant site effects on BGC (per unit area). This is in
441 contrast with recent research carried out by Jardine & Siikamaki (2014) who found
442 substantial within-country variation in BGC. In Indonesia, carbon rich mangroves were found
443 to have 1.5 times as much carbon per hectare compared with carbon poor mangroves (Jardine
444 & Siikamaki 2014). Indonesia however is made up of many small islands with varying
445 geomorphology and climatic conditions which could explain the variation in carbon stores.
446 Kenya is smaller and has a much more geomorphologically consistent coastline. The within-
447 country variation may also be due to species differences in BGC storage which was not
448 accounted for in the Jardine & Siikamaki (2014) research. As seen in Figure 3, mangrove
449 species does influence BGC storage in the present study sites and hence needs to be

450 incorporated in the predictive model. This is in accordance with previous research where
451 species differences in carbon were evident (Alongi *et al.* 2000; Bouillon *et al.* 2003; Huxham
452 *et al.* 2010; Liu *et al.* 2013; Wang *et al.* 2013; Sakho *et al.* 2014). At both sites *Rhizophora*
453 has the highest carbon stores which is consistent with the findings by Liu *et al.* (2013). This
454 may reflect varying C:N ratios in mangrove species (Bouillon *et al.* 2003). Cuc *et al.* (2009)
455 reported sediments with low C:N ratios (*Avicennia marina*) had faster rates of decomposition.
456 *Rhizophora* are often selected for forestry projects due high productivity and growth rate
457 which may contribute to higher levels of organic matter input into the sediment (Kairo *et al.*
458 2008, 2009).

459 For BGC to 1m and mean depth there was a significant interaction between DFC and site.
460 This may be confounded by species differences in BGC, as the variance inflation factor
461 suggests. It is possible that DFC does not accurately account for the effects of varying
462 geomorphological settings such as estuaries, creeks or landmass sheltering the coastline (such
463 as an island or peninsula). These settings would experience different allochthonous input and
464 therefore BGC variability (Adame *et al.* 2010; Saintilan *et al.* 2013; Yang *et al.* 2013).
465 Ideally there would be a large enough sample size to test the effect of DFC on BGC within
466 each species group separately. In accordance with Donato *et al.* (2011), Wang *et al.* (2013)
467 and Tue *et al.* (2014) belowground carbon was positively but weakly correlated to
468 aboveground biomass at both sites.

469 Comparisons with another site enabled assessment of the most robust set of predictors to
470 include in the model of BGC being developed for application to the Kenyan coast. In
471 accordance with previous research, species has consistently explained the majority of the
472 variation in not only BGC stores but also sediment depth and is therefore included in the
473 model (Alongi *et al.* 2000; Bouillon *et al.* 2003; Huxham *et al.* 2010; Liu *et al.* 2013; Wang
474 *et al.* 2013; Sakho *et al.* 2014). The comparison with the reference sites in Mombasa

475 confirmed this and suggests that the predictive model is representative of BGC stores
476 throughout Kenya.

477

478 *Mapping*

479 The country level mangrove map provides a valuable tool for assessing carbon stocks and
480 visualising the distribution of BGC. The fine-scale maps, based on 2.5m² SPOT data provide
481 the detail required for highlighting and prioritising areas for mangrove conservation and
482 restoration. Both models (with and without species distinction) provide similar BGC
483 estimates, suggesting that any increased precision gained by incorporating species differences
484 is potentially limited when considering other sources of error inherent in the estimates. The
485 species dependent model had larger confidence intervals compared to the mean mangrove
486 model; 9.2 Mt C and 5.8 Mt C respectively, due to the incorporation of the variability
487 between each species group into the overall variability rather than that from the single mean
488 BGC estimate on which the non-species model is based. The results suggest that BGC could
489 be underestimated by 1.02 Mt C or as much as 4.43 Mt C if the mean mangrove model was
490 used. However, mean mangrove BGC of 1,224 t C ha⁻¹ is consistent with figures found in
491 other countries; 1,171 t C ha⁻¹ to 2m by Fujimoto *et al.* (1999) in Micronesia and 1,023 t C
492 ha⁻¹ to 2m by Donato *et al.* (2011) in the Indo-Pacific region (bearing in mind these estimates
493 are to 2m whereas mean BGC in the work presented here is based on different mean sediment
494 depths for each species; mangrove mean sediment depth of 2.5m). This suggests that the
495 model could be applied to mangroves in other countries to offer baseline estimates of BGC
496 stores, albeit not country-specific.

