

1 **Whole life design and resource reuse of an integrated collector-storage solar water heater**

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17 Text revised on 06/04/18

18 Word count: 5918

19 Number of figures: 7

20 Number of tables: 4

21 **Abstract**

22 Passive solar systems are often designed primarily with efficiency in mind. This means that
23 research efforts are concentrated towards gaining an increase in performance. However, due to
24 the multiple materials used, their manufacturing processes, a lifespan that is usually shorter
25 than that of a building a system is applied to, and the waste generated when it has reached the
26 end of its useful life, a more holistic approach to the design and performance of these systems
27 should be adopted.

28 This paper reports on the environmental impact of a unique integrated collector-storage solar
29 water heater (ICSSWH) design, experimentally tested under Scottish weather conditions,
30 considering circular economy and reuse potential. As such, the material flows and components
31 used are mapped against the life-cycle stages of existing European standards, whilst ensuring
32 an optimal efficiency. End of life considerations and design for disassembly and reuse are also
33 assessed and discussed. The results show that a holistic design, which promotes circular
34 economy principles, does not compromise efficiency and economic viability. Energy payback
35 times of 4.5 and 4.6 years can be realised for a circular and linear approach, respectively. The
36 biggest improvement comes from the operational carbon savings, which far outstrip the
37 embodied carbon, with carbon payback times of just 7 months, when replacing an electric
38 system.

39 **Keywords:** *Sustainability; Renewable energy; Recycling and reuse of materials; Energy*

40 1. Introduction

41 The consumption of heat accounts for 53% of the energy consumed by Scotland's homes and
42 businesses, 13% of which is used for water heating, the second most significant energy use in
43 homes (Wheelhouse, 2017). There are different types of solar water heating (SWH) systems
44 that could contribute towards this demand. One such system is an integrated collector-storage
45 solar water heater (ICSSWH) which is passive and direct, unlike many commercially available
46 systems which are active and indirect. Active and indirect systems require a pump to circulate
47 a heat transfer fluid, such as a glycol mixture, between an internal hot water storage tank and
48 the collector. Passive and direct systems rely on natural convection to circulate potable water
49 throughout the system.

50 Passive systems are vital to reduce energy demand in the built environment and for future
51 energy sustainability. To truly evaluate the sustainability of such systems, it is important to
52 compare the operational energy savings against the embodied energy. Scottish weather is not
53 as forgiving as in a Mediterranean climate, therefore, the energy benefits of a SWH system are
54 likely to be lower than in other, more climatically blessed, parts of the world, albeit the need
55 for heat is greater. Therefore, the life-cycle is important to be able to make any claim about the
56 overall benefits of a system; operational energy must offset embodied energy for it to be
57 considered sustainable and to actively contribute to Scotland's climate targets (Scottish
58 Government, 2017). Within a life-cycle perspective, the end of life stages are becoming more
59 important due to resource scarcity. It is therefore imperative to consider reuse and recycling,
60 thus keeping resources in the loop for as long as possible. This paper presents the first study of
61 its kind in the UK, evaluating a unique ICSSWH system under Scottish weather conditions,
62 designed with the circular economy and reuse potential in mind and aims to quantify its
63 environmental impacts, in terms of energy and carbon.

64 2. Background

65 The system under investigation is a newly developed ICSSWH, the benefits of which are two-
66 fold. Firstly, in terms of operational energy, it was designed to have a greater thermal
67 performance than previous iterations due to an improvement in the design factors (discussed in
68 Section 2.1). Secondly, in terms of life-cycle impacts and circular economy potential, it has
69 been designed with disassembly in mind in order to keep resources in the loop for as long as
70 possible and avoid, at the end of its useful life, all the materials in the system being processed
71 as waste.

72 2.1 *The ICSSWH system*

73 There are many commercially available SWH systems and a major drawback is that they are
74 active and indirect as opposed to passive and direct. Their distributed layout requires external
75 hot water storage tanks and additional pipework, pumps, and valves. This yields a large space
76 requirement and more complicated manufacture and installation processes (Arnaoutakis,
77 Souliotis and Papaefthimiou, 2017). The more parts in the system and the more dispersed they
78 are, the greater potential for heat loss and failure points; which reduces the efficiency and
79 confidence in the system overall as well as increasing its environmental impact. This caveat
80 calls for a condensed, all-in-one system that can be easily integrated into the roof structure,
81 such as ICSSWH systems. These systems are passive and direct and as such do not require any
82 additional components, such as pumps and control systems which depend on electricity, and
83 therefore have lower economic and environmental costs (Wang *et al.*, 2015). The most
84 common, commercially available systems are evacuated tube and flat plate collectors which,
85 like ICSSWH systems, are cost effective and are able to pay back their investment within their
86 service life (discussed in Section 2.2). The Energy Saving Trust (2011) conducted a study of
87 88 UK sites and found that these systems could provide as much as 60% of homes' hot water.

88 This translated to a cost saving from £30-£100 and a carbon saving from 50-500 kgCO₂/year,
89 depending on the heating system being replaced. While there are several types of SWHs (Jamar
90 *et al.*, 2016), this paper focuses on a newly developed integrated collector-storage (ICS)
91 system. As such it beyond the scope of this work to compare it with other technologies in terms
92 of performance and cost.

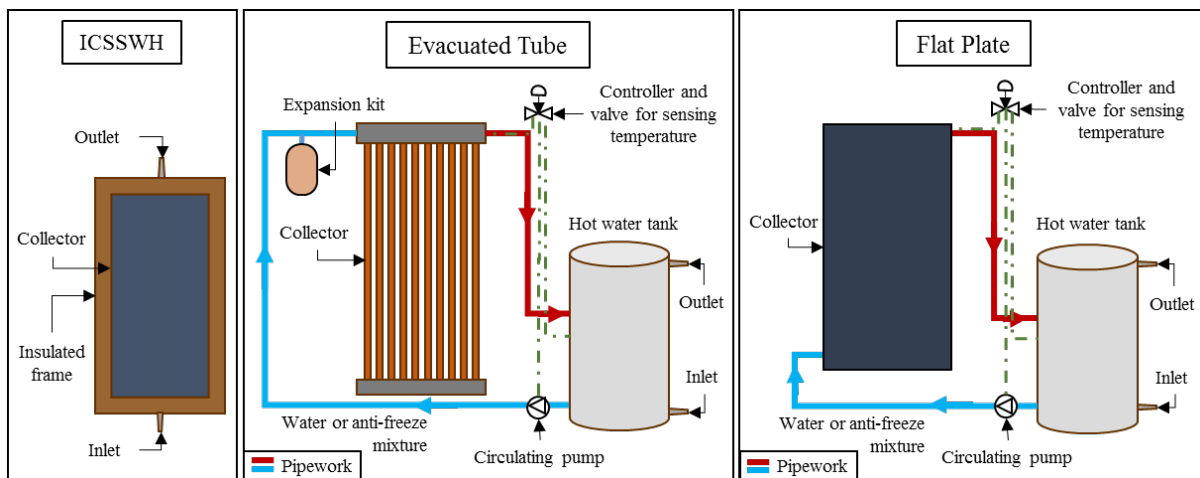
93 The ICSSWH presented in this paper is an improved version of a prototype that has been
94 previously modelled and validated (Garnier, Muneer and Currie, 2011; Birley *et al.*, 2012). It
95 improves upon its predecessor through differing design configurations aimed at enhancing
96 thermal performance and reducing operational energy by mitigating the use of conventional
97 energy. Also, its innovative new design allows the system to be disassembled, thus improving
98 its recycling potential. These configurations were chosen based on an extensive literature
99 review surrounding ICS systems and Table 1 shows the design factors chosen for the collector
100 under investigation. To this end, the system is being compared against itself, under different
101 design conditions, as opposed to commercially available solar systems. However, for
102 comparison, the functional units (FUs) of the ICSSWH against commercially available SWHs
103 are illustrated in Figure 1. Here, the FU is defined as one SWH unit designed to provide
104 domestic hot water for a single-occupancy dwelling, based on a consumption of 52 l/day, over
105 a service life of 20 years.

