

1 Revised

2 **Megasonic sonication for cost-effective and automatable elution of *Cryptosporidium* from**
3 **filters and membranes**

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12 **Abstract**

13 Sample processing is a highly challenging stage in the monitoring of waterborne pathogens.

14 This step is time-consuming, requires highly trained technicians and often results in low

15 recovery rates of pathogens. In the UK but also in other parts of the world, *Cryptosporidium* is

16 the only pathogen directly tested for in routine operational monitoring. The traditional

17 sampling process involves the filtration of 1000L of water, semi-automated elution of the

18 filters and membranes with recovery rates of about 30-40% typically. This paper explores the

19 use of megasonic sonication in an attempt to increase recovery rates and reduce both the time

20 required for processing and the number of labour-intensive steps. Results demonstrate that

21 megasonic energy assisted elution is equally effective as the traditional manual process in

22 terms of recovery rates. Major advantages are however offered in terms of reduction of the

23 elution volume enabling the current centrifugation stage to be avoided. This saves time,

24 equipment and staff costs and critically removes the step in the process that would be most

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3 25 challenging to automate, paving the way thereby for highly effective automated solutions to
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6 26 pathogens monitoring.
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10 **Keywords:** *Cryptosporidium*; elution; megasonic agitation; sonication; filtration; waterborne
11 28 pathogens; monitoring.
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15 30 **1. Introduction**

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20 32 The presence of pathogens in drinking water is a major cause of disease outbreaks and
21 33 endemic levels of illness, impacting upon productivity as well as quality of living (World
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23 34 Health Organisation, 2011; Hrudet al., 2003). Water quality compromised by microbial
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25 35 contamination is also a concern for food producers and several disease outbreaks have been
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27 36 linked to the water utilised in food production (Söderström et al., 2008; Brughaet
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29 37 al., 1999). Although the labour-intensive monitoring of the water supply for the presence of
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31 38 pathogens can be expensive, such measures allow the reduction of the costs associated with
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33 39 disease outbreaks.
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40 40 *Cryptosporidium* is a particularly problematic pathogen in this regard. This protozoa has a low
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42 41 infectious dose, a longevity of months in the water environment and a high resistance to
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44 42 disinfection by chlorination. Despite the removal of the regulatory requirement to directly test
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46 43 for the presence of *Cryptosporidium* in water, UK water utilities continue to perform regular,
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48 44 even daily, checks at many sites. Because of their low infectious dose, sample preparation is
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50 45 required to concentrate waterborne pathogens from a large volume of water, of the order
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52 46 of thousands of litres, to a small sample such as a few μL s to be used by detection devices
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54 47 (Bridle, 2013). Detection protocols such as the U.S. Environmental Protection Agency (EPA)
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56 48 method 1623.1 (Method 1623.1, 2012) or the UK Environment Agency Blue Book
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49 publications (UK Environment Agency, 2010) stipulate a procedure for *Cryptosporidium*
50 detection. This method consists of several steps involving filtration (1000L/24hrs), elution
51 stage 1 (remove oocysts from filter into 1200mL), elution stage 2 (concentrate the eluate
52 using a membrane to 50mL), centrifugation (centrifugation to 5mL), enrichment (immuno-
53 magnetic separation IMS to separate oocysts from other particulate matter to 50µL) and
54 detection (staining with fluorescent dyes followed by microscopic examination for
55 identification). Most of these stages require a long time, large and/or specialised equipment
56 or highly qualified staff.

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58 Elution steps are critical in ensuring a high recovery rate of pathogens (Francyet al., 2013).
59 Manufacturers of commercially available filters report rates in excess of 70%. However,
60 personal communications with water utilities suggest that recovery rates do not often reach
61 these levels. This is further confirmed by results of a variety of literature studies in which
62 recovery rates on the order of 30% to 40% were repeatedly measured across a range of
63 different water types (Polaczyk et al., 2008; Smith and Hill, 2009; Leskinen et al., 2010; Mull
64 and Hill, 2009) or across a range of filters using lake water samples (Francy et al., 2013).