497

498 Low BGC areas appear to be more concentrated in the south with medium to high BGC
499 stores in the North. Human impact has been shown to shift forest dominance from
500 *Rhizophora* to *Ceriops* (Kairo *et al.* 2002). This suggests that mangrove forests in the North
501 have been less impacted and have retained the carbon rich *Rhizophora* dominant forests.

502 Estimates of BGC are of course influenced by the accuracy and resolution of the mangrove
503 composition and distribution data that are used. As species composition information from the
504 base map was in the form of species presence/absence data and not a species tree count,
505 assumptions had to be made for the mixed species groups. Assuming the first species in the
506 composition list from Kirui *et al.* (2013) is the most dominant seemed a justified assumption,
507 however to what extent that species is dominant is unknown. Mangrove distribution may
508 have changed since 2010, so BGC stores may be under- or overestimated. Although species
509 composition is based on data from 1992, sediment sampling for this project in 2010 and 2012
510 essentially ground-truthed the species composition from 1992; i.e. the species composition
511 recorded in 1992 is what was found in the field in 2010 and 2012. Figure 6 reveals relatively
512 low BGC regions around the perimeter of mangrove forests. This relates to the fact that there
513 is lower mangrove total extent within these areas. For areas where species was unknown
514 (areas of forest growth since 1992) and the mean mangrove BGC was applied, carbon stores
515 may be over or underestimated depending on which mangrove species is present.

516 A limitation of this work is that it implements a model based on sampling from only two
517 sites, both of which are in the south of Kenya. The model validation, using data from forests
518 near Mombasa (north of the study sites) demonstrated that the values were representative for
519 these sites too, suggesting that in the absence of other data extrapolation to the rest of the
520 country is justified. The independent data set consisted solely of *Rhizophora* plots. Ideally all
521 mangrove species plots would have been used in the validation, however *Rhizophora* is the
522 most common mangrove species and has been shown to have the highest BGC figures. Future

523 work should consider sampling further north in Kenya to ground-reference the predicted
524 estimates and improve the current estimates. As forests in the south of Kenya have been
525 exploited to a greater extent, there is not only the potential for a species shift but also lower
526 carbon densities due to degradation (Johnson & Curtis 2001; Vargas *et al.* 2013; Lang'at *et*
527 *al.* 2014). This would also mean that the 6.24 Mt C lost through deforestation and
528 degradation between 1992 and 2010 may be an underestimate. Interestingly, the BGC lost
529 during this period was 8.3%, which is less than the reported spatial loss of 12.1% (Kirui *et al.*
530 2013). This suggests that the areas of mangrove forest lost due to human impact are
531 predominately on the outer edges of the forest where carbon stores are lower. Areas of forest
532 on the perimeter are generally more vulnerable due to ease of access via roads etc. (Rideout
533 *et al.* 2013). However, with only a 3.8% difference, the estimate of spatial loss does provide a
534 good indication of the potential BGC loss. The BGC store figure from the 1992 map provides
535 an estimate of the potential of mangrove carbon storage in Kenya. The significance of
536 mangrove loss since 1992 in Kenya has until now been unknown, however with these BGC
537 estimates, the damage in terms of potentially lost carbon stores is now known and can be
538 used for future reforestation and conservation projects.

539 The work here has provided a baseline mangrove BGC distribution map for the entire
540 coastline of Kenya. Implementing a country-specific predictive model has provided the level
541 of detail required for practical management outcomes such as pin-pointing likely REDD+
542 locations. Quantifying the change in BGC over time has given a valuable insight into the
543 amount of carbon lost through human impact at the country-level, emphasising the need for
544 mangrove conservation.

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