106 With circular economy in mind, the system has been designed to allow the absorber plate to
107 be detached from the storage tank. The absorber plate was made of aluminium for its high
108 thermal conductivity despite its higher environmental impact, versus other common materials
109 such as copper or stainless steel. The storage tank was made of stainless steel, with the two
110 being sealed together using a rubber gasket. This greatly improves the collectors recycling
111 potential as the steel and aluminium can be cleanly separated and reused. This design aspect
112 was chosen to equip the system with a cradle-to-cradle, circular, potential.

Table 1: Summary of chosen design factors based on thermal performance.

<i>Influence</i> <i>Design factors</i>	<i>Thermal stratification (T_s) & Bulk water temperature (T_w)</i>	<i>Heat retention</i>	<i>Efficiency (η)</i>
Baffle plates (Smyth et al., 2003; Kumar and Rosen, 2011; Swiatek et al., 2015)	Narrower gap between baffle & absorber and a shorter plate (~60% of the absorber length) produces greater T_s .	Heat loss can be reduced by 20% by perforating the plate.	η increases of up to 7% with the addition of a baffle (alongside additional insulation) and overall collection η of ~60%.
Heat transfer fins (Junaidi, 2007; Garnier, 2009)	Heat transfer increased 28% using aluminium fins; 18% with stainless steel (SS) - compared to an un-finned SS tank.	The high heat flux through the fins generates higher velocity flows, promoting heat loss during collection periods.	η improvement of 5-6% due to faster/greater heat transfer. Overall η using Al, 71%; SS, 65%.
Insulation/ Night cover (Smyth et al., 2003; Kumar and Rosen, 2011)	Optimising thickness & type of insulation (TIM honey-comb array showed best results) can give T_w between 40-50°C.	Insulating the vessels' top third, 60% of heat retained over the total volume. Temps were 2.8°C higher using an insulated night cover vs absorber coating.	1/3 insulated design showed 37% increase in heat retention & 13% higher collection η . Single glazing + night cover has η between 57.1-79.4%.
Inlet variations (Hegazy, 2007; Farmahini-Farahani, 2012)	Slotted & perforated pipes are effective at reducing mixing & improving T_s . Inlet position directly impacts T_s & the pipe being at the base of the tank gives the best T_s .	Due to the improvement in T_s , hot water retention at the top of the system can be improved through other methods, e.g. additional insulation or night cover.	A higher degree of T_s increases system η (by as much as 10%) as the bottom water layers are at a lower temp with more potential for heat gain.

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Figure 1: Diagrammatic view of ICSSWH, evacuated tube collector, and flat plate collector FUs.

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Figures 2A and 2B illustrate the basic collector-storage unit and the insulated frame it sits in.

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Figure 2A shows the basic collector design while for the experimental testing two of these

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collectors are mounted side-by-side. Each collector has the same dimensions (1325 x 725 x

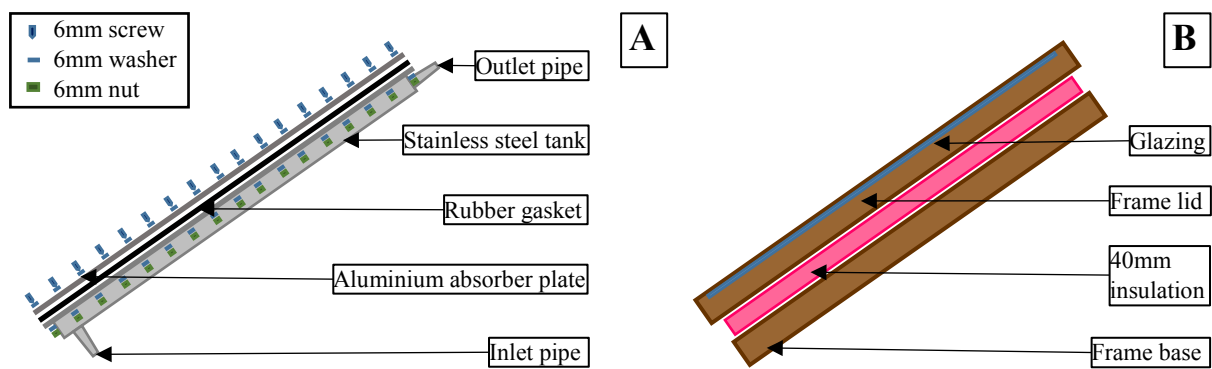
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50mm), volume (48 l), absorber area (~1m²), collector material, and insulated frame. The

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systems are under the same environmental conditions but with differing thermal efficiency

122 design configurations as introduced in Table 1. One collector has three, 3mm thick, aluminium
 123 heat transfer fins welded vertically to the underside of the absorber plate. The other has a 4mm
 124 thick polycarbonate baffle plate that sits 5mm below the absorber plate creating a thin layer of
 125 water that can be ‘super-heated’ and then transferred, via buoyant convection, to the top of the
 126 water body. The aim of the fins is to increase heat transfer to the water body whilst the baffle
 127 plate should reduce heat loss by preventing reverse circulation at night. These systems,
 128 “Finned” and “Baffled” were tested against each other to create a thermal performance
 129 baseline.



131 *Figure 2: Schematic of [A] – the ICSSWH under investigation and [B] – the insulated frame the ICSSWH sits in.*

132 As can be seen in Figure 2A, the ICS-SWH can be completely dismantled. This allows all its
 133 individual components to be recovered thus appealing to a circular economy. The
 134 environmental impact of this basic design and its subsequent heat retention additions will be
 135 analysed in the following sections.

136 2.2 Life cycle assessment of solar water heaters

137 There are a number of studies focussed on the life cycle assessment (LCA) of SWH systems.
 138 Most of these studies use electric or gas boilers as a basis for comparison and focus on
 139 commercially available systems (Greening and Azapagic, 2014). Uctug and Azapagic (2018)
 140 conducted a cradle-to-grave LCA for a passive, flat plate thermosiphon SWH in Turkey. The