65 In this paper we explore the use of a novel physical approach to filter and membrane elution,
66 namely the use of megasonic sonication as a replacement to manual processes of filter
67 elution. In the last few years, megasonic wave assisted cleaning systems have been widely
68 used to clean various types of objects possessing complex surface geometries such
69 as electronic devices, semiconductor wafers or component parts (Kaufmann et al., 2008;
70 Busnaina et al., 1995; Helbig et al., 2008). In megasonic assisted agitation, a piezoelectric
71 transducer, placed inside a tank, produces high frequency sound waves, typically over 1
72 MHz, that propagate through the liquid. Each point along the sound wave oscillates between
73 a maximum and a minimum pressure. When the minimum pressure is below the vapour

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74 pressure of the liquid, bubbles are formed. As the pressure increases to the maximum
75 pressure, the bubbles implode creating local turbulence at the implosion sites (Chitra et al.,
76 2004). Megasonic waves propagate at a higher frequency than ultrasonic waves. Smaller
77 bubbles with less resulting cavitation energy are created, resulting in a gentler elution and
78 potentially avoiding destruction of the pathogens (Al-Sabi et al., 2011).

79 Studies on the effect of the sonication of filters using ultrasound were performed to elute
80 bacteria from filters for safe drinking water (Mendez et al., 2004) or from food samples
81 (Ruban et al., 2011).

82 The effects of ultrasound with different sonication power and time durations on waterborne
83 protozoa *Cryptosporidium* and *Giardia* were studied. The results showed that changes in
84 parasite characteristics became visible (the shells were broken) when sonication time was
85 extended (Al-Sabi et al., 2011). A study investigated the effect of underwater ultrasound on
86 the viability of *Cryptosporidium* oocysts and demonstrated that more than 90% of the
87 dispersed *Cryptosporidium* oocysts could be deactivated in few minutes of continuous
88 sonication (Ashokkumar et al., 2003). However, the deactivation of oocysts by this method is
89 undesirable if one wishes to preserve the viability of the pathogens for further determination
90 of their infectivity. Additionally, DNA degradation could be incompatible with the molecular
91 tools currently under development (Bridle et al., 2014). In contrast, through the minimisation
92 of the time required for bubble growth, megasonic sonication offers a way to elute
93 undamaged and potentially viable oocysts from filters and membranes. This paper presents,
94 for the first time, the use of megasonic sonication for pathogen elution and evaluates its
95 qualities in terms of recovery rates, pathogen viability, processing time required and potential
96 for automation.

97 2. Materials and methods

98 2.1. Standard elution protocol

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100 The standard elution procedure as recommended in the U.S. Environmental Protection
101 Agency (EPA) method 1623.1 (Method 1623.1, 2012) or the UK Environment Agency Blue
102 Book publications (UK Environment Agency, 2010) is used by the water utility company,
103 Scottish Water, which assisted in the microscopic evaluation of oocysts following the
104 different elution protocols. The Filta-Max sponge filter from the IDEXX company, is first
105 removed from the filter housing and placed into a washing station which encompasses a
106 concentrator unit. In this washing station the filter is rinsed twice with 600mL of Phosphate-
107 Buffered Saline with Tween® 20 (PBST) for about 20 minutes although the duration of the
108 rinsing time depends on the water sample. The wash solution is then passed through a
109 membrane placed at the bottom of the concentrator placed on a magnetic stirrer attached to a
110 hand pump to generate a vortex in the suspension within the concentrator. This magnetic
111 stirring maximises the amount of particulates held in suspension throughout the filtration
112 process, and should prevent oocysts from strongly attaching themselves to the
113 membrane. After the liquid has reached a stable rotational velocity, the sample is drained
114 away through the membrane using a vacuum below 40KPa. The membrane is then
115 removed and placed inside a polythene bag containing 5 to 10mL of PBST. Once the bag is
116 sealed, the surface of the membrane is rubbed between thumb and forefinger for 70 ± 10
117 seconds until the membrane appears to be clean. Finally, the eluent liquid is removed using a
118 plastic Pasteur pipette and added to a 50mL centrifuge tube with the concentrate fraction
119 obtained from the rinsed stirrer bar. The addition of 5-10mL of PBST and rubbing is repeated
120 a second time and the volume in the centrifuge tube made up to 50mL. The 50mL was then
121 passed onto centrifugation, immunomagnetic separation and microscopy for detection and

122 enumeration of oocysts. Two elution stages can be distinguished from the above procedure:
123 one from the sponge filter where 1.2L of PBST is used for further sample concentration, the
124 other from the membrane whereby 50mL of PBST is employed.