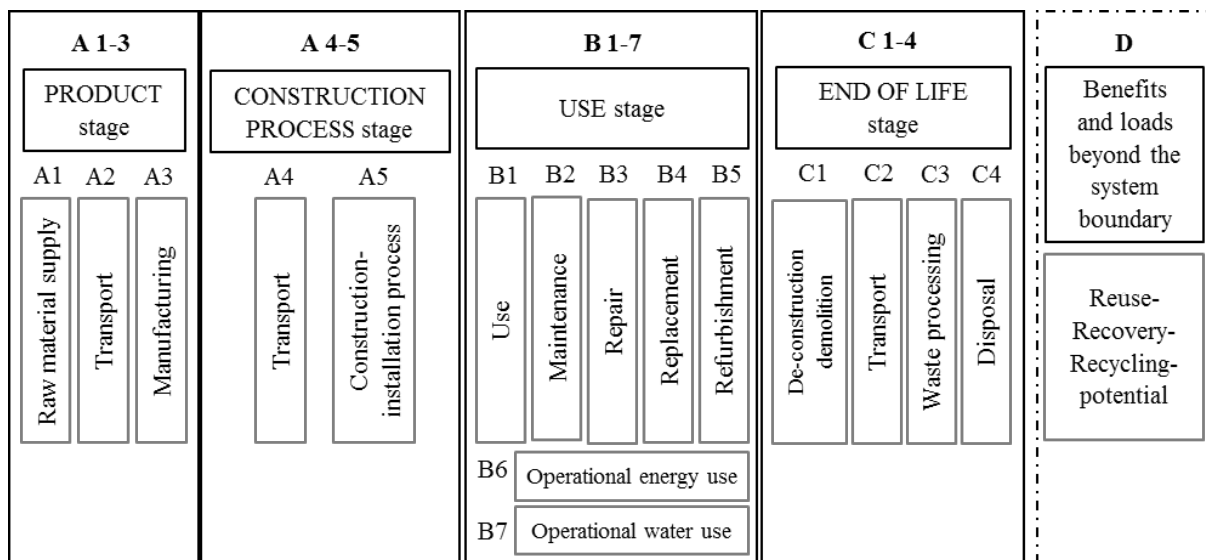
141 authors considered two scenarios; a linear LCA approach where the system components were
142 processed as waste and a circular approach where they were recycled at the end of the service
143 life. The construction stage proved to be the most energy intensive and had the biggest
144 environmental impact and of the components, the water storage tank had the highest overall
145 impact. However, environmental impacts are still 1.5–2 times lower when compared to gas
146 boilers and the SWH could provide 80% of the annual hot water requirement. Kylili *et al.*
147 (2018) carried out an environmental assessment of SWHs for industrial use in various European
148 countries and found that 85% of the total environmental impact stems from the production and
149 construction phases. They also found that SWHs could avoid over 70% of energy and carbon
150 used/emitted when utilising conventional thermal systems. Similar energy results and
151 environmental savings are found in other studies across the world with different types of SWH
152 systems (Moore *et al.*, 2017; Balaji, Iniyar and Swami, 2018; Fertahi *et al.*, 2018). Further
153 studies focus on the economic aspects of SWHs, showing their potential to replace
154 conventional systems as well as justifying the need for government incentives (Araya *et al.*,
155 2017; Chen, Zhang and Dai, 2018; Rout, Sahoo and Thomas, 2018). However, these studies
156 concentrate on hybrid systems (i.e. incorporating photovoltaics) or indirect, active systems.

157 Of the studies reviewed, only five have been conducted in the UK (Smyth, Eames and Norton,
158 2000; Allen *et al.*, 2010; Menzies and Roderick, 2010; Greening and Azapagic, 2014;
159 Piroozfar, Pomponi and Farr, 2016) and only one on ICSSWH (Smyth, Eames and Norton,
160 2000). As a result of the increasing concern over our use of finite resources, the circular
161 economy has emerged as a new paradigm which promises to decouple resource consumption
162 from economic growth. A key element of the circular economy is to keep resources in the loop
163 for as long as possible, thus maximising the re-usability of products, elements, and components.
164 Whilst the concept is gaining momentum in many sectors, its uptake in the construction
165 industry is lagging behind (Pomponi and Moncaster, 2017). For this reason, a cradle-to-cradle

166 perspective has strongly characterised the improved design of the present system. An LCA will
 167 enable the quantification of the environmental benefits that may occur as a result of this design
 168 adaptation.

169 **2.3 Life cycle assessment as a tool**

170 LCA is an environmental management tool that allows the environmental impact of a product
 171 to be quantified. The different stages specific to buildings and construction products are shown
 172 in Figure 3, as stated in the British Standard EN 15978 (2011). The final supplementary Stage
 173 **D** allows a cyclic, holistic view of the full impacts of a product. It closes the loop and transforms
 174 an LCA from a linear analysis to circular, from cradle-to-grave to cradle-to-cradle. Cradle-to-
 175 cradle can have a very positive influence on LCA results as both reuse and recycling greatly
 176 reduce the environmental impact (Allen *et al.*, 2010).



177
 178 *Figure 3: Different stages of the building life cycle (stages A-C) and supplementary information beyond (D). Adapted from*
 179 *(BSI, 2011).*

180 Along with this environmental impact assessment, many of the studies reviewed in this paper
 181 also evaluate the energy and economic impacts. Combing these three aspects allows a detailed
 182 view of a products overall impact. Energy refers to the performance of the system and how

183 much operational energy it can save over its useful life; this can pay back the system's
184 embodied energy. Economy is heavily influenced by this as a higher system performance will
185 reward more savings to the end-user in terms of avoided conventional energy consumption.
186 Environment also relies on the system performance as the payback time for the embodied
187 carbon depends on the carbon saved through the reduction of fossil fuel use. By utilising a
188 solar, passive system, a proportion of conventional fossil fuels are replaced. The embodied
189 energy can usually be recouped in under 2 years (Table 2). The economic payback periods
190 (PBP) are reported to be much longer due to the higher capital costs of solar thermal systems
191 compared to gas or electric boilers, for example. A life cycle cost analysis is beyond the scope
192 of this paper, however, PBP reported in the literature are shown to give an idea of the potential
193 of such systems. Table 2 summarises the cases presented in the literature, reviewing the country
194 of each study (geographic location playing a large role in system performance); payback times
195 (where reported); the life-span considered in each study; the stages of LCA covered (i.e. system
196 boundaries) and; the methodology employed. Only European studies have been presented here
197 as the databases and software used are more relevant to the current study.

198 The same methodology is rarely used throughout the literature, and this is not new in LCAs
199 in the built environment (Pomponi and Moncaster, 2016). Many consider the ISO 14040 (2006)
200 standard as the procedure to follow but use different databases and analytical software. The
201 accuracy of the LCA relies on the integrity and applicability of the database used. There are
202 numerous available however it is important to choose one that is most representative of the
203 source and type of construction material used. Databases are often specific to geographic
204 regions; for example, European databases include Ecoinvent, GaBi, and European Life Cycle
205 Database whilst American databases include Athena and U.S. Life Cycle Inventory. Martínez-
206 Rocamora, Solís-Guzmán and Marrero (2016) reviewed LCA databases focused on
207 construction materials and presented a clear, informed selection process for researchers. The

208 authors emphasised the high quality of Ecoinvent and GaBi Database for European studies.
209 Software tools are used to minimise the time and effort required for a life cycle impact
210 assessment (LCIA) and common tools include CML 2001, Eco-indicator 99, and Impact2002.
211 Martínez *et al.* (2015) evaluated 7 software tools through a case study involving a wind turbine.
212 The authors found that, although LCIA results across the different tools could vary markedly,
213 CML and Eco-indicator 99 tools provided a robust and accurate comparison for most
214 categories. The choice of database as well as the software tool is therefore a crucial
215 consideration.

216 The spread in databases and software used makes direct comparison, as well as replicability,
217 difficult. This inconsistency across the literature makes it hard to identify the steps within the
218 LCA that have the greatest impact and, therefore, the greatest improvement potential (Ardenne
219 *et al.*, 2005). The majority of studies also claim to conduct a cradle-to-grave LCA which
220 translates to stages A to C in the EN 15978 standard. However, results are often presented as
221 aggregated indexes, i.e. each of the above factors is a bulk calculation, not taking into account
222 the truncation error inherent in many material databases (Lenzen and Dey, 2000). Each tiny
223 component included in the final functional unit (FU) has its own life-cycle and impacts though
224 this is rarely considered, with the analysis only extending a short way into the components
225 supply chain. Therefore, the more disaggregated the results, the more transparent and
226 comprehensive the LCA.

227 2.4 *Life cycle assessment methods: advantages and limitations*

228 Three main methods for LCAs exist in the built environment: process, input-output, and the
229 hybrid analysis. A process-based analysis refers to a mix of processes, products, and location-
230 specific data to calculate and establish the environmental impact of a product system. Input-
231 output analysis is an economic technique, which uses input-output tables (matrices of sector-

232 based monetary transactions) to map resource consumption and pollutants release throughout
233 the whole economy (Crawford, 2011). Both process and input-output LCAs suffer from
234 incomplete and unreliable inventory data sources which impacts upon hybrid LCA, albeit with
235 less severity (Crawford, 2008). Process LCA has inherent truncation errors due to the definition
236 of system boundaries and the limited process data available (Lenzen, 2001). Input-output LCA
237 has issues associated with data aggregation, however, if done correctly, the impact of this is
238 typically much less than the truncation error in process LCA (Crawford and Stephan, 2013).
239 Input-output LCA also suffers a downstream truncation error (Majeau-Bettez, Strømman and
240 Hertwich, 2011) as it does not consider the ‘gate-to-grave’ period of the life cycle, however,
241 this is easily overcome using input-output-based multipliers (Lenzen, 2001).

242 Hybrid analysis aims to combine the strengths of the previous two by filling missing, process-
243 related information with input-output data, and it has been demonstrated that it is likely to yield
244 more accurate results (Pomponi and Lenzen, 2018). However, combining process and input-
245 output data in a hybrid LCA remains a highly manual and time-consuming process (Crawford
246 *et al.*, 2017). Therefore, in the LCA of buildings - where each of the materials used has its own
247 specific life-cycle and all interact dynamically in both space and time (Erlandsson and Borg,
248 2003; Collinge *et al.*, 2013) – the process-based analysis appears as the most reasonable choice
249 and is also suggested by European and International Standards that are specifically developed
250 for the construction sector (Moncaster and Song, 2012).

Table 2: Summary of literature surrounding LCA and SWHs in terms of payback times and methodologies.

Paper	Country of study	Payback Times (years)						Life-span (years)	LCA Stages	Method used
		Energy		Environment		Economy				
		Best	Worst	Best	Worst	Best	Worst			
Smyth, Eames and Norton (2000)	UK	>2							A 1-3	TRANSYS simulation & correlation program (Polysun 2000)
Tsilingiridis, Martinopoulos and Kyriakis (2004)	Greece			50%*	38%*			15	A-C	GaBi software; CML 2001
Ardente <i>et al.</i> (2005)	Italy	>2		>2	2			15	A-C	ISO 14040
Battisti and Corrado (2005)	Italy	0.42	1.3	0.42	1.6			15-20	A-C	Eco-it software; Eco-indicator 99
Tripanagnostopoulos <i>et al.</i> (2005)	Italy	1.3	1.6	1.2	2	14.2	22.1	15-25	A-D	SimaPro 5.1 software; CML 2 baseline 2000; Eco-indicator 95
Kalogirou (2009)	Cyprus	1.1		0.6	3.2	2.7	4.5	20	A 1-3	TRANSYS simulation & correlation program (Polysun 2000)
Allen <i>et al.</i> (2010)	UK	1.3	5.2	1.2	2	15	85	25	A 1-5	SimaPro v.7.1 software; data directly from manufacturers
Menzies and Roderick (2010)	UK	2.6	6.1	3.5	8.2			20	A-C	University of Bath Inventory of Carbon and Energy v.1.6a
Laborderie <i>et al.</i> (2011)	France	>1	1.5	0.42	0.5			20-25	A-D	Impact 2002+ (v2.04); SimaPro 7.1; Eco-invent 2.0 database
Koroneos and Nanaki (2012)	Greece					4	6	20	A-C	ISO 14040; GEMIS software; Eco-indicator 99 & Eco-indicator 95
Carnevale, Lombardi and Zanchi (2014)	Italy	0.7	1.2	0.65	1.1			25	A-D	ISO 14040; Eco-invent 2.2; Eco-indicator 95
Comodi <i>et al.</i> (2014)	Italy	0.2	1	0.1	2.5	3	13	10	A-C	Tolomeo software; GaBi database; Eco-indicator 99 (E199-EE)
Greening and Azapagic (2014)	UK	93%*	82%*	94%*	87%*			25	A-C	ISO 14040 & 14044; GaBi software v.4.4 & CML 2001
Chen <i>et al.</i> (2015)	Ukraine	3.8	8.3	1.9	7.2			10-15	A-C	SimaPro v.7.0; Eco-indicator 95
Lamnatou <i>et al.</i> (2015)	France/ Spain	0.5	2					30	A-C	Impact 2002+; Eco-indicator 99
Uddin and Kumar (2016)	Thailand	0.2	0.6					15	A-C	SimaPro 7.3.3 database; Eco-indicator 99 and CML 2000
Zambrana-Vasquez <i>et al.</i> (2015)	Spain	0.6	4.35	72%*	30%*			20	A-C	CHEQ4 v.1.3 software; METASOL
Piroozfar, Pomponi and Farr (2016)	UK	62%*		<6				20	A-C	SimaPro 8.0.3.14 ; Eco-invent (2013) database
Arnaoutakis, Souliotis and Papaefthimiou (2017)	Greece			96%*				10	A-C	SimaPro 8.2; Eco-invent v.3.3; Eco-indicator 99
Kylili <i>et al.</i> (2018)	Greece	75%	71%	74%	68%			20	A-B	ISO 14040; GaBi software

* Did not calculate payback time in years but expressed as % avoided compared to conventional systems, **if stated at all**. [Arnaoutakis *et al.* (2017) compared against a Flat Plate unit]

Stated payback times depend heavily on the systems reviewed, the conventional systems they're compared to and the energy mix and climate of the geographical location. Large spread of systems/configurations/comparisons throughout the literature.

253 Given that a number of studies utilise the ISO 14040 (2006) methodology, that framework
254 will also be adhered to in this work. An LCA consists of four main steps – goal and scope
255 definition (investigation boundaries); life cycle inventory (LCI); life cycle impact assessment
256 (LCIA); interpretation of results/improvement analysis. This paper covers a cradle-to-cradle
257 LCA; the materials of the newly developed ICSSWH, designed with attributes considering
258 their reuse and recycle potential, are presented in Section 3.