125 Both stages were studied in this article. In the case of the sponge filters, 1000 litres of
126 uncontaminated water were spiked with 100 oocysts and filtered through the sponge filter
127 over 24 hours. Recovery rates were then measured by carrying out the rest of the traditional
128 process. In the case of the membranes, 100 oocysts in 1mL of water were passed directly
129 through the membrane and recovery rates were determined by undertaking the rest of the
130 standard procedure.

131 **2.2. Elution with megasonic sonication**

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133 A transducer from the Company Sonosys with a frequency of 2MHz and an output power of
134 1200 Watts was employed to investigate the elution with megasonic energy assisted
135 agitation (Sonosys, 2015). The encapsulated transducer made of stainless steel was positioned
136 at the bottom side of an existing tankas shown in Figure 1. The sponge filters were added to a
137 large plastic bag with up to 1.2L of PBST whereas the membranes were added to the bag
138 utilised in the traditional approach with up to 50mL volumes of PBST.

139 **2.3. Assessment of oocysts viability**

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141 An excystation assay was performed accordingly to protocol. Briefly a sample of 1 million
142 oocysts in 40µL of Hanks Buffered Salt Solution (HBSS) were added to 50µL of trypsin at
143 pH=3 and incubated in a water bath for 60mins at 37°C followed by re-suspension in 90µL
144 HBSS using 10µL sodium bicarbonate and 10µL sodium deoxycholate at ~pH=8 for 40mins
145 at the same temperature. An aliquot of the excysted solution was placed on a microscope

146 slide and counted under differential interference contrast microscopy for a minimum of 250
147 counts per sample(Blewett 1989a and Blewett1989b). Three replicates of both the control and
148 the solution treated with megasonic energy were counted. The latter solution was exposed to
149 megasonic agitation for 120 minutes a week before the excystation assay took place. All
150 sampleswere stored in the fridge during that time.

151 **2.4. Reagents and equipment**

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153 Spiked samplesof*Cryptosporidium parvum* oocysts counted on the flow cytometer (BD
154 Influx™ cell sorter) were generously provided by Scottish Water. The oocystswere purchased
155 from the company Creative Science, spin out company from the Moredun Institute, which
156 produced and isolated these oocysts. Oocysts used for the experiments were prepared about
157 two months before tests took place and were stored in the fridge. The filters utilised are Filta-
158 Max Filter Modules from IDEXX (Idexx. 2015)and all other reagents were from Cellabs Pty
159 Ltd.

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161 *Figure 1: Experimental set-up for the elution using megasonic sonication. The sponge filters,*
162 *seen at the top of the figure have a doughnut shape when fully expanded and are enclosed in*
163 *a plastic bag. The membrane is seen in a smaller bag on the bottom left of the figure. The*
164 *megasonic transducer, seen as a black square, is placed at the bottom of the bath filled with*
165 *water.*

3. Results

3.1. Influence of sonication time during elution on oocysts recovery rate

3.1.1. Sonication of membranes

One of the challenges with optimising elution protocols and maximising recovery rates is the large number of operational sampling parameters that can impact upon the results. These include water type, choice of elution solution, volume and flow rate of elution, spiking volumes/quantities and differences in other sample processing steps and detection methods. The first parameter investigated in this study was the duration of the sonication and its impact on the recovery rate achieved. As detailed in the “Materials and method” section, this experiment utilised membranes and recovery rates were determined using centrifugation, immunomagnetic separation (IMS) and microscopy. Figure 2 shows the recovery rate of the membranes eluted with megasonic energy as a function of the duration of the elution. The graph clearly demonstrates a time-dependence within the first twenty minutes of elution below which, increasing elution time enhances the recovery rate. After this duration, the recovery rate reaches a plateau at around 45%, which is a rate similar to the control membranes eluted via the traditional method. This result indicates that 20mins is sufficient to maximise recovery rates.

Figure 2: Recovery rates using elution with megasonic energy assisted agitation.

50mL elution volumes, 100 oocysts spiked into 1mL were passed through the membrane using the traditional set-up. One experiment was carried out at 2, 4, 6, 8, 10 and 120 minutes and two experiments were carried out at 20, 30, 40, 50 and 60 minutes.

190 3.1.2. Sonication of the IDEXX filters and membranes

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4 192 Figure 3 shows comparison between controlled tests carried out without megasonic agitation
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7 193 as in the normal procedure and tests with megasonic agitation. In the case of the filters, the
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9 194 control samples underwent traditional process using 1200mL of PBST in the first stage and
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12 195 50 mL in the second stage followed by centrifugation, IMS and microscope detection of the
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14 196 stained oocysts. For the megasonic samples, the sponge filters were eluted inside the
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16 197 megasonic bath using 1200mL of PBST for 20 minutes and then traditional membrane
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19 198 elution was used for the 2nd stage. The control sample for the membranes underwent
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21 199 traditional process and involving manual rubbing of the membrane. The megasonic sample
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24 200 was eluted into 50mL of PBST for 20 minutes.

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26 201 The data in Figure 3 were analysed statistically using a one-way Analysis of Variance
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29 202 (ANOVA) test to examine whether there was a significant effect of the use of megasonic
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31 203 energy in the recovery rate of the oocysts during the elution of filters and membranes. The
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34 204 analysis was carried out using Microsoft Excel program for Windows 8 package. The F-ratios
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36 205 was $F=0.606$ for the filters and $F=0.01$ for the membranes, both ratios being less than the
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38 206 critical F-ratio, $F_{crit} (0.05,1,4)= 7.709$, indicating thereby that the analysis fails to reject the
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41 207 null hypothesis of major difference in the achieved recovery rates between the control and the
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43 208 elution carried out using megasonic assisted agitation. There is therefore no significant
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46 209 difference in terms of enhanced recovery rate.

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50 211 *Figure 3: Comparison between controlled tests without megasonic agitation as in the normal*
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53 212 *procedure and tests with megasonic agitation for both filters and membranes.*

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55 213 *Results obtained for an average of 3 replicates, spiked with 100 oocysts.*
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215 **3.2. Performance of megasonic elution at different volumes**

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217 Filters were placed inside a plastic bag with different volumes of PBST to study the
218 performance of megasonic elution. Table 1 shows that the recovery rate increases with the
219 volume of PBST at the 1st stage of the elution.

220 *Table 1: Recovery rate of filter sonicated for 40 minutes using different volumes of PBST. Filters were*
221 *spiked with 100 oocysts.)*

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223 Membranes were also placed inside a plastic bag with different volumes of PBST to study the
224 performance of megasonic elution at different volumes. Table 2 shows that the recovery rate
225 falls slightly, from 66% to 53%, when the volume of PBST in the 2nd stage elution is
226 decreased from 50mL to 15mL.

227 *Table 2: Recovery rate of membrane sonicated for 20 minutes using different volumes of PBST.*
228 *Membranes were spiked with 100 oocysts.*

229 **4. A full procedure for megasonic elution**

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231 The previous results investigated the impact of megasonic elution for each of the different
232 filtration stages, demonstrating that 20minutes of megasonic elution is sufficient to match
233 recovery rates achieved by the existing protocol. Thus the main advantage of utilising
234 megasonic elution is in replacing the existing elution method with an easy to use,
235 automatable approach. Additionally, the use of megasonic elution reduces operator variability
236 and should increase the reproducibility of the results in terms of recovery rates of the
237 pathogens. A key finding of this work is that the volume of elution solution can be

238 reduced such that megasonic elution would allow the centrifugation stage of the traditional
239 process to be skipped as 10mL would be sufficient to achieve the same recovery rate as in the
240 traditional method for the membrane alone as described in Figure 4. This is confirmed by a
241 single factor Analysis of Variance which shows no significant difference between the
242 recovery rate of oocysts with megasonic energy for both stages and the control tests as the F-
243 ratio is $F=2.41$ which is less than $F_{crit}(0.05,1,4)=7.709$.