259 **3. Methodology**

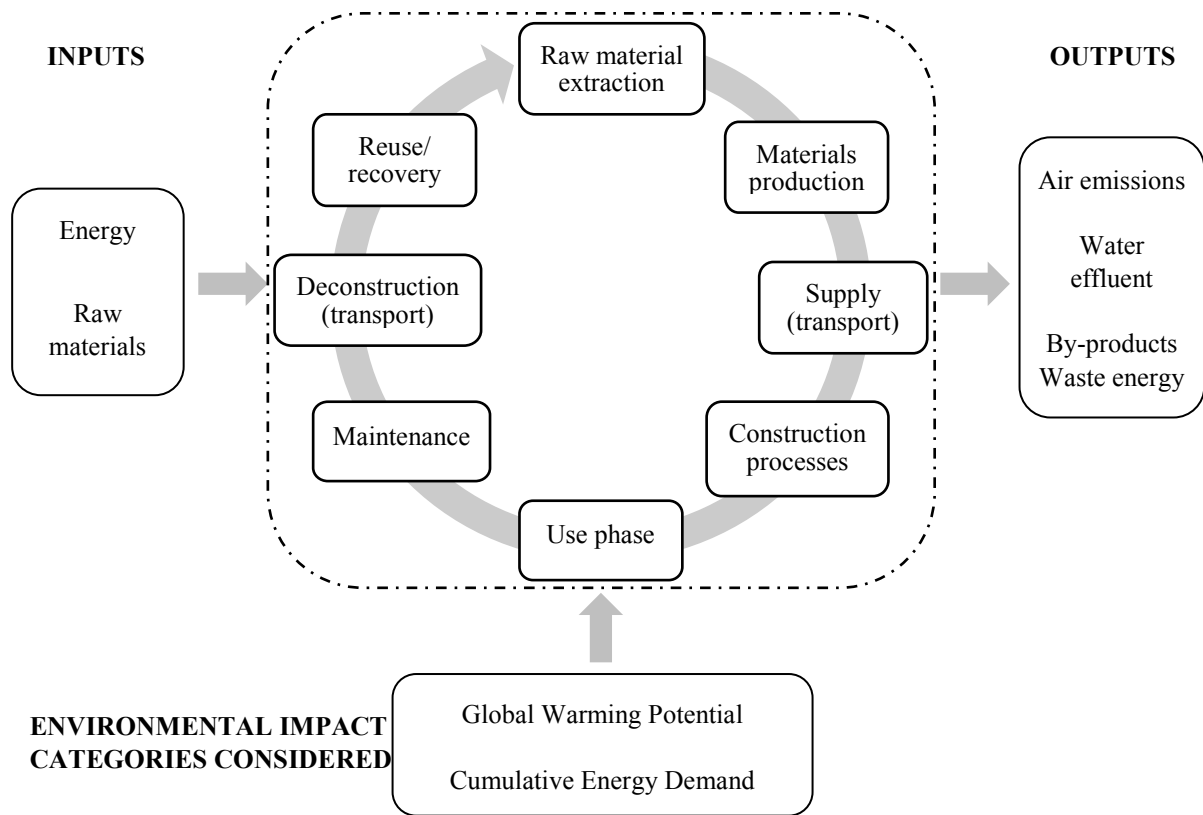
260 *3.1 Goal and Scope*

261 The goal of the present research is to determine the environmental impact of a newly
262 developed ICSSWH under three different design configurations, as discussed in Section 2.1.
263 By determining the embodied energy and operational energy savings throughout the systems
264 life-time, its sustainability can be quantified. Also, reviewing the embodied energy both with
265 and without a circular economy approach, allows to quantitatively evaluate the importance of
266 a ‘circular’ design. A process-based LCA will be undertaken for this work.

267 The FU is defined as one ICSSWH designed to provide hot water for one person, 52 l/day.
268 One FU includes the collector and the insulated frame, detailed in Figures 2A and 2B. Pipework
269 to and from the collector storage tank is excluded as it is assumed that, as with other traditional
270 systems, this is already in place before the installation of the unit. The system boundaries are
271 considered to be where the FU interfaces with the rest of the domestic hot water system. Figure
272 4 illustrates the boundaries of the cradle-to-cradle LCA undertaken in the present paper.

273 Given that each ICSSWH collector has a different initial set-up, “Finned” vs “Baffled”, the
274 cradle-to-gate stage will play a key role due to the use of different materials. In terms of the
275 service life of the system, based on the literature review, a lifespan of 20 years is assumed.

276 Transport within each relevant stage was taken to be 50km as it is assumed that any necessary
 277 travel, such as from manufacturer to site or site to landfill, is within a 50km radius.



278

279 *Figure 4: LCIA based on (BSI, 2011). Dashed line indicates assessment boundary. Embodied carbon is defined here as GWP*
 280 *100 based on IPCC (2013).*

281 **3.2 Life cycle inventory (LCI)**

282 Tables 3 and 4 outline the primary data collected for the materials used in the construction of
 283 the FU, plus the additional materials for the different design configurations. The percentage of
 284 the overall mass for each component has been calculated and from this the materials that can
 285 be neglected are determined. The ISO 14040 (2006) standard states that if the effect of a system
 286 component is less than 1% on the overall mass it can be neglected from the analysis. However,
 287 to keep the LCA as transparent as possible a cut-off criteria of 0.5% on the mass has been
 288 applied in this analysis.

289 3.3 *Life cycle impact assessment (LCIA)*

290 The LCIA can be broken down into two main contributors – operational energy and embodied
291 energy – which combine to give the total energy impact of a system. As stated in the goal and
292 scope, the embodied energy analysis will be from both cradle-to-grave (without circular
293 economy) and cradle-to-cradle (with circular economy). The embodied energy is derived from
294 the energy expended during the manufacture, transport, installation, maintenance and
295 deconstruction of the FU which is added to give a positive impact on the total energy. The
296 operational energy is derived from the “use” stage of the FU’s life-cycle; in this research it is
297 considered as the amount of conventional energy avoided and therefore contributes a negative
298 impact on the total energy.

299 The LCA tool used in this research is OpenLCA v1.6.2 equipped with the Ecoinvent database
300 v3.1 and global data was selected. The impact assessment method used for the embodied
301 carbon (GWP 100) is the CML Baseline (v4.4, January 2015) and the Cumulative Energy
302 Demand (CED, v1.0.1, January 2015) for embodied energy. These tools were adopted based
303 on the types of databases and analytical software commonly used, reviewed in Section 2.3.

304 In terms of the operational energy, the energy savings related to hot water use will be
305 quantified based on validated fieldwork data. Given that a full annual test is still undergoing
306 for the newly developed ICSSWH in this study validated data from previous experiments will
307 be used as a conservative hypothesis. The new system has been designed to be more efficient
308 and initial results have identified additional savings, however, a full year of tests has yet to be
309 concluded in order to provide comparable data. Therefore, as a conservative approach the
310 energy savings from a successfully completed test, validated with field data, of a previous
311 prototype of the system will be used (Garnier, Muneer and Currie, 2011).

312

Table 3: Primary data of employed materials and their masses for the FINNED collector.

Collector

Component	Material	Quantity	Mass (total), kg	% Overall Weight
Tank Base	Stainless Steel	1	14.4	20.9
Absorber Plate (with FINS)	Aluminium	1	9.7	14.1
Absorber Plate Coating	Black Spray Paint	4 coats	0.704	1.0
Sparge Tube	Copper	1	0.58	0.8
Gasket	EPDM rubber	1	0.37	0.5
Gasket Sealant	Hylomar	1	0.1	0.1
Compression Reducing Coupling	Copper	1	0.1	0.1
Compression Straight Coupling	Copper	1	0.07	0.1
Hose Fitting	Copper	1	0.014	0.02
Screws	Steel	44	1.012	1.5
Nylock Nuts	Stainless steel	44	0.132	0.2
Washers	Steel	88	0.2552	0.4
Sparge Tube Supports	Polycarbonate	2	0.0018	0.003

Frame

Component	Material	Quantity	Mass (total), kg	% Overall Weight
Glazing	Glass	1	11.0	16.0
Frame	Plywood	1	28.3	41.2
Screws	Steel	32	0.02	0.029
Sealant	Silicon	1	0.005	0.007
Insulation	PU insulation	1	2.0	2.9
Waterproofing	Black Paint	1	0.005	0.007
			68.8	100.0

Table 4: Primary data of employed materials and their masses for the BAFFLED collector.