244 Figure 5 presents a timeline of the existing and proposed elution methods using megasonic
245 agitation. In addition to removing a stage that is challenging to automate (centrifugation), the
246 sonicated elution for one sample saves approximately 15 minutes and about 600mL of PBST.
247 Although centrifugation could simultaneously process 20 samples, time saving scales with the
248 number of samples as the membrane rubbing cannot be scaled up without increasing the
249 number of operators. However, all membranes could be processed in one step for the
250 megasonic elution approach. Thus the time savings for 20 samples would become 1 hour 50
251 minutes.

252 *Figure 4: Recovery rates of controlled tests without megasonic and full megasonic elution*
253 *using 600ml in the first stage and only 10ml in the 2nd stage elution and detection process*
254 *without centrifugation.*

255 *Figure 5: Schematic timeline describing the savings in terms of process time and volume of*
256 *PBST of the megasonic elution compared to the traditional elution method.*

5. Impact of megasonic sonication on oocyst viability

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259 Destruction of oocysts during the elution procedure is obviously undesirable; additionally
260 inactivation of oocysts would prevent any subsequent determination of infectivity. Therefore

261 experiments were carried out to check the impact of megasonic energy on oocysts. However,
262 an excystation assay is considered a more reliable means of assessing oocyst viability and this
263 test was subsequently performed. The results are shown in Table 3, clearly showing no
264 difference in excystation rate (percentage excystation) or in the sporozoite/shell ratio between
265 the control sample and the sample exposed to elution by megasonic sonication. The
266 excystation assay was performed a week after the megasonic exposure to confirm that the
267 megasonic agitation did not have influence oocyst viability via a slow acting mechanism,
268 which might not have been observed had the excystation assay been undertaken immediately
269 after megasonic exposure.

271 *Table 3: Results of the excystation assay*

272 **6. Conclusions**

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274 This article reports the first investigation of a novel physical approach for the elution of
275 filters and membranes used in waterborne pathogen monitoring. It is demonstrated that the
276 sonication of filters and membranes using a megasonic transducer preserves the viability of
277 oocysts and achieves recovery rates similar to the established sampling procedure. The key
278 advantages of this novel method lie in (1) the decrease of the volume of the reagents required,
279 (2) the reduction of the manual intervention needed, (3) the reduction of time and
280 resources and (4) the potential for automation. In order to fully document the economic
281 impact of this new elution method, a robust cost of ownership (COO) assessment should be
282 undertaken. Whereas the performance of this method has been demonstrated with
283 *Cryptosporidium*, an extension of the use of sonication for elution to other pathogens is
284 obviously desirable. The next steps are to work towards an automated filtration/elution

285 system based on megasonic sonication assisted elution. As centrifugation would no longer be
286 required, this set-up could then easily be integrated with automated IMS and detection
287 protocols for a fully automated solution to waterborne pathogen monitoring.

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Table 1: Recovery rate of filter sonicated for 40 minutes using different volumes of PBST. Filters were spiked with 100 oocysts. (n = 3 trials)

Volume of PBST (mL)	Mean recovery rate (%)	Standard deviation (SD)
50	4.66	2.05
100	16.66	2.86
500	25	1.63
600	31.33	1.69
1200	38.62	2.62

Table 2: Recovery rate of membrane sonicated for 20 minutes using different volumes of PBST.
Membranes were spiked with 100 oocysts. (n =3 trials)

Volume of PBST (mL)	Mean recovery rate (%)	Standard Deviation (SD)
15	50.33	2.05
20	61	1.63
50	65.66	1.24

Table 3: Results of the excystation assay

Sample	Excystation percentage	Sporozoite/shell ratio
Control (n =1 trial)	97	2.4
Megasonic (n =3 trials)	Mean value = 96 SD = 1.11	Mean value = 2.26 SD = 0.36

Figure(1)



Figure 1: Experimental set-up for the elution using megasonic sonication. The sponge filters, seen at the top of the figure have a doughnut shape when fully expanded and are enclosed in a plastic bag. The membrane is seen in a smaller bag on the bottom left of the figure. The megasonic transducer, seen as a black square, is placed at the bottom of the bath filled with water.

Figure(2)

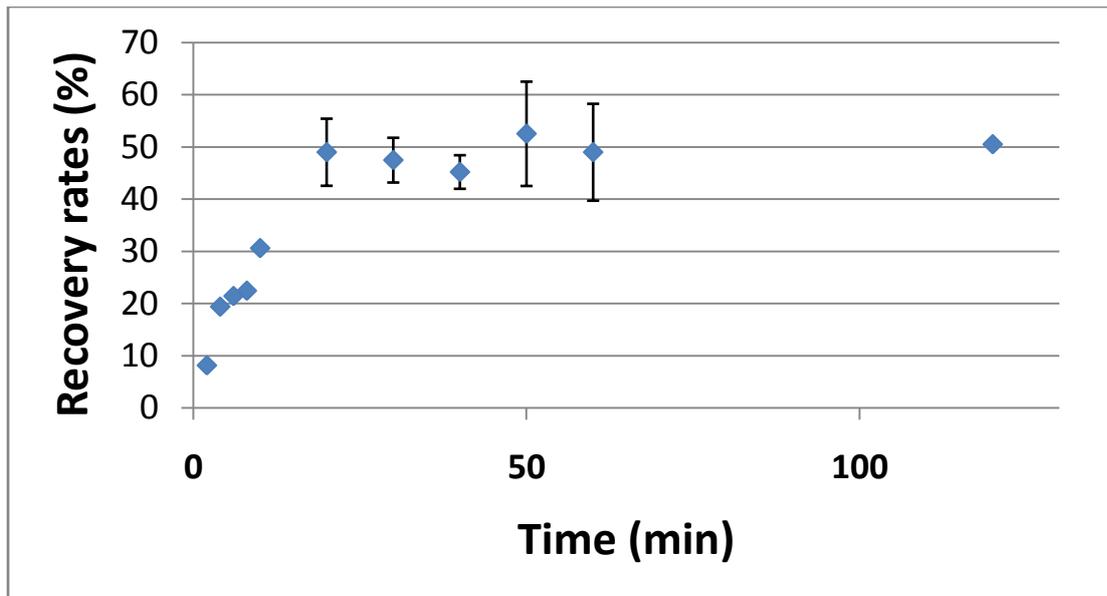


Figure 2: Recovery rates using elution with megasonic energy assisted agitation. 50mL elution volumes, 100 oocysts spiked into 1mL were passed through the membrane using the traditional set-up. One experiment ($n=1$) was carried out at 2, 4, 6, 8, 10 and 120 minutes and two experiments ($n=2$) were carried out at 20, 30, 40, 50 and 60 minutes.