Collector				
Component	Material	Quantity	Mass (total), kg	% Overall Weight
Tank Base	Stainless Steel	1	14.4	20.8
Absorber Plate	Aluminium	1	8.5	12.3
Absorber Plate Coating	Black Spray Paint	4 coats	0.704	1.0
Sparge Tube	Copper	1	0.58	0.8
Gasket	EPDM rubber	1	0.37	0.5
Gasket Sealant	Hylomar	1	0.1	0.1
Compression Reducing Coupling	Copper	1	0.1	0.1
Compression Straight Coupling	Copper	1	0.07	0.1
Hose Fitting	Copper	1	0.014	0.02
Screws	Steel	44	1.012	1.5
Nylock Nuts	Stainless steel	44	0.132	0.2
Washers	Steel	88	0.2552	0.4
Sparge Tube Supports	Polycarbonate	2	0.0018	0.003
Baffle Plate	Polycarbonate	1	1.55	2.2
Baffle Plate Supports	Polycarbonate	3	0.0009	0.001

Frame

Component	Material	Quantity	Mass (total), kg	% Overall Weight
Glazing	Glass	1	11.0	15.9
Frame	Plywood	1	28.3	40.9
Screws	Steel	32	0.02	0.029
Sealant	Silicon	1	0.005	0.007
Insulation	PU insulation	1	2.0	2.9
Waterproofing	Black Paint	1	0.005	0.007
			69.1	100.0

317

318 3.4 *Improvement analysis*

319 This fourth stage in the LCA process, concerned with the interpretation and identification of
 320 the potential for impact reduction, is beyond the scope of this study. However, the ongoing
 321 work will look at the following possible contributions to reduce both the embodied carbon and
 322 embodied energy of the ICSSWH, though they will not be evaluated here. The embodied
 323 impacts could be improved or targeted in a number of ways: In terms of materials, the collector
 324 absorber plate could be made from 1.5mm aluminium as opposed to 3mm and the use of
 325 recycled materials in the product stage will reduce both the energy requirements and

326 greenhouse gas emissions. However, this would need to be accompanied by a structural
327 optimisation analysis to determine the effect, if any, on the efficiency and structural integrity
328 of the collector which may have a knock-on effect on payback periods for both energy and
329 carbon.

330 In terms of increasing operational carbon and energy savings, improving the efficiency of
331 manufacturing processes, as well as the thermal efficiency of the ICSSWH itself, the savings
332 will be more pronounced, thus reducing energy and carbon payback times. Optimising the
333 operational efficiency of the system, in order to improve the operational energy savings, is a
334 major part of the ongoing work and the design factors being assessed are shown in Table 1.
335 These additional components will have an impact on the LCIA but the improvement in
336 operational energy savings are anticipated to nullify this. Also, increasing the lifespan of the
337 collector would reduce impacts due to the prolonged operational energy and carbon savings
338 thus displacing fossil fuels for longer. Finally, by disaggregating the LCA and reviewing the
339 impacts per life-cycle stage, as shown in Figure 3, the stages with the largest impacts can be
340 identified and improvement efforts can focussed thus.

341 **4. Results and Discussion**

342 For the LCIA four scenarios were developed:

- 343 • Finned ICSSWH without stage D – ‘Finned Linear’
- 344 • Baffled ICSSWH without stage D – ‘Baffled Linear’
- 345 • Finned ICSSWH with stage D – ‘Finned Circular’
- 346 • Baffled ICSSWH with stage D – ‘Baffled Circular’

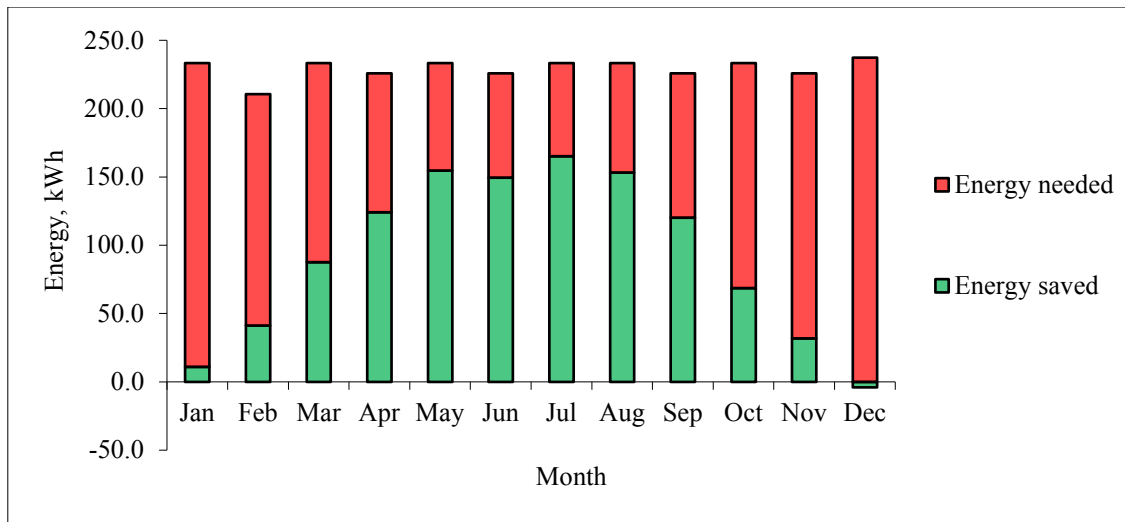
347 For each scenario, using global data in Ecoinvent v3.1, GWP 100 and Cumulative Energy
348 Demand (CED) were determined by inputting the masses of each material detailed in Tables 3
349 and 4. The raw data for all eight cases are provided in the supplementary data. For components

350 made of the same material the total quantity was summed and added to the process flow. When
351 a product, or a reasonably similar product, could not be found in the database the
352 Environmental Product Declaration (EPD) was searched. An EPD is a voluntary environmental
353 impact statement for a product or system, allowing consumers to objectively compare the
354 environmental performance of products. In the current analysis, only full life-cycle data for the
355 tank frame insulation and stage D data for the polycarbonate baffle plate were not listed in the
356 database. The full life-cycle EPD for the insulation (IVPU, 2015) was found and the values for
357 GWP 100 and CED were added to the final LCIA output. Unfortunately, stage D data for the
358 polycarbonate could not be found and was thus omitted from the analysis.

359 The data produced from the LCIA was evaluated by comparing the embodied impacts against
360 the operational savings both with and without the circular economy aspect in mind. This was
361 done to highlight the benefit of a circular economy approach as well as emphasise the fact that
362 the presented ICSSWH system allows for this through its design for disassembly. The
363 following results and corresponding discussion divides the LCIA into energy impacts and
364 carbon impacts.

365 *4.1 Energy impact*

366 The energy impact is defined here as the embodied energy required throughout the FU's life-
367 cycle, with and without a circular economy approach, and the operational energy savings
368 gained from the collector. As stated previously, a reference collector is used and the potential
369 operational energy savings, by hot water provided by the system and conventional energy
370 avoided, are illustrated in Figure 5. It can be seen that there is considerable potential for an
371 ICSSWH system to displace conventional energy thus offering significant operational energy
372 savings.