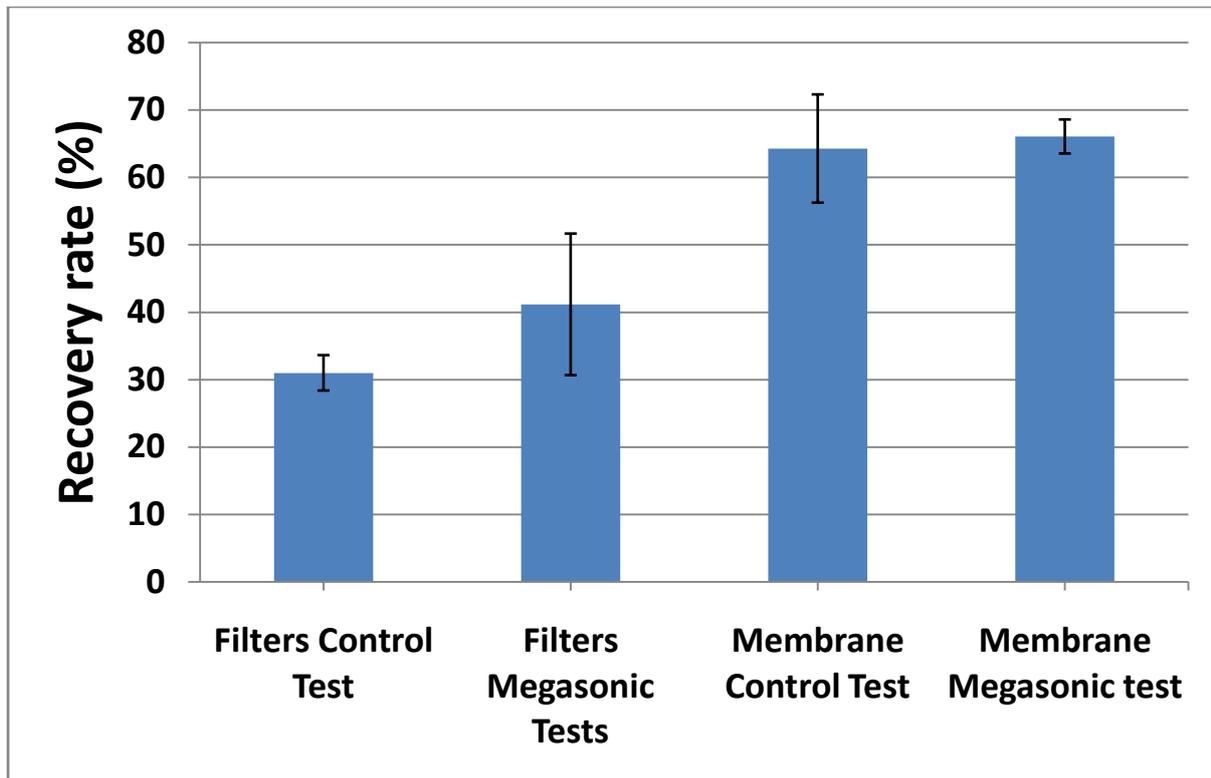


Figure 3: Comparison between controlled tests without megasonic agitation as in the normal procedure and tests with megasonic agitation for both filters and membranes. Results obtained for an average of 3 replicates, spiked with 100 oocysts.

Figure(4)

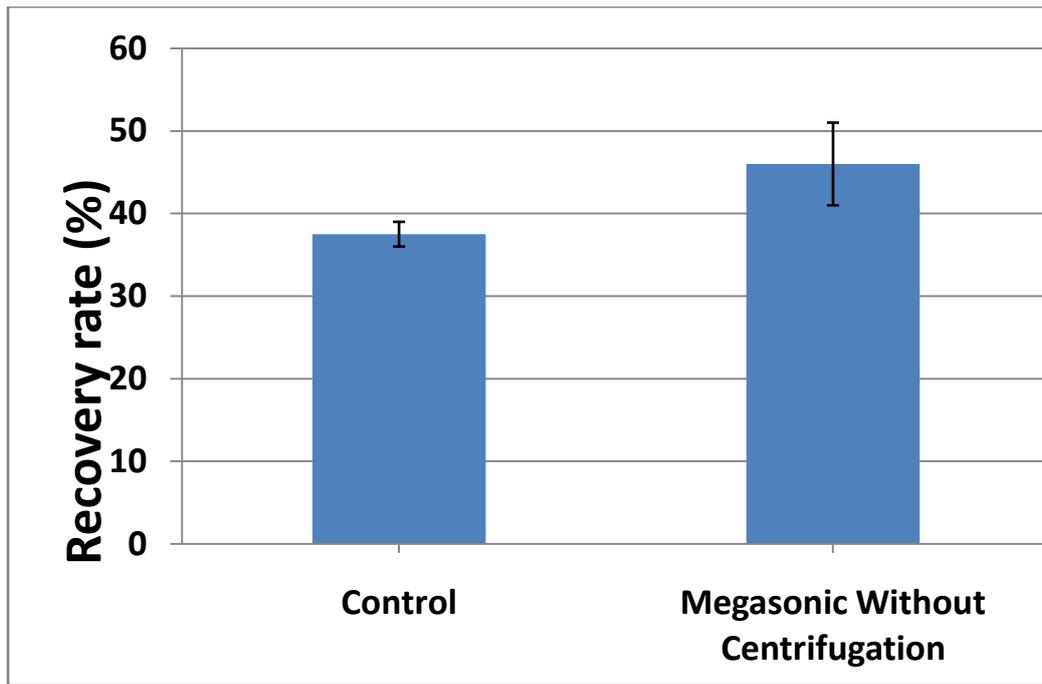


Figure 4: Recovery rates of controlled tests without megasonic and full megasonic elution using 600ml in the first stage and only 10ml in the 2nd stage elution and detection process without centrifugation.

Figure(5)

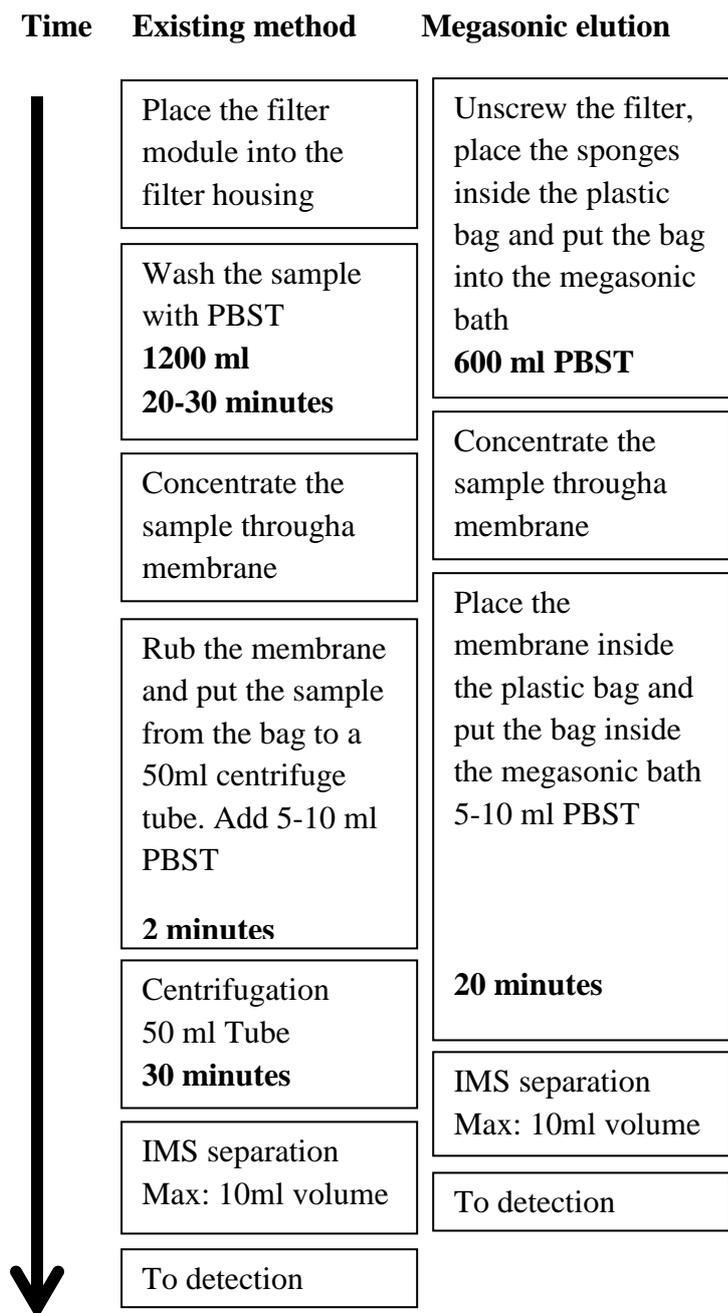


Figure 5: Schematic timeline describing the savings in terms of process time and volume of PBST of the megasonic elution compared to the traditional elution method.