373

374 *Figure 5: Total energy needed by the end user each month, over the course of a year, including the operational energy*
 375 *contribution from the reference collector (energy generated by the system).*

376 Figure 6 shows the LCIA results for the embodied energy of the two base configurations, the
 377 finned and baffled collectors, from a linear (cradle-to-grave) and circular (cradle-to-cradle)
 378 approach. These values are graphed alongside the operational energy savings that the reference
 379 collector can provide. A logarithmic scale was used as the embodied impacts and operational
 380 savings differ by an order of magnitude. The log scale allows for an easier comparison.

381 Embodied energy is very similar for both the circular and linear scenarios. Although materials
 382 are reused in a circular economy (thus regaining some of the energy spent), energy must also
 383 be expended in the deconstruction process. In order to segregate waste, and to ensure that the
 384 different system components can be reused/recycled/recovered, some extra activities are
 385 needed aside from just transporting waste to a skip and onto landfill. The percentage
 386 improvement in embodied energy in a circular versus linear economy are 2.8% and 2.6% for
 387 the finned and baffled collectors, respectively.

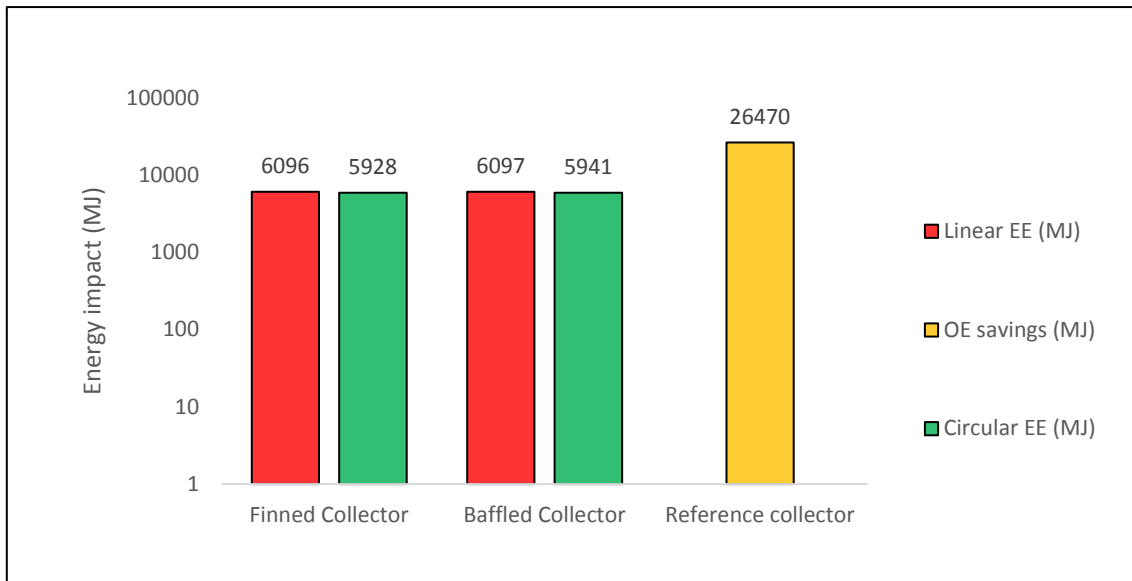


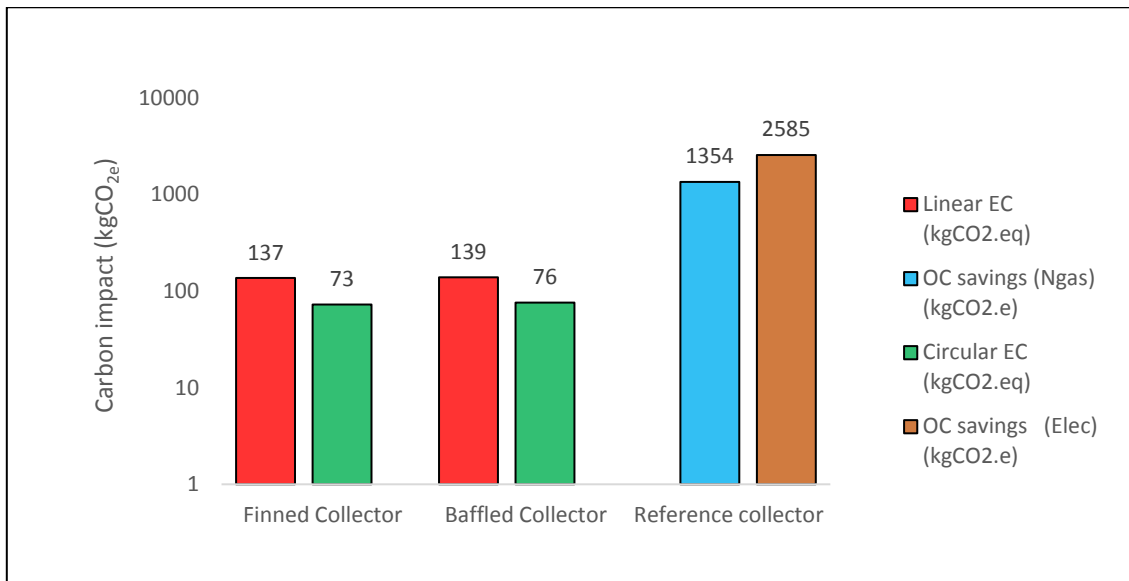
Figure 6: Embodied energy (EE) of the finned and baffled collectors, considering a linear and circular economy approach, alongside the operational energy (OE) savings from the reference collector. Note: a logarithmic scale is used.

388 Not only is there very little difference between the LCIA approaches but also between the
 389 collector designs with the finned system showing a very slight advantage over the baffled, most
 390 likely due to the greater impact of reusing aluminium. However, the stage D impact for the
 391 polycarbonate baffle plate could not be sourced, therefore, its percentage improvement will
 392 deviate slightly from the presented value. It is likely that its inclusion would only improve the
 393 GWP 100 results, however.

394 In terms of the operational energy savings, a payback an order of magnitude greater than the
 395 input is observed (Fig. 6). This demonstrates that the reference collector, a previous, less
 396 efficient prototype, is more than capable of recovering its embodied energy within its lifetime.
 397 Energy payback times were found to range from 4.5 to 4.6 years, for a circular and linear
 398 approach, respectively. Even if the energy savings are insignificant when choosing a circular
 399 approach over linear, the carbon savings more than make up for this shortfall.

400 4.2 Carbon impact

401 The carbon impact is defined here as the embodied carbon (GWP 100) required throughout
402 the FU's life-cycle, with and without a circular economy approach, and the conservative
403 operational carbon savings afforded by the reference collector. Figure 7 shows the LCIA results
404 for the embodied carbon of the two base configurations, again from a linear and circular
405 approach. These values are graphed alongside the operational carbon savings from the
406 reference collector, where both natural gas and electricity have been replaced. For this, the
407 annual operational energy (in kWh) was converted to carbon dioxide equivalent (kgCO_{2e}) using
408 conversion factors published by the Department for Business, Energy & Industrial Strategy
409 (DBEIS, 2017) Again, a logarithmic scale was used as the embodied impacts and operational
410 savings differ by an order of magnitude here.



411

412 *Figure 7: Embodied carbon (EC) of the finned and baffled collectors, considering a linear and circular economy approach,*
413 *alongside the operational carbon (OC) savings from the reference collector, considering the replacement of natural gas (Ngas)*
414 *and electricity (Elec). Note: a logarithmic scale is used.*

415 A much more significant difference between the linear and circular approach is noticed here.
416 The percentage improvement in embodied carbon in a circular versus linear economy is 47%

417 and 45% for the finned and baffled collectors, respectively. The carbon impact in a circular
418 economy is almost half that in a scenario where stage D is not considered. This very clearly
419 highlights the benefit of employing a circular approach as opposed to simple disposal at the
420 end of the products useful life. Again, there is only a slight difference between the finned and
421 baffled collectors in both scenarios with finned having a marginally better result. This is again
422 most likely due to the reuse of the metal components though this margin may close with the
423 addition of the stage D data for the polycarbonate baffle plate, omitted here as discussed earlier.

424 In terms of the operational carbon savings, a payback an order of magnitude greater than the
425 input is observed for a circular economy approach (Fig. 7). This demonstrates that the reference
426 collector can very quickly recover its embodied carbon. Carbon payback times, when compared
427 to an electric system were found to range from 7 to 13 months, for a circular and linear
428 approach, respectively. When replacing natural gas, payback times ranged from 13 to 25
429 months, for a circular and linear approach, respectively. This reflects the large difference
430 between energy sources, electricity being far more carbon intensive than natural gas and
431 reinforces the necessity to always consider embodied carbon along embodied energy as the
432 latter is only able to provide partial information for it neglects the carbon intensity of the energy
433 carrier. However, the current conversion factor for electricity is relatively low compared with
434 recent years which shows the increased contribution of renewable energies into the UK national
435 grid.

436 *4.3 Assumptions*

437 A number of assumptions were applied to the LCIA:

- 438 • The components made of the same material were aggregated assuming that they are all
439 under the same inventory category

- 440 • Under the linear approach, without circular economy in mind, it is assumed that the
441 entire ICSSWH is disposed of in a landfill at the end of its useful life
- 442 • In terms of transport, it was assumed that a 50km radius from the installation site was
443 a generic representation for the manufacturer, the landfill, and waste processing
444 facilities. Also, the most environmentally friendly transport option was selected as the
445 end of life scenario will take place 20 years in the future and it is assumed that
446 technology will improve.
- 447 • It is assumed that the conversion factors applied in this work for natural gas and
448 electricity will not change over the lifespan of the collector.
- 449 • Thermal performance degradation over the collector's 20 year lifespan was not
450 considered.

451 **5. Conclusions**

452 This paper aimed to present the first study of its kind in the UK; a unique ICSSWH design,
453 experimentally tested under Scottish weather conditions, which considers circular economy
454 and reuse potential in a life cycle perspective. Due to the high demand for heat in Scotland,
455 alongside international efforts to mitigate climate change, an alternative to conventional fossil
456 fuel driven energy systems is required. The novel ICSSWH presented in this paper is a potential
457 alternative that aims to partially replace conventional energy sources with respect to the
458 production and delivery of domestic hot water.

459 In order to evaluate the sustainability of the ICSSWH system, the embodied impacts and
460 operational savings across its lifetime must be compared. The results of the LCA, employed to
461 convey such a comparison, have been presented and discussed. The analysis was completed
462 for four scenarios: for an ICSSWH incorporating fins and an ICSSWH with a baffle plate and
463 each evaluated with and without a circular economy approach. The results showed that the

464 embodied energy varied very little with a circular approach versus linear. Utilising a circular
465 economy approach garnered an improvement of 2.8% and 2.6% for the finned and baffled
466 systems, respectively. When compared against the operational energy savings, an energy
467 payback an order of magnitude greater than the input is observed, with payback times of 4.5
468 and 4.6 years for a circular and linear approach, respectively. This shows that the system is
469 easily capable of recovering its embodied energy throughout its lifetime.

470 The most significant difference between a circular and linear approach comes when
471 evaluating the embodied carbon. An improvement in a circular versus linear economy of 47%
472 and 45% is observed for the finned and baffled systems, respectively. This substantial decrease
473 of embodied carbon highlights the importance and advantages of a circular economy approach.
474 Using the operational carbon savings, which far outstrip the embodied carbon, carbon payback
475 times of just 7 months can be realised, when replacing an electric system.

476 However, there are caveats. As an LCA is run over such a long time, 20 years taken here as
477 the working lifetime of the ICSSWH, there is inherent uncertainty. Therefore, the resulting
478 figures must be taken with a pinch of salt as there are several sources of uncertainty within the
479 process. Also, further limitations of this study are related to the lack of sensitivity analysis for
480 the LCA and the inherent truncation error in process LCA, as discussed in Section 2.2.
481 Truncation errors can normally be as high as 70%. While this should be addressed in further
482 work through a hybrid analysis, the difference between embodied carbon and operational
483 carbon savings is so significant in this case that the system would still amply pay its embodied
484 carbon back even if it were twice as much (i.e. a truncation error of 100%). The benefits of a
485 circular economy approach within the built environment cannot be denied. The system
486 presented here has been designed with this in mind, as each component can be separated and
487 reused. This allows the materials to be recovered and put back in the loop, with great potential
488 for material reuse, which is a marked improvement on landfill disposal.

489 Finally, when comparing the payback times presented here with values reported in the
490 literature (Table 2) it is apparent that, in terms of embodied carbon and embodied energy, the
491 newly designed ICSSWH is consistent with figures reported for the UK.

492 Future work will involve an improvement analysis aimed at optimising operational efficiency
493 which will have a knock-on effect on energy and carbon payback times. Also, by
494 disaggregating the LCA stages and conducting a sensitivity analysis, the areas where the
495 greatest improvement could be focussed can be identified.

496

497 **Figure captions**

498 Figure 1: Diagrammatic view of ICSSWH, evacuated tube collector, and flat plate collector
499 FUs.5
500 Figure 2: Schematic of [A] – the ICSSWH under investigation and [B] – the insulated frame
501 the ICSSWH sits in.6
502 Figure 3: Different stages of the building life cycle (stages A-C) and supplementary
503 information beyond (D). Adapted from (BSI, 2011).8
504 Figure 4: LCIA based on (BSI, 2011). Dashed line indicates assessment boundary. Embodied
505 carbon is defined here as GWP 100 based on IPCC (2013).14
506 Figure 5: Total energy needed by the end user each month, over the course of a year, including
507 the operational energy contribution from the reference collector (energy savings).20
508 Figure 6: Embodied energy (EE) of the finned and baffled collectors, considering a linear and
509 circular economy approach, alongside the operational energy (OE) savings from the
510 reference collector. Note: a logarithmic scale is used.....
511 Figure 7: Embodied carbon (EC) of the finned and baffled collectors, considering a linear and
512 circular economy approach, alongside the operational carbon (OC) savings from the
513 reference collector, considering the replacement of natural gas (Ngas) and electricity (Elec).
514 Note: a logarithmic scale is used.....22

515 **Table captions**

516 Table 1: Summary of chosen design factors based on thermal performance.5
517 Table 2: Summary of literature surrounding LCA and SWHs in terms of payback times and
518 methodologies.12
519 Table 3: Primary data of employed materials and their masses for the FINNED collector. ...16
520 Table 4: Primary data of employed materials and their masses for the BAFFLED collector. 17

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